

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) La Rue, Denise K.	2. Court or Organization U.S. Courts Southern District of Indiana	3. Date of Report 11/21/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge-FT	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 1/1/2013 to 12/31/2013
7. Chambers or Office Address 255 United States Courthouse 46 East Ohio Street Indianapolis, IN 46204		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Barclay Card USA (formerly Bank of America)	Credit Card Master Card	K
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. RBC WEALTH MNGMT IRA (Guardian Variable Annuity) #1 (H)									
2. -Alliance Bernstein VPS International Value	A	Int./Div.	J	T					
3. -Black Rock Large Cap Core	A	Int./Div.	J	T					
4. -Columbia Variable Portfolio Small Cap Value Fund	A	Int./Div.	J	T					
5. -Evergreen VA International Equity	A	Int./Div.			Sold	02/01/13	K	B	
6. -Fidelity VIP Contra Fund	A	Int./Div.	K	T					
7. -Fidelity MidCap Portfolio	A	Int./Div.	K	T					
8. -Franklin Small Cap Value	A	Int./Div.	J	T					
9. -Franklin US Government Securities	A	Interest	J	T					
10. -RS Investment Quality Bond VIP Series	A	Interest	J	T					
11. -RS Low Duration Bond VIP Series	A	Interest	K	T					
12. -RS Large Cap Alpha VIP Series	A	Dividend	K	T					
13. -MFS Utilities Series SC	A	Int./Div.	J	T					
14. -Oppenheimer Capital Appreciation VA SC	A	Dividend	J	T					
15. -Oppenheimer Main Street Small & Mid Cap Fund VA	A	Dividend	J	T					
16. -Oppenheimer Global Strategic Income VA SC	A	Interest	J	T					
17. -Pioneer Cullen Value VCT Class II	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. -Pioneer Mid Cap Value VCT Class II	A	Dividend	J	T					
19. -PIMCO Total Return Advisor Class	A	Interest	J	T					
20. -Columbia Variable Portfolio Seligman Global Technology	A	Dividend	J	T					
21. -Wells Fargo International Equity	A	Int./Div.	K	T	Buy	02/01/13	K		
22. -Alliance Bernstein Intl	A	Dividend	J	T	Buy	02/01/13	J		
23. RBC WEALTH MNGMNT Brokerage Account#2 (H)									
24. -RBC Bank Deposit (X)	A	Interest	L	T					
25. -Powershares Senior Bank Loan	A	Interest	J	T	Buy	11/21/13	J		
26. -Market Vectors Agriculture ETF	A	Dividend	K	T	Buy	10/17/13	K		
27. -Wisdom Tree Trust	A	Dividend	J	T	Buy	05/10/13	J		
28. -First Trust Large Cap Value	A	Dividend	K	T	Buy	05/10/13	J		
29. -Trowe Price tax Free Short IntermediateBond Fund	A	Interest	J	T	Sold (part)	05/10/13	K	A	
30. -iShares Investment Grade Corporate Bond Fund	A	Interest	K	T					
31. -iShares S&P MidCap 400 Growth Index Fund	A	Dividend	J	T					
32. -iShares Trust Dow Jones US Utilities Index	A	Dividend			Sold	05/10/13	J	B	
33. -iShares S&P MidCap 400 Value Index Fund	A	Dividend	J	T					
34. -iShares Dow Jones Non Cyclical Consumer Staples Index Fund	A	Dividend			Sold	5/10/13	J	C	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. i-Shares S&P Small Cap 600 Value Index Fund	A	Dividend	J	T					
36. -Powershares Areospace Index Fund	A	Dividend	J	T					
37. -Central Fund of Canada	A	Dividend	J	T					
38. -API Efficient Frontier Fund	A	Int./Div.			Sold	07/15/13	N	A	
39. -Utilites Select SPDR Index Fund	A	Dividend	J	T					
40. -Oppenheimer Developing Markets Fund	A	Dividend	J	T					
41. -iShares Dow Jones US Energy Sector Index Fund	A	Dividend	J	T					
42. -First Eagle Gold Fund	A	Dividend	K	T					
43. -First Eagle Global Fund	A	Dividend	K	T					
44. -RS Global Natural Resources Fund	A	Dividend	J	T					
45. Pac Life Variable Annuity	A	Dividend	K	T					
46.									
47.									
48. Lee Alig, personal loan receivable	A	Interest	J	T					
49.									
50.									
51. Chase Bank Accounts	A	Interest	L	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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52.									
53. 255 North Alabama St. LLC(Y)		None							

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part II, line 1, I have removed the statement "Personal loan to Lee Alig" from this portion of the report. This information continues to be listed in Part VII.

In the prior 2012 report Part VII, page 4, lines 1 and page 5, line 22, I listed "Raymond James IRA (Guardian Variable Annuity) Account#1" and "Raymond James Brokerage Account#2". These items are only headers providing the name of the account. In the present 2013 report Part VII, page 4, lines 1 and page 5, line 23, I have again noted the names of the accounts as "RBC Wealth Mngmt IRA (Guardian Annuity #1) and RBC Wealth MNGMNT Brokerage Account" but to make clear these are only headers I have amended this report adding an (H) to make clear these are headers.

In the prior 2012 report Part VII, page 4, line 5 I listed "Wells Fargo Adv Internation Equity Fund" which is an error, this item should have been "Evergreen VA International Equity". "Evergreen VA International Equity" is correctly listed on the present report at page 4, line 5. "Wells Fargo" is correctly listed on the present report at page 5, line 21.

Part VII, page 5, line 24 an (X) has been added because "RBC Bank Deposit" was not previously reported.

Part VII, line 53 was added because in July 2013, I learned the transfer of my interest in the 255 North Alabama Street property was defective--the transfer required the agreement of the other building partners. A fully effective dissolution of my interest in this property was eventually executed in 2014. I did not receive any money when this transfer was initially completed in May 2011 nor in 2014 but I wanted to insure full disclosure.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Denise K. La Rue**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544