

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) BLAKE, CATHERINE C.	2. Court or Organization U S DISTRICT COURT, MARYLAND	3. Date of Report 08/07/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U S DISTRICT JUDGE, ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 7310 U S COURTHOUSE 101 WEST LOMBARD STREET BALTIMORE, MD 21201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	TREASURER	FEDERAL JUDGES ASSOCIATION
2.	TRUSTEE	FARM TRUST
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. HARVARD LAW SCHOOL	4/3/13 - 4/4/13	CAMBRIDGE, MA	JUDGE MOOT COURT COMPETITION	TRANSPORTATION, LODGING
2. FEDERAL JUDGES ASSOCIATION	4/23/13 - 4/25/13	WASHINGTON, DC	ATTEND FJA BOARD MEETING	TRANSPORTATION, LODGING, MEALS
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA-T Rowe Price-Equity Income	A	Dividend	K	T					
2. IRA-T Rowe Price-Growth Stock	A	Dividend	K	T					
3. IRA-T Rowe Price-New Era	A	Dividend	K	T					
4. IRA-T Rowe Price-Science & Technology		None	K	T					
5. IRA-T Rowe Price-Spectrum Income	B	Dividend	L	T					
6. State of Maryland Deferred Compensation Plan	B	Int./Div.	L	T					
7. Wells Fargo Bank-accounts	A	Interest	K	T					
8. IRA-First Financial Credit Union	A	Dividend	J	T					
9. Guardian Whole Life	B	Dividend	L	T					
10. MetLife Whole Life	A	Dividend	J	T					
11. T Rowe Price Md Tax-Free Bond Fund	C	Dividend	L	T					
12. Delaware High Yield Opp Fund A Class	B	Dividend	K	T					
13. M&T Bank account	A	Interest	J	T					
14. NewPerspFdIncCIA (American Funds)	B	Dividend	K	T					
15. RBC BrokerageAcc't									see note Pt VIII
16. --RBC BankDepProg	A	Interest			Closed	07/01/13	L		
17. --AT&T common stock	A	Dividend			Closed	07/01/13	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. --BB&T Corp common stock	A	Dividend			Closed	07/01/13	J		
19. --Eli Lilly & Co common stock	A	Dividend			Closed	07/01/13	K		
20. --Exxon Mobil Corp common stock	A	Dividend			Closed	07/01/13	L		
21. --Frontier Comm Corp common stock	A	Dividend			Closed	07/01/13	J		
22. --H J Heinz Co common stock	A	Dividend			Sold	06/10/13	K	D	
23. --Ill Tool Works Inc common stock	A	Dividend			Closed	07/01/13	J		
24. --Johnson & Johnson common stock	A	Dividend			Closed	07/01/13	K		
25. --JPMorgan Chase & Co common stock	A	Dividend			Closed	07/01/13	J		
26. --Merck&Co Inc common stock	A	Dividend			Closed	07/01/13	J		
27. --PPG Ind Inc common stock	A	Dividend			Closed	07/01/13	J		
28. --Verizon Comm common stock	A	Dividend			Closed	07/01/13	K		
29. --WW Grainger Inc common stock	A	Dividend			Closed	07/01/13	J		
30. --3M Co common stock	A	Dividend			Closed	07/01/13	K		
31. --Urstadt Biddle Properties Inc Class A	A	Dividend			Closed	07/01/13	J		
32. --DWS Mun Mgd Mun Bond Fund Class A	A	Dividend			Closed	07/01/13	K		
33. --MontCoMdRevBd 2004	A	Interest			Closed	07/01/13	J		
34. --CarrollCoMdConsPub ImpBd 2007	A	Interest			Closed	07/01/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. --CmntyDevAdminMd RevBd 2011B	A	Interest			Closed	07/01/13	J		
36. --WashCoMdPubImpBd 2011	A	Interest			Closed	07/01/13	J		
37. --MontCoMdRevBd 2011A	A	Interest			Closed	07/01/13	J		
38. --MdHlth&HEFARevBd PRMC2006	A	Interest			Closed	07/01/13	J		
39. --AnnapMdGOPubImp&RefBds2012	A	Interest			Closed	07/01/13	J		
40. --EMC Corp common stock	A	Dividend			Closed	07/01/13	J		
41. --MicrochipTechInc common stock	A	Dividend			Closed	07/01/13	J		
42. --Fluor Corp New common stock	A	Dividend			Closed	07/01/13	J		
43. --Freeport McMoran Copper&Gold Inc common stock	A	Dividend			Closed	07/01/13	J		
44. --Helmerich&Payne Inc common stock	A	Dividend			Closed	07/01/13	K		
45. --FredCoStMarysBond	A	Interest			Buy	01/10/13	J		
46.					Closed	07/01/13	J		
47. Farm Trust									
48. --Real Property Frederick County, MD		None	O	Q					See note Part VIII.
49. TRowePrice 529 college savings plan Portfolio 2027	A	Int./Div.	J	T	Buy (add'l)	02/06/13	J		
50.					Buy (add'l)	12/23/13	J		
51. TRowe Price 529 college savings plan Portfolio 2027	A	Int./Div.	J	T	Buy (add'l)	12/23/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. IRA - Franklin Templeton 2015 Retirement Target Fund	C	Dividend	M	T					
53. MetLife annuity	A	Int./Div.	J	T					
54. MetLife annuity	C	Int./Div.	L	T					
55. Morgan Stanley brokerage account									
56. --MorganStanley Bank Dep.	A	Interest	L	T					
57. --AT&T common stock	A	Dividend	K	T					
58. --BB&T Corp common stock	A	Dividend	K	T					
59. --Eli Lilly & Co. common stock	A	Dividend	K	T					
60. --Exxon Mobil Corp common stock	A	Dividend	L	T					
61. --Frontier Comm Corp common stock	A	Dividend	J	T					
62. --Ill Tool Works common stock	A	Dividend	K	T					
63. --Johnson & Johnson common stock	A	Dividend	K	T					
64. --JPMorganChase & Co common stock	A	Dividend	J	T					
65. --Merck & Co Inc common stock	A	Dividend	J	T					
66. --PPG Ind Inc common stock	A	Dividend	J	T					
67. --Verizon Comm common stock	A	Dividend	K	T					
68. --WWGrainger Inc common stock	A	Dividend	J	T					

