

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Payne, Robert E.	2. Court or Organization United States District Court Eastern District of Virginia	3. Date of Report 12/04/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior Article III Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address United States District Court 701 E. Broad Street, Suite 7310 Richmond, Virginia 23219		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 12

Name of Person Reporting

Payne, Robert E.

Date of Report

12/04/2015

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 09/26/2014	IRA #1 Distribution	\$25,151.94
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 09/25/2014	IRA #2 DISTRIBUTION
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 12

Name of Person Reporting

Payne, Robert E.

Date of Report

12/04/2015

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Wells Fargo	CREDIT CARD	J
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 12

Name of Person Reporting

Payne, Robert E.

Date of Report

12/04/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA #1	E	Int./Div.	O	T					
2. --Alerian MLP ETF					Buy	04/11/14	J		
3.					Buy (add'l)	12/16/14	J		
4. --Allianceber Sm. Cap					Buy (add'l)	12/18/14	J		
5. -- Artisan Mid Cap Value Fund					Buy (add'l)	12/16/14	J		
6. -- Blackrock Equity Dividend Fund CL Institutional					Buy (add'l)	04/11/14	J		
7.					Sold (part)	09/22/14	J	A	
8. --Blackrock High Yield Bond Fund					Buy (add'l)	08/15/14	J		
9.					Buy (add'l)	12/23/14	J		
10. --Calamos Market Neutral					Sold (part)	09/22/14	J	A	
11. -- Columbia Select Large Cap Fund					Sold (part)	04/11/14	J	A	
12.					Buy (add'l)	07/01/14	J		
13.					Buy (add'l)	08/15/14	J		
14.					Sold (part)	09/22/14	J	A	
15. --Fundvantage Tr Polen					Buy (add'l)	08/15/14	J		
16.					Sold (part)	09/22/14	J	A	
17. -- Guggenheim Managed Futures (X)									

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
(See Column C2)	Q ~Appraisal	R ~Cost (Real Estate Only)	S ~Assessment	T ~Cash Market	
	U ~Book Value	V ~Other	W ~Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 12

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Date of Report

12/04/2015

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -- Invesco Premier Inst. (Y) (Money Market Fund = cash)									
19. -- IQ Alpha Hedge Strategy Fund					Sold (part)	09/22/14	J	A	
20. -- Lazard Developing Markets Equity (Y)									
21. -- Loomis Sayles Bond Inst (Y)									
22. -- MS Liquid Asset Fund									
23. --Metropolitan West Tot Ret					Buy	09/29/14	J		
24. --Oakmark I					Buy (add'l)	04/11/14	J		
25.					Sold (part)	08/15/14	J	A	
26.					Sold (part)	09/22/14	J	A	
27.					Buy (add'l)	12/23/14	J		
28. -- Oppenheimer Int'l Growth Fund					Buy (add'l)	08/15/14	J		
29.					Buy (add'l)	12/23/14	J		
30. -- Pimco Commodity Real Return P Fund					Buy (add'l)	01/03/14	J		
31.					Buy (add'l)	08/15/14	J		
32.					Buy (add'l)	12/16/14	J		
33. --Pimco Total Return Fund (Y)									
34. -- Prudential Jennison Mid Cap Growth Fund					Sold (part)	09/22/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 12

Name of Person Reporting

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Date of Report

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -- Ramius Trading Strat. Mngd Futures					Sold (part)	09/22/14	J	A	
36. -- Royce Premier Fund					Buy (add'l)	12/18/14	J		
37. -- Templeton Global Bond Fund (Y)									
38. -- Virtus Foreign Opp. I					Sold (part)	09/22/14	J	A	
39. -- Virtus Insight Emerging Markets (Y)									
40. --Virtus MultiSector Short Term Bond					Buy (add'l)	01/03/14	J		
41.					Sold (part)	08/15/14	K	A	
42.					Sold (part)	09/22/14	J	A	
43. --Voya Global Real Estate Fund (Y)									
44. -- Western Asset Core Plus Bond Fund (Y)									
45.									
46. IRA #2	D	Int./Div.	M	T					
47. -- Allianceber Small Growth Cap (Y)									
48. -- Artisan Midcap Value (Y)									
49. -- Aston/Montag & Caldwell Growth					Buy (add'l)	08/15/14	J		
50. -- Blackrock Equity Div. A					Buy (add'l)	04/11/14	J		
51. -- Columbia Select LG CP Growth					Buy (add'l)	08/15/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 7 of 12

