

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Niemeyer, Paul V.	2. Court or Organization Court of Appeals - Fourth Circuit	3. Date of Report 05/01/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active Circuit Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 910 United States Courthouse 101 West Lombard Street Baltimore, Maryland 21201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Partner with L. A. Noonberg	Ownership
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	See Part VIII (1)
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 7

Name of Person Reporting

Niemeyer, Paul V.

Date of Report

05/01/2015

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. Entire Yr.	LexisNexis Matthew Bender, Albany, New York	\$6,409.25
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. University of Pennsylvania Law School	01/23	Philadelphia, PA	Moot Court	Food
2. Washington & Lee Law School	03/27-03/28	Lexington, VA	Lecture	Some food and lodging
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 7

Name of Person Reporting

Niemeyer, Paul V.

Date of Report

05/01/2015

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 7

Name of Person Reporting

Niemeyer, Paul V.

Date of Report

05/01/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. T. Rowe Price Prime Reserve (money market account)	A	Interest	J	T					See Part VIII (2)
2. P&M Investors Partnership	E	Dividend	J	W					See Part VIII (3)
3. T. Rowe Price Spectrum Growth	D	Dividend	M	T	Sold (part)	07/17/14	K	B	
4. Timken Co. stock	A	Dividend			Sold (part)	10/09/14	J	A	See Part VIII (4)
5.					Sold	11/03/14	J	A	See Part VIII (4)
6. Northwestern Mutual policy	C	Dividend	L	T					
7. Northwestern Mutual policy	C	Dividend	K	T					
8. Mass. Mutual whole life policy	B	Dividend	K	T					
9. T. Rowe Price Capital Apprec.	E	Dividend	M	T	Sold (part)	10/31/14	K	B	
10. Vanguard Wellesley	B	Dividend	L	T					
11. Vanguard Wellington	D	Dividend	M	T					
12. Vanguard Total Stock Index	C	Dividend	M	T					
13. Vanguard Growth and Income	D	Dividend	M	T					
14. Vanguard Mid-Cap Index	B	Dividend	L	T					
15. T. Rowe Price Media & Tel.	C	Dividend	K	T	Sold (part)	06/16/14	J	A	
16. T. Rowe Price New Horizons	C	Dividend	K	T	Buy (add'l)	01/03/14	J		
17.					Sold (part)	06/16/14	J	B	

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market

FINANCIAL DISCLOSURE REPORT

Page 5 of 7

Name of Person Reporting

Niemeyer, Paul V.

Date of Report

05/01/2015

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. T. Rowe Price Real Estate	A	Dividend	J	T	Sold (part)	01/02/14	K	A	
19.					Sold (part)	01/03/14	K	A	
20. T. Rowe Price New Asia	A	Dividend	J	T	Sold (part)	06/16/14	J	A	
21. Vanguard REIT Index	A	Dividend	K	T					
22. Apple, Inc. stock	A	Dividend	J	T	Buy (add'l)	04/30/14	J		
23. M&T Bank Account (Y)									
24. T. Rowe Price Global Allocat.	A	Dividend	J	T					
25. T. Rowe Price Health Sciences	A	Dividend	K	T	Buy (add'l)	06/30/14	J		
26. Medivation, Inc. stock		None	J	T					
27. TRP Asia Opport. (X)	A	Dividend	J	T	Buy	06/30/14	J		
28. TRP Cap. Opport. (X)	A	Dividend	K	T	Buy	06/30/14	K		
29. TRP Emerging Mkt (X)	A	Dividend	K	T	Buy	07/17/14	K		
30. TRP European Stk (X)	A	Dividend	K	T	Buy	01/03/14	K		
31. TRP Value (X)	A	Dividend	J	T	Buy	06/30/14	J		

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FINANCIAL DISCLOSURE REPORT

Page 6 of 7

Name of Person Reporting

Niemeyer, Paul V.

Date of Report

05/01/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Part II. 1984, now with LexisNexis Matthew Bender, Albany, New York -- Royalty agreement with co-author Linda M. Schuett and with Joyce Smithey on sale of book.
2. Part VII.1. This account is used as my bank account for proceeds of investment sales and purchases.
3. Part VII.2. This is a tax shelter that involves multiple properties, which are believed to have economic value, but I have a negative capital position, although distributions were made in the amount indicated. The value of this shelter cannot be known until the properties are sold.
4. Part VII. 4-5. Timken Co., spun off TimkenSteel Co. Timken Co. was sold on 10/09, and TimkenSteel Co. was sold on 11/03/14.

FINANCIAL DISCLOSURE REPORT

Page 7 of 7

Name of Person Reporting

Niemeyer, Paul V.

Date of Report

05/01/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Paul V. Niemeyer**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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