

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Clark, Ron	2. Court or Organization District Court, E.D. Texas	3. Date of Report 05/15/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 300 Willow #221 Beaumont, TX 77701		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Owner 529 Acct 1	529 Acct 1
2.	Owner 529 Acct 2	529 Acct 2
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1997	State of Texas Retirement Plan, no control
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. State Bar of Texas	June 20-21, 2013	Austin, Texas	Attend meeting of State Bar Board of Directors as Federal Judicial Liaison.	Travel, meals and 2 nights at hotel.
2. State Bar of Texas	September 19-20, 2013	South Padre Island, Texas	Attend meeting of State Bar Board of Directors as Federal Judicial Liaison.	Travel, meals and 2 nights at hotel.
3. Eastern District of Texas Bar Association	October 30-31, 2013	Plano, Texas	Attend meeting and speak at Annual Bench-Bar meeting.	Some meals.
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. USAA Mutual Fund USEXX	A	Interest	K	T					
2. USAA Mutual Fund USSPX	A	Dividend	K	T					
3. Vanguard VMMXX Money Market	A	Dividend	K	T					
4. Vanguard Windsor II Fund	D	Dividend	M	T					
5. Vanguard Mutual Funds IRA 1	E	Dividend	N	T					
6. -Health Care Fund									
7. -Windsor II Fund									
8. -Explorer Fund									
9. -500 Index Fund									
10. Vanguard Mutual fund IRA 2 - Mid-cap Index Fund	A	Dividend	K	T					
11. Vanguard Mutual fund IRA 3 - 500 Index Fund	A	Dividend	J	T					
12. Vanguard Mutual Fund IRA 4 - 500 Index Fund	A	Dividend	K	T					
13. American Mut. Fund IRA New Perspective Fund	D	Dividend	N	T					
14. Vanguard Variable Annuity		None	K	T					
15. XOM com. stock	D	Dividend	M	T					
16. U.S. Savings Bond		None	J	T					
17. Compass Bank Accounts	A	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. 529 Acct 1 - American Funds	A	Dividend	J	T					
19. -New Perspective Fund									
20. -New World Fund									
21. -Capital Wrld Grwth & Income									
22. 529 Acct 2 American Funds	A	Dividend	J	T					
23. -New Perspective Fund									
24. -New World Fund									
25. -Capital Wrld Grwth & Income									
26. AMGN com. stk	C	Dividend	N	T					
27. AT&T com, stk	B	Dividend	K	T					
28. AMAT com. stk	A	Dividend	J	T					
29. ADP. com. stk	C	Dividend	M	T					
30. CVX com. stk	A	Dividend	K	T					
31. KO com. stk	B	Dividend	K	T					
32. ETN com. stk	C	Dividend	M	T					
33. EMR com. stk	B	Dividend	L	T					
34. HD com. stk	B	Dividend	L	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. LLY com. stk	B	Dividend	K	T					
36. MCD com. stk	B	Dividend	K	T					
37. PEP com stk	B	Dividend	L	T					
38. PFE com. stk	A	Dividend	K	T					
39. SLB com. stk	A	Dividend	L	T					
40. American Mutual Fund, New Perspective Fund	D	Distribution	N	T					
41. American Mutual Fund Small Cap World	C	Distribution	L	T					
42. Dreyfus S&P 500 Index Fund X	C	Dividend	M	T					
43. Nuveen Arizona Prem. Inc. Muni. Bnd. Fund	A	Dividend	J	T					
44. Dreyfus AMT-Free Mun. Bnd Fund	C	Dividend	M	T					
45. Dreyfus Mun. Bnd. Fund	C	Dividend	L	T					
46. Salt River Prj AZ bonds	A	Interest			Redeemed	01/01/13	J	A	
47. McCallister Academic VLG bonds	A	Interest	K	T					
48. Pima Cty AZ bond	A	Interest	J	T					
49. Coconino & Yavapai Cos AZ bonds	A	Interest	J	T					
50. Prescott Vly AZ Mun. bond	A	Interest	J	T					
51. Mesa AZ Util Sys bonds	A	Interest	J	T					

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52. UBS - RMA Money Mkt X	A	Interest	M	T					
53. Beneficiary IRA	E	Int./Div.	P1	T	Distributed (part)	09/05/13	K		
54. -AT&T com. stk									
55. -CVX com. stk									
56. -XOM com.stk									
57. -WRE com. stk									
58. -WRI com. stk									
59. -Oppenheimer Gbl Strategic Income fund									
60. -Nuveen Equity P & G fund									
61. -Eaton Vance En. Equity Inc Fund									
62. -John Hancock Inc Sec. Fund									
63. -Lord AbbotBond Deb. Fund									
64. -Franklin H.I. Fund Class A (Y)									
65. -Franklin US Govt Sec. A (Y)									
66. IP	A	Dividend	J	T					
67. Pet & Res Co. PEO	B	Dividend			Sold	03/27/13	J	B	
68. Am Century Inter term tax free TWTIX	B	Int./Div.	K	T	Buy (add'l)	03/27/13	J		

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69. BMO Intermed tax free MITFX	A	Int./Div.	K	T	Sold (part)	07/17/13	K		
70. Laudus Grwth Investors, LGILX	C	Dividend	K	T					
71. Mat. As. Div. fund MAPIX	A	Dividend	J	T					
72. MFS Intl. New Disc. MIDAX		None			Sold	07/17/13	K	B	
73. Ridgeworth Lg Cap. STVTX	C	Dividend	K	T	Sold (part)	07/17/13	K	C	
74. Scout Intl fund UMBWX	A	Dividend			Buy (add'l)	03/27/13	J		
75.					Sold	07/17/13	L	D	
76. SECTOR SPDR Energy Select: XLE	A	Dividend	K	T					
77. SECTOR SPDR Tech Select: XLK	A	Dividend	K	T	Sold (part)	03/27/13	J	C	
78. SECTOR SPDR TR CON STPLS: XLP	A	Dividend	J	T					
79. SPDR S&P Div ETF: SDY	B	Int./Div.	K	T					
80. Vanguard Financial VFH	A	Dividend	K	T					
81. Vanguard Health Care VHT	A	Dividend	K	T					
82. Vanguard Small Cap Grwth VBK	A	Dividend	K	T	Sold (part)	07/17/13	J	A	
83. Vanguard Small Cap Value VBR	A	Dividend	K	T	Sold (part)	07/17/13	J	A	
84.					Sold (part)	03/27/13	J	B	
85. Wisdom Tree Emerg Mkt DEM	A	Dividend			Sold	03/27/13	J		

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86. Schwab Money Market account	A	Interest	J	T					
87. SPDR FINCL SELECT: XLE	A	Dividend	K	T	Buy	03/27/13	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Ron Clark

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544