

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Houser, Barbara J.	2. Court or Organization US Bky Ct; No Dst of Tx	3. Date of Report 04/09/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 1254 Earle Cabell Federal Bldg 1100 Commerce Street Dallas, TX 75242-1496		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Present Interest Trust
2. Fellow	National Bankruptcy Conference
3. Fellow; Vice-President; Member of Board of Directors	American College of Bankruptcy
4. Fellow	American Bar Foundation
5. Fellow	Texas Bar Foundation
6. Master	John C. Ford American Inn of Court
7. Member; Member of Board of Directors	American Bankruptcy Institute
8. Chair of Board of Directors	Dallas Legal Hospice n/k/a Legal Hospice of Texas
9. Member	National Conference of Bankruptcy Judges

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	American Bankruptcy Institute/University of Texas	February 23-25	Las Vegas, NV	Speak at bankruptcy conference	Transportation, Lodging, and Meals
2.	American College of Bankruptcy	March 17-19	Washington DC	Attend Spring Meeting of College and speak at educational program	Transportation, Lodging, and Meals
3.	International Womens Insolvency and Restructuring Confederacy	March 30-31	Washington DC	Speak at educational program	Transportation, Lodging, and Meals
4.	American Bankruptcy Institute	March 31-April 3	Washington DC	Attend and speak at ABI Spring Conference	Transportation, Lodging, and Meals

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5.	National Conference of Bankruptcy Judges	April 4-5	Palm Desert, CA	Attend mid-year meeting of NCBJ	Transportation, Lodging, and Meals
6.	American Law Institute/ American Bar Association	April 27-30	Philadelphia, PA	Speak at bankruptcy conference	Transportation, Lodging, and Meals
7.	National Conference of Bankruptcy Judges	June 3-5	Columbia, SC	Attend wedding of NCBJ Executive Director	Transportation, Lodging, and Meals
8.	Bankruptcy Section of Alabama State Bar Association	June 8-12	Destin, FL	Speak at bankruptcy conference	Transportation, Lodging, and Meals
9.	Advanced Consumer Bankruptcy Practice Institute	July 20-23	Albuquerque, NM	Receive NITA training to teach at future institutes	Transportation, Lodging, and Meals
10.	National Conference of Bankruptcy Judges	September 14-15	Washington DC	Attend Congressional reception sponsored by NCBJ, FJA, and FMJA	Lodging and Meals
11.	National Conference of Bankruptcy Judges	October 9-15	Tampa, FL	Attend annual meeting of NCBJ and speak at the conference	Transportation, Lodging, and Meals
12.	National Bankruptcy Conference	November 9-12	Washington DC	Attend annual meeting of NBC	Transportation, Lodging, and Meals
13.	American Bankruptcy Institute	December 1-3	LaQuinta, CA	Attend winter leadership conference and speak at education program	Transportation, Lodging, and Meals

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Glenn Cox	Cash gift	\$12,500.00
2.	Veronica Cox	Cash gift	\$12,500.00
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Technical Communications common stock	A	Dividend	J	T					
2. Centennial Bank CD	B	Interest			Redeemed	12/16/11	M		
3. First Federal Bank of CA n/k/a One West Bank CD	A	Interest			Redeemed	06/14/11	M		
4. State Bank of Texas CDs	C	Interest	M	T	Redeemed (part)	07/18/11	M		
5. Hodges Fund		None			Sold	08/25/11	K		
6. Evergreen US Govt Money Market Fund	A	Interest			Closed	07/20/11	J		
7. Bank of America (various accounts)	A	Interest	K	T					
8. Capitol One FSB CD	D	Interest	M	T					
9. First Community Bank CD	C	Interest			Redeemed	11/29/11	L		
10. Key Bank CD	D	Interest	M	T					
11. [REDACTED] Present Interest Trust [REDACTED]		None							
12. Wal-Mart Stores, Inc. common stock	A	Dividend	J	T					
13. Discover Bank CD	D	Interest	M	T					
14. Indy Mac Bank n/k/a One West Bank CD	A	Interest			Redeemed	09/08/11	M		
15. Washington Federal Savings Bank CDs	C	Interest	M	T					
16. Washington Federal Savings Bank CD	B	Interest			Redeemed	03/07/11	K		
17. ING CD	C	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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18. Interstate Netbank CD n/k/a Customers Bank	B	Interest	L	T					
19. Jefferson Bank CD n/k/a Mid-South Bank	C	Interest	L	T					
20. Acacia Federal Savings Bank CD	C	Interest	L	T					
21. Wachovia Bank CD n/k/a Wells Fargo	C	Interest	L	T					
22. Intervest National Bank CD	D	Interest	L	T					
23. Flagstar Bank CD	C	Interest	L	T					
24. Nexity Bank CD n/k/a Alostark Bank	B	Interest	M	T					
25. Exchange Bank CD	C	Interest	M	T					
26. Washington Mutual Bank CD n/k/a Chase Bank	B	Interest	K	T					
27. Ascencia Bank CDs	A	Interest			Redeemed	01/06/11	L		
28. GMAC Bank (various accounts) n/k/a Ally Bank	D	Interest	M	T					
29. Transportation Alliance Bank CD	B	Interest	K	T					
30. Am Trust Direct n/k/a New York Community Bank CD	B	Interest	M	T					
31. Tennessee Commerce Bank CD	A	Interest			Redeemed	01/22/11	M		
32. Patriot Bank CDs	D	Interest	M	T					
33. Great Western Bank CDs	C	Interest	M	T					
34. Highlands Bank CD	A	Interest			Redeemed	04/18/11	L		

