

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Giblin, Keith F.	2. Court or Organization Texas - District Court	3. Date of Report 08/29/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge - Full Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 300 Willow, Room 118 Beaumont TX 77701		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Committee Member	Boy Scouts of America, Troup 601
2.	Board Member	Ubi Caritas
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2004	Department of Justice Retirement Plan, No Control - Pension Plan through former employer.
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Giblin, Keith F.

Date of Report

08/29/2012

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2011

Christus - St. Elizabeth Hospital, Beaumont Texas

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED1. Austin Intellectual Property
Law AssociationDecember 12-13,
2011

Austin, TX

Judge's Appreciation
DinnerHotel, Parking, Gratuity, Dinner and
Mileage

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

Giblin, Keith F.

Date of Report

08/29/2012

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	VISA Capital One Services	Credit Card Debt	J
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

Giblin, Keith F.

Date of Report

08/29/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. San Antonio, Texas Water Municipal Bond	B	Interest	K	T					
2. Texas Tech University Municipal Bond	B	Interest	K	T					
3. UBS Bank Deposit Money Market	A	Interest	K	T					
4. Guarantee Bank, Bmt TX (checking account)	A	Interest	J	T					
5. Cleveland, Texas ISD Maturity Bond	A	Interest	K	T					
6. Galveston County Municipal Bond	A	Interest	K	T					
7. Harris County Houston Municipal Bond	A	Interest	J	T					
8. Irving Texas ISD Municipal Bond	A	Interest	K	T					
9. University of Texas Municipal Bond	A	Interest	K	T					
10. GVIT Growth Fund		None	K	T					
11. GVIT Asset Allocation		None	L	T					
12. GVIT Bond Fund		None	L	T					
13. Dell Computer Stock		None			Sold	10/10/11	K	D	
14. Cypress Fairbanks ISD	A	Interest	K	T					
15. Austin Texas Utility Systems Zero Coupon Municipal Bond		None			Redeemed	10/18/11	K	A	
16. Irving Texas I.S.D. Zero Coupon Bond		None	J	T					
17. Leander Texas I.S.D. Zero Coupon Municipal Bond		None			Redeemed	02/15/11	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

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18. Dallas-Forth Worth Municipal Bond	B	Interest	K	T					
19. Houston, Texas Water Municipal Bond	B	Interest	K	T					
20. American Balance Fund	B	Dividend	L	T					
21. American High Income Municipal Fund	B	Dividend	K	T					
22. American High Income Trust Fund	B	Dividend	J	T					
23. American Funds Group -Capital Income Builder Fund	B	Dividend	L	T					
24. Legg Mason Partners Managed Municipals Fund	A	Dividend	J	T					
25. William Blair International Fund	A	Dividend			Sold	02/22/11	J	A	
26. GAMCO Growth Fund	A	Dividend	J	T	Sold (part)	02/22/11	J	A	
27. American Funds Group- Growth Fund of America	A	Dividend	K	T	Sold (part)	02/22/11	J	A	
28. Janus Advisor Forty Fund	A	Dividend	J	T	Sold (part)	02/22/11	J	A	
29. Neuberger Berman International Trust Fund	A	Dividend			Sold	02/22/11	L	A	
30. T Rowe Price Value Advisor Fund	A	Dividend	K	T	Sold (part)	02/22/11	J	A	
31. Royce Premier Fund	A	Dividend	J	T	Sold (part)	02/22/11	J	A	
32. American Funds Group Invst Co of America Fund	A	Dividend	J	T	Sold (part)	02/22/11	J	A	
33. Washington Mutual Investors Fund	A	Dividend	J	T					
34. DWS Core	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 8

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35. Lord Abbett High IncomeMunicipal	B	Dividend	K	T					
36. Managers Bond Fund	B	Dividend			Sold	02/22/11	J	A	
37. Pioneer Strategic Income	A	Dividend	K	T					
38. Oppenheimer Main Street Small Cap	A	Dividend	K	T	Sold (part)	02/22/11	J	A	
39. Ft. Templeton Global Bono Fund	B	Dividend	K	T	Sold (part)	02/22/11	J	A	
40. Smith Barney Bank Deposit Program	A	Dividend	J	U	Buy	12/01/11	J		
41. UBS AAA Energy	A	Dividend	K	T	Buy	12/01/11	J		
42. American Mutual Fund	A	Dividend	J	T	Buy	02/14/11	J		
43. Capital World Growth	A	Dividend	J	T	Buy	3/21/11	J		
44. New Perspective	A	Dividend	J	T	Buy	2/14/11	J		
45. New World Fund	A	Dividend	J	T	Buy	12/28/11	J		
46. Capital World Bond	A	Dividend	J	T	Buy	3/23/11	J		
47. Intermediate Bond Fund	A	Dividend	J	T	Buy	2/28/11	J		

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FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

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08/29/2012

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The following funds were sold or redeemed in 2010 and removed from the 2011 report:

1. Texas State Department of Housing Municipal Bond
2. Harlandale, Texas ISD Municipal Bond
3. Harris County, Houston, Texas Municipal Bond
4. Lord Abbett Bond Debenture Fund

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

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Date of Report

08/29/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Keith F. Giblin**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544