

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Norton, David C.	2. Court or Organization U.S. District Court	3. Date of Report 06/27/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Court Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address P.O. Box 835 Charleston, SC 29402		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Co-Trustee	Trust (Trust #1)
2.	Trustee	Article 5 Trust
3.	Trustee	Article 6 Trust
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2015	Web MD - Editorial Stipend
2. 2015	Private Consulting
3. 2015	Medical Director, Life Point of SC
4. 2015	Newberry Pathology Associates - Autopsy Services
5. 2015	Springer Publishing - Book Royalties

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. South Carolina Association for Justice	08/06/2015-08/08/2015	Hilton Head Island, SC	Educational seminar or program	Transportation, lodging & food.
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AES Corp		None	J	T					
2. AFLAC Inc	A	Dividend	M	T					
3. Alcoa Inc	A	Dividend	J	T					
4. Allianz Life Valuemark III	A	Dividend	K	T					
5. Altria Group	A	Dividend	J	T					
6. American Europacific GRW A	A	Dividend	K	T	Buy	06/16/15	K		
7. American Express Co	A	Dividend	J	T					
8. Amgen Inc	A	Dividend	K	T					
9. AT&T Inc #1	A	Dividend	J	T					
10. AT&T Inc #2	A	Dividend	J	T					
11. AT&T Inc #3	A	Dividend	K	T					
12. Bank of America	A	Dividend	J	T					
13. Bank of America	A	Dividend	K	T					
14. Bank of New York Mellon Corp	A	Dividend	J	T					
15. Berkshire Hathaway Inc C1 B		None	K	T					
16. Blackhawk Network Hldgs Inc		None	J	T					
17. BP PLC ADS	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Bristol Myers Squibb	A	Dividend	K	T					
19. American Cap Wrld Gr & Inc A #1	B	Dividend	L	T					
20. American Cap Wrld Gr & Inc A #2	B	Dividend	K	T					
21. American Cap Wrld Gr & Inc A #3	B	Dividend	L	T					
22. American Cap Wrld Gr & Inc A #4	A	Dividend	K	T					
23. Chemours Co	A	Dividend	J	T	Spinoff (from line 24)	07/10/15	J		
24. Chevron Corp #1	B	Dividend	K	T					
25. Chevron Corp #2	A	Dividend	K	T					
26. Chevron Corp #3	B	Dividend	K	T					
27. Cisco Sys Inc	A	Dividend	J	T					
28. Citibank Deposit Program #1	A	Interest	J	T					
29. Citibank Deposit Program #2	A	Interest	K	T					
30. Citibank Deposit Program #3	A	Interest	K	T					
31. Citigroup Inc	A	Dividend	J	T					
32. Clearbridge Aggressive Gwth C	A	Dividend	L	T					
33. Coca-Cola Co	A	Dividend	K	T					
34. Comcast Corp CL A #1	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Comcast Corp CL A #2	A	Dividend	J	T					
36. CSX Corp	B	Dividend	K	T					
37. Walt Disney Co #1	A	Dividend	J	T					
38. Walt Disney Co #2	A	Dividend	K	T					
39. Walt Disney Co #3	A	Dividend	K	T					
40. Dover	A	Dividend	K	T					
41. Dow Chemical #1	A	Dividend	J	T					
42. Dow Chemical #2	A	Dividend	J	T					
43. Duke Energy	A	Dividend	J	T					
44. DuPont El De NeMours & Co	A	Dividend	K	T					
45. EV SC Muni Income A	A	Interest	N	T					
46. Eli Lilly & Co #1	A	Dividend	L	T	Sold (part)	02/04/15	J	A	
47. Eli Lilly & Co #2	A	Dividend	J	T					
48. Emerson Electric	A	Dividend	K	T					
49. Energy Transfer Partners LP #1		None	K	T					
50. Energy Transfer Partners LP #2		None	K	T					
51. Exxon Mobil Corp #1	A	Dividend	J	T					

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52. Exxon Mobil Corp #2	B	Dividend	K	T					
53. South State Bank	C	Interest	O	T					
54. General Electric Co #1	B	Dividend	K	T					
55. General Electric Co #2	A	Dividend	K	T					
56. General Electric Co #3	B	Dividend	L	T	Sold (part)	02/04/15	J	A	
57. Goldman Sachs Group Inc	A	Dividend	J	T					
58. American Gr Fd of America A #1	A	Dividend	L	T					
59. American Gr Fd of America A #2	C	Dividend	L	T					
60. American Gr Fd of America A #3	A	Dividend	L	T					
61. Guided Therapeutics Inc		None	J	T					
62. Halyard Health Inc		None	J	T					
63. Hartford Financial Services Grp	A	Dividend	J	T					
64. Hartford Capital Appreciation Fund (IRA)	A	Dividend	N	T					
65. Hewlett Packard Enterprise	A	Dividend	J	T					
66. Home Depot Inc	B	Dividend	K	T					
67. Honeywell Intl Inc	A	Dividend	K	T					
68. IBM	C	Dividend	L	T					