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35. Third Federal Bank CD	C	Interest	L	T					
36. Omni American Bank CD	D	Interest	M	T					
37. ELoan Bank CD	C	Interest	L	T					
38. On Bank CD	C	Interest	L	T					
39. Equity Bank CDs n/k/a Triumph Savings Bank	D	Interest	M	T					
40. Salem 5 CD	C	Interest	L	T					
41. Zions Bank CD	C	Interest	L	T					
42. Park Cities Bank CDs	C	Interest	K	T	Redeemed (part)	03/25/11	L		
43.					Redeemed (part)	08/13/11	M		
44. View Point Bank CD	C	Interest	L	T					
45. Nexbank CD	D	Interest			Redeemed	06/03/11	M		
46. AIG Bank CD	A	Interest			Redeemed	06/07/11	L		
47. First United Bank CD	A	Interest			Redeemed	07/27/11	L		
48. Community Trust Bank of Texas CD	D	Interest	M	T					
49. Community Trust Bank of Texas checking	A	Interest			Closed	09/02/11	J		
50. Share Plus Federal Bank CD	C	Interest	L	T					
51. Bank of the Ozarks CD	A	Interest			Redeemed	07/16/11	M		

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52. Green Bank Money Market	A	Interest	L	T	Open	12/21/11	M		
53. Brokerage account # 1									
54. Anheuser-Busch (BUD) Stock	A	Dividend	K	T	Buy	08/02/11	K		
55. Automatic Data Processing Inc (ADP) Stock	A	Dividend	K	T	Buy	08/05/11	K		
56. Berkshire Hathaway Inc (BRKB) common stock		None	L	T	Buy	07/20/11	K		
57.					Buy (add'l)	08/05/11	K		
58. BHP Billiton LTD (BHP) common stock	A	Dividend	K	T	Buy	08/02/11	K		
59. Bristol Myers Squibb (BMY) common stock	A	Dividend	K	T	Buy	09/20/11	K		
60. Coca-Cola (KO) common stock	A	Dividend	K	T	Buy	10/04/11	K		
61. Emerson Electric (EMR) common stock	A	Dividend	K	T	Buy	08/09/11	K		
62. Exxon Mobil (XOM) common stock	A	Dividend	K	T	Buy	08/19/11	K		
63. HJ Heinz (HNZ) common stock	A	Dividend	K	T	Buy	10/11/11	K		
64. Hershey (HSY) common stock	A	Dividend	K	T	Buy	07/28/11	K		
65. Imperial Oil LTD (IMO) common stock	A	Dividend	K	T	Buy	08/17/11	K		
66. Intl Business Machines (IBM) common stock	A	Dividend	L	T	Buy	11/23/11	K		
67.					Buy (add'l)	12/21/11	K		
68. Intl Flavors & Fragrances (IFF) commons stock	A	Dividend	K	T	Buy	09/01/11	K		