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69. --3M co common stock	A	Dividend	K	T					
70. --Urstadt Biddle Properties Inc Class A	A	Dividend	J	T					
71. --DWS Mgd Mun Bond Fund Class A	A	Dividend	K	T					
72. --MontCoMdRevBd 2004	A	Interest	J	T					
73. --CarrollCoMdConsPubImpBd 2007	A	Interest	J	T					
74. --CmntyDevAdminMd RevBd 2011B	A	Interest	J	T					
75. --WashCoMdPubImpBd 2011	A	Interest	J	T					
76. --MontCoMdRevBd 2011A	A	Interest	J	T					
77. --MdHlth&HEFARevBd PRMC 2006	A	Interest	J	T					
78. --AnnapMdGOPubImpBd&RefBd 2012	A	Interest	J	T					
79. --EMC Corp common stock	A	Dividend	J	T					
80. --MicrochipTech Inc common stock	A	Dividend	J	T					
81. --Fluor Corp New common stock	A	Dividend	J	T					
82. --Freeport McMoran Copper&Gold inc common stock	A	Dividend	K	T					
83. --Helmerich&Payne Inc common stock	A	Dividend	K	T					
84. --FredCoStMarysBd 2007	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

LINE 15 and 55 ALL ASSETS IN THE RBC BROKERAGE ACCOUNT WERE TRANSFERRED TO A MORGAN STANLEY BROKERAGE ACCOUNT AS OF JULY 1, 2013.

lines 47-48 The real property that was an asset of the estate transferred by deed dated May 7, 2012, into a trust (Farm Trust) of which I am trustee. It is not income-producing. Appraisal was date of death of owner August 23, 2011.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ CATHERINE C. BLAKE

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544