

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hoffman, Carl W.	2. Court or Organization District of Nevada	3. Date of Report 05/11/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge, Full Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address Lloyd George Federal Courthouse 333 Las Vegas Boulevard Rm 3014 Las Vegas, Nevada 89101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Trustee and Executor	Family Trust #1 (no reportable assets, see VIII)
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2017	Nevada Public Employee Retirement System	\$57,013.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	Clark County School District - salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Wells Fargo Home Mortgage	Mortgage, Property #1, Las Vegas, Nevada (VIA, line 3)	N
2.	Mr. Cooper (Formerly Nationstar Mortgage Company, see VIII)	Mortgage, Property #2, Las Vegas, Nevada (rental)(VIA, line 4)	M
3.	First Community Bank	Mortgage, Property #3, Brunswick County, NC (VIA, line 5)	K
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America Cash Account	A	Interest	K	T					
2. Silver State School Credit Union Cash Account	A	Interest	J	T					
3. Property #1, Las Vegas, Nevada	A	Rent	N	W					
4. Property #2, Las Vegas, Nevada	A	Rent	M	W					
5. Property #3, Brunswick County, NC		None	L	W					
6. Property #4, LaFollette, TN		None	K	W					
7. Pimco All Assets All Authority	A	Int./Div.	J	T					
8. Pimco Low Duration	A	Int./Div.	J	T					
9. (H) VOYA (Mut Fund), (457 Plan)(5 funds) (H)									
10. -Templeton Global Bond Fund	A	Int./Div.	K	T					
11. -PIMCO VIT Real Return Portfolio	A	Int./Div.	K	T					
12. -Voya Global Advantage	A	Int./Div.	J	T					
13. -Voya Large Cap Value	B	Int./Div.	K	T					
14. -Voya True Price Cap Appreciation	A	Int./Div.	K	T					
15. (H) TIAA CREF (Mutual Fund)(457 Plan)(5 funds below)(H)									
16. -CREF Stock	A	Int./Div.	J	T					
17. -CREF Growth	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - CREF Bond Market	A	Int./Div.	J	T					
19. - CREF Global Equities	A	Int./Div.	J	T					
20. - CREF Equity Index	A	Int./Div.	J	T					
21. Fidelity Investments Freedom 2020 (Mutual Fund)(457 Plan)	C	Int./Div.	M	T					
22. (H) Zurich Preferred Plus (Mutual Fund) (403b Plan)(9 funds below)(H)									
23. - Templeton Developing Markets		None			Closed	05/18/17	K	A	
24. - Alger Large Cap Growth Portfolio		None			Closed	05/18/17	J	A	
25. - Fidelity VIP Growth		None			Closed	05/18/17	K	A	
26. - DWS International		None			Closed	05/18/17	K	A	
27. - Invesco V.I. Utilities		None			Closed	05/18/17	K	A	
28. - Alger MidCap Portfolios		None			Closed	05/18/17	K	A	
29. - DWS Cap Growth VA		None			Closed	05/18/17	L	A	
30. - Invesco VI Global Real Estate		None			Closed	05/18/17	L	A	
31. - Franklin Strategic Inc		None			Closed	05/18/17	K	A	
32. USAA Exempt Long Term (Mutual Fund)	B	Int./Div.	L	T					
33. First Eagle Gold Fund Class C (Mutual Funds)	A	Int./Div.	J	T					
34. T Rowe Price Blue Chip Growth (Mutual Fund)	C	Int./Div.	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. T Rowe Price International Discovery (Mutual Funds)	A	Int./Div.	L	T					
36. T Rowe Price Capital Appreciation (Mutual Fund)	D	Int./Div.	M	T					
37. T Rowe Price Retirement 2030 (Mutual Fund)	D	Int./Div.	M	T					
38. T Rowe Price Retirement 2020 (Mutual Fund)	B	Int./Div.	K	T					
39. T Rowe Price CA Tax Free Bond	A	Int./Div.	M	T	Sold (part)	02/10/17	L		
40.					Closed	02/13/17	K		
41. (H) VALIC Funds (6 Mutual Funds below) (H)									
42. - Short Term Fixed Fund	A	Int./Div.	J	T					
43. - Capital Appreciation Fund	A	Int./Div.	J	T					
44. - Large Cap Value Fund	A	Int./Div.	J	T					
45. - Mid Cap Index Fund	A	Int./Div.	J	T					
46. - Science and Technology Fund	A	Int./Div.	J	T					
47. - Asset Allocation Fund	A	Int./Div.	J	T					
48. Lucia Capital Group IRA	A	Int./Div.	K	T					
49. TIAA-CREF TRoePrice Ret 2040 (Mutual Fund)(457 Plan)	B	Int./Div.	L	T					
50. Silver State Bank Roth IRA Cash Equivalent	A	Int./Div.	J	T					
51. Vanguard Mutual Funds (7 Funds Below) (H)									

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52. - Prime Money Market Mutual Fund	A	Int./Div.	J	T	Buy	01/13/17	N		
53.					Buy (add'l)	02/17/17	K		
54.					Sold (part)	03/30/17	L		
55.					Sold (part)	05/12/17	L		
56. - Wellington Mutual Fund	D	Int./Div.	M	T	Buy	01/13/17	L		
57.					Buy (add'l)	02/17/17	K		
58.					Buy (add'l)	03/30/17	L		
59. - Equity Income Mutual Fund	C	Int./Div.	M	T	Buy	01/10/17	L		
60.					Buy (add'l)	02/17/17	K		
61.					Sold (part)	05/17/17	K		
62. - Intermediate Term Tax Exempt Mutual Fund	A	Int./Div.	K	T	Buy	01/13/17	K		
63.					Buy (add'l)	02/16/17	K		
64.					Sold (part)	05/17/17	K		
65. - Small Cap Mutual Fund	A	Int./Div.	K	T	Buy	01/13/17	K		
66. - Target Retirement 2035 Mutual Fund	D	Int./Div.	N	T	Buy	01/12/17	M		
67.					Buy (add'l)	02/15/17	L		
68.					Buy (add'l)	03/30/17	L		

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69. - Emerging Markets Stock Index Mutual Fund	A	Int./Div.	K	T	Buy	01/13/17	K		
70.					Buy (add'l)	02/17/17	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I, Trustee and Executor, but no reportable assets in 2017

Part VI, Line 2, New Mortgage Servicer, changed from Nationstar to Mr. Cooper

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Carl W. Hoffman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544