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69. Johnson & Johnson (JNJ) common stock	A	Dividend	K	T	Buy	07/20/11	K		
70. Microsoft (MSFT) common stock	A	Dividend	K	T	Buy	07/27/11	K		
71.					Buy (add'l)	08/16/11	J		
72. Monsanto (MON) common stock	A	Dividend	K	T	Buy	08/16/11	J		
73. Nestle SA (NSRGY) common stock	A	Dividend	K	T	Buy	08/19/11	K		
74.					Buy (add'l)	09/07/11	J		
75. PPG Industries (PPG) common stock	A	Dividend	K	T	Buy	08/10/11	K		
76. Pepsico (PEP) common stock	A	Dividend	K	T	Buy	07/25/11	K		
77. Procter & Gamble (PG) common stock	A	Dividend	J	T	Buy	12/02/11	J		
78. Sigma-Aldrich (SIAL) common stock	A	Dividend	K	T	Buy	08/02/11	K		
79. 3 M (MMM) common stock	A	Dividend	K	T	Buy	08/25/11	K		
80.					Buy (add'l)	09/20/11	J		
81. United Parcel Service (UPS) common stock	A	Dividend	K	T	Buy	08/10/11	K		
82. Wal Mart Stores (WMT) common stock	A	Dividend	K	T	Buy	10/04/11	K		
83. Ishares MSCI Emerging Mkts Index FD (EEM)	A	Dividend	J	T	Buy	10/12/11	J		
84. Mead Johnson Nutrition common stock	A	Dividend			Buy	08/09/11	K		
85.					Sold	12/27/11	K	A	

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86. Brokerage Account # 2									
87. Bristol Myers Squibb (BMY) common stock	A	Dividend	J	T	Buy	09/07/11	J		
88. Conoco Phillips (COP) common stock	A	Dividend	J	T	Buy	09/07/11	J		
89. HJ Heinz (HNZ) common stock	A	Dividend	J	T	Buy	09/07/11	J		
90. Brokerage Account # 3									
91. Blackrock National Mutual Fund	B	Dividend	K	T	Buy	03/01/11	J		
92.					Buy (add'l)	03/11/11	J		
93.					Buy (add'l)	04/13/11	J		
94.					Buy (add'l)	04/19/11	J		
95.					Buy (add'l)	04/27/11	J		
96.					Buy (add'l)	05/06/11	J		
97.					Buy (add'l)	05/23/11	J		
98.					Buy (add'l)	06/02/11	J		
99.					Buy (add'l)	08/26/11	K		
100.					Sold (part)	09/22/11	J	A	
101. Oppenheimer Gold and Special Minerals Fund		None			Buy	03/01/11	J		
102.					Buy (add'l)	03/11/11	J		

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103.					Buy (add'l)	04/13/11	J		
104.					Buy (add'l)	04/19/11	J		
105.					Sold (part)	04/27/11	J	A	
106.					Buy (add'l)	05/06/11	J		
107.					Buy (add'l)	05/23/11	J		
108.					Buy (add'l)	06/02/11	J		
109.					Sold	08/26/11	K	A	
110. DWS Intrmd Tax/Amt Free Mutual Fund	A	Dividend	K	T	Buy	03/01/11	J		
111.					Buy (add'l)	03/11/11	J		
112.					Buy (add'l)	04/13/11	J		
113.					Buy (add'l)	04/19/11	J		
114.					Buy (add'l)	05/06/11	J		
115.					Buy (add'l)	05/23/11	J		
116.					Buy (add'l)	06/02/11	J		
117.					Buy (add'l)	08/26/11	K		
118. Rydex/SGI Mid Cap Value Fund		None			Buy	03/01/11	J		
119.					Buy (add'l)	03/11/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Buy (add'l)	04/13/11	J		
121.					Buy (add'l)	04/19/11	J		
122.					Buy (add'l)	05/06/11	J		
123.					Buy (add'l)	05/23/11	J		
124.					Buy (add'l)	06/02/11	J		
125.					Sold	08/26/11	K		
126. Allianz NFJ Dividend Value Fund	A	Dividend			Buy	03/01/11	J		
127.					Buy (add'l)	03/11/11	J		
128.					Buy (add'l)	04/13/11	J		
129.					Buy (add'l)	04/19/11	J		
130.					Buy (add'l)	05/06/11	J		
131.					Buy (add'l)	05/23/11	J		
132.					Buy (add'l)	06/02/11	J		
133.					Sold	08/26/11	K		
134. Lord Abbett Intermediate Tax Free Fund	B	Dividend	K	T	Buy	03/01/11	J		
135.					Buy (add'l)	03/11/11	J		
136.					Buy (add'l)	04/13/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	04/19/11	J		
138.					Buy (add'l)	05/06/11	J		
139.					Buy (add'l)	05/23/11	J		
140.					Buy (add'l)	06/02/11	J		
141.					Buy (add'l)	08/26/11	K		
142. Ivy Asset Strategy Fund		None			Buy	03/01/11	J		
143.					Buy (add'l)	03/11/11	J		
144.					Buy (add'l)	04/13/11	J		
145.					Buy (add'l)	04/19/11	J		
146.					Buy (add'l)	05/06/11	J		
147.					Buy (add'l)	05/23/11	J		
148.					Buy (add'l)	06/02/11	J		
149.					Sold	09/22/11	J		
150. Hartford Capital Appreciation Fund		None			Buy	03/01/11	J		
151.					Buy (add'l)	03/11/11	J		
152.					Buy (add'l)	04/13/11	J		
153.					Buy (add'l)	04/19/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	05/06/11	J		
155.					Buy (add'l)	05/23/11	J		
156.					Buy (add'l)	06/02/11	J		
157.					Sold	08/26/11	K		
158. Artio International Equity Fund II		None			Buy	03/01/11	J		
159.					Buy (add'l)	03/11/11	J		
160.					Buy (add'l)	04/13/11	J		
161.					Buy (add'l)	04/19/11	J		
162.					Sold	04/27/11	K	A	
163. Mainstay Large CapGrowth Fund		None	K	T	Buy	03/01/11	J		
164.					Buy (add'l)	03/11/11	J		
165.					Buy (add'l)	04/13/11	J		
166.					Buy (add'l)	04/19/11	J		
167.					Buy (add'l)	05/06/11	J		
168.					Buy (add'l)	05/23/11	J		
169.					Buy (add'l)	06/02/11	J		
170.					Sold (part)	08/26/11	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Oppenheimer Rising Dividends Fund	A	Dividend			Buy	03/01/11	J		
172.					Buy (add'l)	03/11/11	J		
173.					Buy (add'l)	04/13/11	J		
174.					Buy (add'l)	04/19/11	J		
175.					Buy (add'l)	05/06/11	J		
176.					Buy (add'l)	05/23/11	J		
177.					Buy (add'l)	06/02/11	J		
178.					Sold	08/26/11	K		
179. Columbia Value & Restructuring Fund	A	Dividend			Buy	03/01/11	J		
180.					Buy (add'l)	03/11/11	J		
181.					Buy (add'l)	04/13/11	J		
182.					Buy (add'l)	04/19/11	J		
183.					Buy (add'l)	05/06/11	J		
184.					Buy (add'l)	05/23/11	J		
185.					Buy (add'l)	06/02/11	J		
186.					Sold	08/26/11	K		
187. T Rowe Price Summit Muni Intermediate Fund	A	Dividend	K	T	Buy	03/01/11	J		