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Date of Report

12/04/2015

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. -- EV Income Fund of Boston					Buy (add'l)	08/15/14	J		
53. -- Invesco Developing Markets A (Y)									
54. --Invesco Prem US Govt Mny Inst					Buy	09/26/14	J		
55. -- Ivy Midcap Growth (Y)									
56. -- Lazard Emerging Markets Fund (Y)									
57. -- Oakmark I (Y)									
58. -- Oppenheimer Int'l Growth Fund (Y)									
59. -- Pimco Foreign Bond (Y)									
60. -- Pimco Low Duration A					Sold (part)	08/15/14	J	A	
61. -- Royce Premier Fund (Y)									
62. -- Virtus Foreign Opp. A (Y)									
63. -- Virtus MultiSect Short Term Bond					Sold (part)	08/15/14	J	A	
64. -- Western Asset Core Plus Portfolio (Y)									
65. -- Western Asset Government Money Market Fund (Y)									
66.									
67. BROKERAGE ACCOUNT #1									
68. -- AMC Networks Inc (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 8 of 12

Name of Person Reporting

Payne, Robert E.

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69. --Actavis	A	Dividend	J	T					
70. -- Anadarko Pete (APC) (Y)									
71. -- Autodesk Inc. (Y)									
72. -- Biogen (Y)									
73. -- Broadcom Corp (Y)									
74. -- Cablevision Systems Corp (Y)									
75. -- Citrix Systems Inc. (Y)									
76. -- Comcast Corp (Y)									
77. -- Covidien PLC (Y)									
78. -- Cree Research Inc (Y)									
79. -- DirectTV Com (Y)									
80. -- Dolby Cla (Y)									
81. -- Fluor Corp (Y)									
82. -- Forest Laboratories Inc					Redeemed	07/01/14	J		
83. -- Freeport McMoran CP&GLD (Y)									
84. -- Immunogen Inc. (Y)									
85. -- Isis Pharm Inc. (Y)									

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FINANCIAL DISCLOSURE REPORT

Page 9 of 12

Name of Person Reporting

Payne, Robert E.

Date of Report

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86. -- L-3 Communications Hldg. Inc (Y)									
87. -- Liberty Interactive Co (Y)									
88. -- Liberty Media Corp (Y)									
89. -- National Oilwell Varco Inc. (Y)									
90. -- NOW Inc (Y)									
91. -- Nucor Corp (Y)									
92. -- Pall Corp (Y)									
93. -- Pentair Ltd (Y)									
94. -- Sandisk Corp (Y)									
95. -- Seagate Technology, Inc. (Y)									
96. -- Starz Series A (Y)									
97. -- TE Connectivity Ltd (Y)									
98. -- The ADT Corporation Com (ADT) (Y)									
99. -- Tyco Int'l Ltd (Y)									
100. -- UnitedHealth Gp Inc (Y)									
101. -- Vertex Pharmaceuticals (Y)									
102. -- Weatherford Int'l Ltd (Y)									

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FINANCIAL DISCLOSURE REPORT

Page 10 of 12

Name of Person Reporting

Payne, Robert E.

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103.									
104.									
105. BROKERAGE ACCOUNT #2									
106. -- MorganStanley - Cash	A	Interest	J	T					
107. -- Western Asset Managed Municipal	A	Dividend	J	T					
108.									
109.									
110.									
111. -- Mallen Research Ltd Partnership		None	J	W					
112.									

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2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 11 of 12

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12/04/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Part VII, page 5, line 19, Guggenheim Managed Futures - this asset was listed in 2013 report, page 5, line 31, "sold part" on 5/6/13 should have been "sold" - this asset was sold entirely in 2013.
2. Part VII, page 5, line 33, Pimco Total Return Fund - this asset was sold on 9/29/14 at a value less than \$1,000 (reportable value). It appears on this 2014 Amended Report dated 12/04/15 with a "Y."
3. Part VII, page 6, line 44, Voya Global Real Estate Fund, is a name change. In the 2013 report, Part VII, page 5, lines 32 and 33, asset was named ING Global Real Estate Fund.
4. Part VII, page 8, line 70, Actavis PLC bought Forest Laboratories Inc. in 2014. Therefore, Forest Laboratories Inc., page 8, line 83 reflects a redemption on July 1, 2014; Forest Laboratores will no longer appear in financial disclosure reports and Actavis PLC will appear in its place.
5. Part VII, page 9, line 91, NOW Inc is a spinoff of Pentair Ltd (page 9, line 94 of 2014 report). Spinoff occurred in 2014 so NOW Inc asset first appears on 2014 report.
6. In 2013 report, page 9, lines 99 and 100, Blackrock Inflation Protection Bond, the transaction "sold part" on 03/18/13 should have been "sold" - this asset was sold entirely in 2013. Line 100, "buy (add'l)" on 09/13/13 was an erroneous entry.
7. In 2013 report, page 15, line 192, Wells Fargo & Co, inadvertently omitted that this asset was sold on 4/30/13; therefore it does not appear on the 2014 report.

FINANCIAL DISCLOSURE REPORT

Page 12 of 12

Name of Person Reporting

Payne, Robert E.

Date of Report

12/04/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Robert E. Payne**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544