

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Baker, Harold A.	2. Court or Organization United States District Court/Central District of Illinois	3. Date of Report 05/07/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address United States Courthouse 201 S. Vine Street Urbana, IL 61802		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Accenture PLC	A	Dividend	L	T					
2. Adien5t PLC ADNT	A	Dividend			Sold	8/28/18	J		
3. Alphabet Inc.	A	Dividend	J	T	Buy	8/28/18	J		
4. American Tower Corp REIT	A	Dividend	K	T					
5. Amgen, Inc.	A	Dividend	K	T	Buy	08/28/18	K		
6. Anheuser Busch	A	Dividend	K	T					
7. Apple, Inc.	A	Dividend	K	T	Buy	08/27/18	K		
8. AT&T Inc	B	Dividend	K	T					
9. Becton Dickinson Co BDx	A	Dividend	L	T					
10. Blackrock, Inc.	B	Dividend	K	T					
11. Borg Warner Inc., BWA	A	Dividend	K	T					
12. BP PLC	B	Dividend	K	T					
13. Calumet Pk IL Series B	A	Interest	K	T					
14. Care Cap PPTYS Inc	A	Dividend			Sold	08/28/18	J		
15. Chubb Ltd	A	Dividend	K	T	Buy	08/27/18	J		
16. Citigroup Inc.	A	Dividend	K	T	Buy	08/28/18	K		
17. Dominion Energy, f/k/a Scama	B	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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18. Emerson Electric Co	B	Dividend	K	T					
19. Fidelity Cash Reserves	B	Interest	N	T					
20. Fidelity New Millenium	B	Dividend	N	T					
21. General Mills	A	Dividend	K	T					
22. Grain farm Hensley Twp. Champaign County, IL	F	Rent	P1	W					
23. Illinois Financial Authority	E	Interest	K	T					
24. Illinois Tool Works	B	Dividend	L	T					
25. Intel Corp.	A	Dividend	K	T					
26. IShares Global Telecom	A	Dividend			Sold	08/28/18	J		
27. J P Morgan Chase & Co	A	Dividend	K	T	Buy	08/27/18	K		
28. S&P Midcap	B	Dividend	L	T					
29. Johnson & Johnson JNJ	A	Dividend	K	T					
30. Johnson Controls	A	Dividend			Sold	08/28/18	J		
31. Lockheed Martin Corp	A	Dividend	K	T	Buy	08/28/18	K		
32. Lowes Companies	A	Dividend	K	T					
33. Medtronic PLC	A	Dividend	K	T					
34. JP Morgan Stanley Global Medium Term Notes	B	Interest	K	T					

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(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
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35. Oracle Corp.	A	Dividend	K	T	Buy	08/28/18	J		
36. Pfizer Inc	A	Dividend	K	T					
37. Polaris Inds Inc	A	Dividend	K	T					
38. Invesco Dynamic Sfware, f/k/a Power Shares Dynamic	A	Dividend	M	T					
39. Prudential FNL	A	Dividend	K	T	Buy	08/28/18	K		
40. Schlumberger Ltd.	B	Dividend	L	T					
41. Southwestern IL Dev Auth	A	Interest	K	T					
42. US Bancorp	A	Dividend	K	T	Buy	08/28/18	K		
43. VF Corporation VFC	B	Dividend	L	T					
44. Vanguard MSCI EAFE ET	A	Dividend	K	T					
45. Ventas Inc	A	Dividend	J	T					
46. Walgreens Boots Alliance Inc	C	Dividend	M	T					
47. Worth IL RFDG & Alt Rev G/O UNLTD B/	A	Interest	K	T					
48. 3M Co	A	Dividend	K	T	Buy	08/28/18	K		
49.									
50.									
51.									

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52.									
53.									
54.									
55.									
56.									
57.									
58.									
59.									
60.									
61.									
62.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Scana Corp (line 31 of 2017 Report) is n/k/a Dominion Energy (line 17 of this Report).
2. Power Shares Dynamic (line 29 of 2017 Report) is n/k/a Invesco Dynamic (line 38 of this Report).

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Harold A. Baker**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544