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Buy (add'l)	03/11/11	J		
189.					Buy (add'l)	04/13/11	J		
190.					Buy (add'l)	04/19/11	J		
191.					Buy (add'l)	05/06/11	J		
192.					Buy (add'l)	05/23/11	J		
193.					Buy (add'l)	06/02/11	J		
194.					Buy (add'l)	08/26/11	K		
195. MFS Value Fund		None			Buy	03/01/11	J		
196.					Buy (add'l)	03/11/11	J		
197.					Buy (add'l)	04/13/11	J		
198.					Buy (add'l)	04/19/11	J		
199.					Buy (add'l)	05/06/11	J		
200.					Buy (add'l)	05/23/11	J		
201.					Buy (add'l)	06/02/11	J		
202.					Sold	08/26/11	K		
203. Henderson International Opportunities Fund		None			Buy	03/01/11	J		
204.					Buy (add'l)	03/11/11	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

205.					Buy (add'l)	04/13/11	J		
206.					Buy (add'l)	04/19/11	J		
207.					Buy (add'l)	05/06/11	J		
208.					Buy (add'l)	05/23/11	J		
209.					Buy (add'l)	06/02/11	J		
210.					Sold	08/26/11	K		
211. Alliance NFJ International L Value Fund	A	Dividend			Buy	04/27/11	K		
212.					Buy (add'l)	05/06/11	J		
213.					Buy (add'l)	05/23/11	J		
214.					Buy (add'l)	06/02/11	J		
215.					Sold	08/26/11	K		
216. Dreyfus Appreciation Fund	A	Dividend	K	T	Buy	08/26/11	K		
217.					Buy (add'l)	09/22/11	J		
218. Cambiar Opportunity Fund	A	Dividend	K	T	Buy	08/26/11	K		
219. Eaton Vance NTL Ltd Maturity Muni Fund	A	Dividend	L	T	Buy	08/26/11	L		
220. Federated Strategic Value Dividend Fund	A	Dividend	K	T	Buy	08/26/11	K		
221. Legg Mason Western Asset Municipal High Income Fund	A	Dividend	K	T	Buy	08/26/11	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