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69. Intel (IRA) #1	A	Dividend	L	T					
70. Intel #2	A	Dividend	K	T					
71. Johnson & Johnson	A	Dividend	J	T					
72. Kimberly Clark	A	Dividend	J	T					
73. Knowles Corporation		None	J	T					
74. Kraft Foods Inc CL A	A	Dividend	J	T					
75. Lincoln National Corp	A	Dividend	K	T					
76. Lincoln National American Legacy II	A	Dividend	O	T					
77. Lincoln American Legacy View	A	Dividend	N	T					
78. MFS South Carolina Muni Bond CL A	A	Interest	L	T					
79. Mass Mutual Life Ins (MML Equity Fund Portfolio)	A	Dividend	J	T					
80. McDonalds Corp	A	Dividend	K	T					
81. Merck & Co Inc New	A	Dividend	J	T					
82. Metlife Portfolioaccess	A	Dividend	M	T					
83. Metlife Marquis Portfolios VA	A	Dividend	N	T					
84. Microsoft Corp	A	Dividend	J	T					
85. Mondelez Intl Inc Com	A	Dividend	J	T					

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86. JP Morgan Chase & Co	A	Dividend	J	T					
87. Motorola Solutions Inc	A	Dividend	J	T					
88. 3M Company A	A	Dividend	K	T					
89. Nokia Corp	A	Dividend	J	T					
90. Norfolk Southern	C	Dividend	M	T					
91. North Carolina Rental Property 100% Transylvania County, NC	A	Rent	P1	W					
92. Paragon Life Fixed Fund	A	Dividend	J	T					
93. Pepsico Inc	A	Dividend	J	T					
94. Pfizer Inc #1	A	Dividend	J	T					
95. Pfizer Inc #2	A	Dividend	K	T					
96. Phillip Morris Intl Inc	A	Dividend	J	T					
97. Proctor & Gamble #1	A	Dividend	K	T					
98. Proctor & Gamble #2	B	Dividend	K	T					
99. Putnam Tax Smart FDS Equity FD Cl B	A	Dividend	M	T					
100. Safeway Inc New		None	J	T					
101. SCANA Corp New	B	Dividend	K	T					
102. South Street Corp Com #1	B	Dividend	L	T					

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103. South Street Corp Com #2	C	Dividend	N	T					
104. South Street Corp Com #3	A	Dividend	M	T					
105. South Carolina State Deferred Comp Plan	A	Dividend	L	T					
106. South Carolina State Retirement Plan	B	Dividend	M	T					
107. Suntrust Banks Inc	C	Dividend	M	T					
108. Synovus Financial Corp	A	Dividend	J	T	Sold (part)	02/04/15	J	A	
109. Texas Instruments Inc	A	Dividend	K	T					
110. Time Inc	A	Dividend	J	T	Spinoff (from line 111)	06/09/15	J		
111. Time Warner Inc New	A	Dividend	J	T					
112. Total System Services Inc	A	Dividend	K	T					
113. Travelers Companies Inc	A	Dividend	K	T					
114. Unit First Trust Dow Target 10 May 2014	A	Dividend			Matured	06/09/15	K		
115. Verizon Communications #1	A	Dividend	J	T					
116. Verizon Communications #2	A	Dividend	J	T					
117. American WA Mutual A #1	B	Dividend	M	T					
118. American WA Mutual A #2	B	Dividend	L	T					
119. American WA Mutual A #3	A	Dividend	K	T					

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120. Wells Fargo & Co New #1	A	Dividend	J	T					
121. Wells Fargo & Co New #2	A	Dividend	K	T					
122. WMI Hldgs Corp		None	J	T					
123. Zimmer Biomet Holdings Inc	A	Dividend	J	T					
124. Trust #1 - Royal Dutch Shell	A	Dividend	L	T					
125. Trust #1 - Allegheny Energy	A	Dividend	K	T					
126. Trust #1 - Idearc	A	Int./Div.	J	T					
127. Trust #1 - Eli Lilly	A	Dividend	K	T					
128. Trust #1 - Pepco Holdings Inc	A	Dividend	J	T					
129. Trust #1 - Lain Farm, Johnson County, Indiana	E	Rent	P1	W					
130.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Norton, David C.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Explanations for Part VII. Investments and Trusts

1. The rental property listed in Part VII, line 91 is located in Transylvania County, North Carolina, USA.

2. Trust # 1 consist of : Royal Dutch Shell value L

Allegheny Energy value K

Idearc value J

Eli Lilly value K

Pepco Holdings Inc value J

Lain Farm value cost R Annual Rental Income E (This property was purchased in 1981 and is located outside the city limits in Johnson County, Indiana, USA. Life Valuemark II

3. Line 44. - E.I. DuPont Co name modified to DuPont E. I. DeMours & Co.

4. Line 76 - Lincoln National Legacy II name modified to Lincoln National American Legacy II.

5. Line 852 -Metlife TVL Metlife Portfolioaccess name modified to Metlife Portfolioaccess.

6. Article 5 Trust consist of lines: 11,13,21,23,24,31,36,44,46,49,52,56,59,68,87,90,102 & 121.

7. Article 6 Trust consist of lines:

3,5,7,8,10,12,14-16,20,26,27,33,35,39,42,43,45,51,55,57,62,63,65-67,70-72,74,78,80,81,84-86,89,93,94,96,97,109-111,113,116,117,120 & 122.

8. Lines 100 - Safeway listed value as J however asset has zero market value but not yet removed from financial report per broker.

9. Lines 101- SCANA Corp name modified to SCANA Corp New.

10. Line 115-116 - Verizon name modified to Verizon Communications.

11. Line 123 - Zimmer Holdings Inc. name modified to Zimmer Biomet Holdongs Inc.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **David C. Norton**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544