

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Rowland, Mary M.	2. Court or Organization U. S. Dist Court, Northern District of Illinois	3. Date of Report 05/15/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge, full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 219 S. Dearborn, Room 1332 Dirksen Federal Building Chicago, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Trustee	██████████ Revocable Trust (unfunded)
2. Member of Board of Directors	Federal Bar Association, Chicago Chapter
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2013	Hughes Socol Piers Resnick & Dym, Ltd. Salary Savings Plan and Trust (former law firm), no control
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

Rowland, Mary M.

Date of Report

05/15/2014

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2013

Writer, self employed

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

Rowland, Mary M.

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05/15/2014

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	State Farm Bank	Credit Card	J
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

Rowland, Mary M.

Date of Report

05/15/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. ANNUITY A: Northwestern Mutual Variable Annuity									
2. Domestic Equity/MSA/Capital Guardian	B	Int./Div.	J	T					
3. Focused Appreciation/MSA/Janus	A	Int./Div.	J	T					
4. Fidelity VIP Mid Cap	A	Int./Div.	J	T					
5. Small Cap Value/MSA/T Rowe Price	A	Int./Div.	J	T					
6. Research International Cor/MSA/MFS	A	Int./Div.	J	T					
7. International Equity/MWS/Franklin Tmpl	A	Int./Div.	J	T					
8. Short Term Bond/MSA	A	Int./Div.	J	T					
9. Multi Sector Bond/MSA/PIMCO	A	Int./Div.	J	T					
10. Russell Global Real Estate Securities	A	Int./Div.	J	T					
11. Variable Life Insurance #1: Northwestern Mutual (MMR)									
12. Index 500 Stock/MSA	A	Int./Div.	J	T					
13. Variable Life Insurance #2: Northwestern Mutual (MMR)									
14. Index 500 Stock/MSA	A	Int./Div.	L	T					
15. Variable Life Insurance #3: Northwestern Mutual					Closed	12/09/13	J	A	
16. Index 500 Stock/MSA	A	Int./Div.			Closed	12/09/13	J	A	
17. Variable Life Insurance #4: Northwestern Mutual (JJ)					Closed	12/09/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P = \$500,001 - \$1,000,000 R = \$1,000,001 - \$5,000,000 T = More than \$5,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 Q = \$1,000,001 - \$5,000,000 U = More than \$5,000,000	L = \$50,001 - \$100,000 P = \$100,001 - \$250,000 R = \$250,001 - \$500,000 T = More than \$500,000	M = \$100,001 - \$250,000 P = \$250,001 - \$500,000 R = \$500,001 - \$1,000,000 U = More than \$1,000,000	N = \$100,001 - \$250,000 P = \$250,001 - \$500,000 R = \$500,001 - \$1,000,000 U = More than \$1,000,000
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Select Bon/MSA	A	Int./Div.			Closed	12/09/13	J	A	
19. International Equity/MSA/Franklin Tmpl	A	Int./Div.			Closed	12/09/13	J	A	
20. High Yield Bond/MSA	A	Int./Div.			Closed	12/09/13	J	A	
21. Russell Global Real Estate Securites	A	Int./Div.			Closed	12/09/13	J	A	
22. Small Cap Value/MSA/T Rowe Price	A	Int./Div.			Closed	12/09/13	J	A	
23. Equity Income/MSA/T Rowe Price	A	Int./Div.			Closed	12/09/13	J	A	
24. Fidelity VIP Mld Cap	A	Int./Div.			Closed	12/09/13	J	A	
25. Rollover IRA: American Funds (MMR)									
26. Capital World Growth & Income Fund	B	Dividend	K	T					
27. American Balanced Fund-A (MR)	B	Dividend	L	T					
28. Roth IRA (MMR): Capital World Fund & Income Fund-A	A	Dividend	J	T					
29. Roth IRA (JJ): American Balanced Fund-A	A	Int./Div.	J	T					
30. Illinois 529 Plan									
31. Oppenheimer Index Balance Portfolio Fund	C	Int./Div.	K	T					
32. Oppenheimer Indexed Equity Portfolio	C	Int./Div.	K	T					
33. Oppenheimer Fixed Income Portfolio	A	Int./Div.	J	T					
34. HSPRD 401K:									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

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Rowland, Mary M.