222. Permanent Portfolio Fund		None	K	T	Buy	09/22/11	K		
223. Brokerage Account # 4									
224. Templeton Global Bond Fund	A	Dividend	J	T	Buy	07/15/11	J		
225. J P Morgan Strategic Income Opportunity Fund	A	Dividend	J	T	Buy	07/15/11	J		
226. Pimco Emerging Local Bond Fund	A	Dividend	J	T	Buy	07/15/11	J		
227. Lord Abbot Floating Rate Fund	A	Dividend			Buy	07/15/11	J		
228.					Sold	08/17/11	J		
229. Lord Abbott Short Duration Income Fund	A	Dividend	J	T	Buy	07/15/11	J		
230. Oppenheimer International Bond Fund	A	Dividend			Buy	07/15/11	J		
231.					Sold	08/31/11	J	A	
232. J P Morgan Mortgage Backed Securities Fund	A	Dividend	J	T	Buy	07/15/11	J		
233. Transamerica Aegon High Yield Bond Fund	A	Dividend	J	T	Buy	07/15/11	J		
234. SPDRGold Trust Fund		None	J	T	Buy	07/15/11	J		
235.					Sold	08/25/11	J		
236.					Buy (add'l)	08/31/11	J		
237. iShares S & P Europe 350 Index Fund		None			Buy	07/15/11	J		
238.					Sold	08/01/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. IShares Trust Dow Jones US Utils Sector Index Fund		None			Buy	07/15/11	J		
240.					Sold	08/25/11	J		
241. IShares Trust Dow Jones US Real Estate Index Fund		None	J	T	Buy	07/15/11	J		
242.					Sold (part)	08/25/11	J		
243. IShares RS 2000 Growth Index Fund		None			Buy	07/15/11	J		
244.					Sold	08/25/11	J		
245. First TR Consumer Discrt Alphadex Fund		None			Buy	07/15/11	J		
246.					Sold	08/25/11	J		
247. IShares Barchlays MBS Bond Fund	A	Dividend	J	T	Buy	07/15/11	J		
248.					Buy (add'l)	08/18/11	J		
249. Vanguard FTSE All World Ex US Fund		None			Buy	07/15/11	J		
250.					Sold	08/01/11	J	A	
251. IShares Barclays 1-3 Year Credit Fund	A	Dividend	K	T	Buy	07/15/11	J		
252.					Buy (add'l)	08/01/11	K		
253.					Buy (add'l)	08/25/11	K		
254.					Sold (part)	08/31/11	K	A	
255.					Sold (part)	09/30/11	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256.					Buy (add'l)	11/02/11	J		
257. Powershares Exchange Traded Fund		None			Buy	07/15/11	J		
258.					Sold	08/25/11	J		
259. Vanguard MSCI Emerging Markets ETF		None			Buy	07/15/11	K		
260.					Sold	08/01/11	K	A	
261. Vanguard Energy ETF		None			Buy	07/15/11	J		
262.					Sold	08/25/11	J		
263. Vanguard Health Care ETF		None			Buy	07/15/11	J		
264.					Sold	08/25/11	J		
265. Vanguard Information Tech ETF		None			Buy	07/15/11	J		
266.					Sold	08/25/11	J		
267. iShares Barclays Tips Bo Protected Secs Fund	A	Dividend			Buy	07/15/11	J		
268.					Sold	08/31/11	J	A	
269. iShares Barclays Aggrgt Aggregate BD Fund	A	Dividend	L	T	Buy	07/15/11	K		
270.					Buy (add'l)	08/01/11	K		
271.					Buy (add'l)	08/25/11	K		
272.					Sold (part)	08/31/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. IShares MSCI EAFE Index Fund		None			Buy	07/15/11	K		
274.					Sold	08/01/11	K	A	
275. Elements Rogers TR SV=4126.81		None			Buy	07/15/11	J		
276.					Buy (add'l)	08/01/11	J		
277.					Sold	08/25/11	J		
278.					Buy (add'l)	09/30/11	J		
279.					Sold	11/02/11	J	A	
280. SPDR Barclay Capital High Yield Bond Fund	A	Dividend	J	T	Buy	08/31/11	J		
281. Vanguard Total Bond MKT Fund	A	Dividend	K	T	Buy	08/31/11	K		
282. IShares Barclays 3-7 Year Bond Fund	A	Dividend	K	T	Buy	08/31/11	K		
283. IShares Barclays 20+ Year	A	Dividend			Buy	08/31/11	J		
284.					Sold	09/08/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 11 - This trust has no assets.

Part VII, line 53 -- This account contains (or contained) the stocks listed in lines 54-85.

Part VII, line 86 - This account contains the stocks listed in lines 87-89.

Part VII, line 90 - This account contains (or contained) the mutual funds listed in lines 91-222.

Part VII, line 223 -- This account contains (or contained) the mutual funds listed in lines 224-284.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Barbara J. Houser**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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