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35. DFA Emerging Markets	A	Int./Div.	J	T					
36. DFA One Year Fixed Income	A	Int./Div.	K	T					
37. DFA International Value	A	Int./Div.	K	T					
38. DFA Large Cap Value	C	Int./Div.	K	T					
39. DFA Small Cap	B	Int./Div.	K	T					
40. DFA International Small Cap	A	Int./Div.	J	T					
41. PIMCO Total Return Instl	A	Int./Div.	K	T					
42. American Funds-AMCAP R6	B	Int./Div.	K	T					
43. American Funds-EuroPacific Growth R6	B	Int./Div.	J	T					
44. Templeton Global Bond Adv	A	Int./Div.			Sold	12/31/13	K	B	
45. Vanguard REIT Index Signal	B	Int./Div.	J	T					
46. Vanguard 500 Index Signal	A	Int./Div.	K	T					
47. Franklin Templeton Global Bond Fund	B	Int./Div.	K	T	Buy	12/31/13	K		
48. Variable Annuity B: Northwestern Mutual									
49. Domestic Equity/MSA/Delaware Management	A	Int./Div.	J	T					
50. Focused Appreciation/MSA/Janus	A	Int./Div.	J	T					
51. Fidelity VIP Midcap	A	Int./Div.	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 7 of 10

Name of Person Reporting

Rowland, Mary M.

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05/15/2014

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52. Small Cap Value/MSA/T Rowe Price	A	Int./Div.	J	T					
53. Research International Core/MSA/MFS	A	Int./Div.	J	T					
54. International Equity/MSA/Franklin Tmpl	A	Int./Div.	J	T					
55. Short Term Bond/MSA	A	Int./Div.	J	T					
56. Multi Sector Bmod/MSA/PIMCO	A	Int./Div.	J	T					
57. Russell Global Real Estate Securities	A	Int./Div.	J	T					
58. New York Life Annuity	C	Dividend	K	T					
59. QTI Human Resources 401(k) Plan									
60. American Funds International EuroPacific	B	Int./Div.	K	T					
61. American Funds Growth Fund of Amer R6	C	Int./Div.	K	T					
62. Dodge & Cox Balanced	C	Int./Div.	K	T					
63. American Century Government Bond Inv	A	Int./Div.	K	T					
64. Fidelity Money Market	A	Int./Div.	J	T					
65. Northwestern Mutual Whole Life	C	Dividend	M	T					
66. Northwestern Mutual Whole Life	A	Dividend			Closed	12/09/13	J	A	
67. Fidelity Roth IRA									
68. Cisco Sys Inc	A	Int./Div.			Sold	02/21/13	J	A	

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FINANCIAL DISCLOSURE REPORT

Page 8 of 10

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Rowland, Mary M.

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69. Fidelity Cash Reserves	A	Int./Div.	J	T					
70. Fidelity Four in One Index	B	Int./Div.	K	T					
71. General Electric Co	A	Int./Div.			Sold	02/21/13	J	A	
72. Pfizer Inc	A	Int./Div.			Sold	02/21/13	J	A	
73. Fidelity IRA									
74. Spartan 500 Index Fd Investor Class	A	Int./Div.	J	T					
75. Fidelity Cash Reserves	A	Int./Div.	J	T	Buy (add'l)	02/21/13	J		
76. Nokia OYJ ADR Each Repr 1 Ord Npv	A	Int./Div.			Sold	02/21/13	J	A	

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FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

Rowland, Mary M.

Date of Report

05/15/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The [REDACTED] Revocable Trust, indicated in Part I, is unfunded and contains no assets.

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Rowland, Mary M.

Date of Report

05/15/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Mary M. Rowland**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544