

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Davis, Andre M.	2. Court or Organization Court of Appeals for the Fourth Circuit	3. Date of Report 05/15/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior Circuit Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address U S Courthouse 101 W. Lombard Street Baltimore, MD 21201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Member	University of MD School of Law Board of Visitors
2.	Instructor	National Judicial College
3.	Member of the Faculty Council	National Judicial College
4.	Director	Open Society Institute-Baltimore
5.	Member of the Board	Baltimore Urban Debate League, Inc.
6.	Member of the Board	Community Law in Action, Inc.
7.	Member of the Executive Committee	ABA Judicial Division/Appellate Judges Conference
8.	Member of the Death Penalty Due Process Steering Committee	American Bar Association
9.	Chair	ABA Judicial Division/Commission on Diversity in the Judiciary
10.	Member	Network on Neuroscience and the Law
11.	Member	Appellate Judges Education Institute Board of Directors

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.
2.
3.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☐ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 12/31/2015	Self Employed Education Consultant
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ **NONE** *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Vanderbilt University School of Law	February 24-25, 2015	Nashville, TN	Guest Judicial Instructor	Transportation, Lodging, and Food
2. New York University School of Law	March 27-28, 2015	New York, NY	Selection Committee	Transportation, Lodging, and Food
3. National Judicial College	June 3-5, 2015	Reno, NV	Faculty Council Meeting	Transportation, Lodging, and Food
4. National Judicial College	June 10-14, 2015	London, UK	Judge Panel on Judicial Independence	Transportation, Lodging, and Food
5. American Bar Association	February 4-8, 2015	Houston, TX	Mid-Year Meeting	Transportation, Lodging, and Food
6. American Bar Association	April 30, 2015	Philadelphia, PA	Spring Meeting	Transportation, Lodging, and Food

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7.	National Judicial College	October 14-15, 2015	Chicago, IL	Judicial Seminar	Transportation, Lodging, and Food
8.	MacArthur Foundation	April 24-26, 2015	Atlanta, GA	Meeting of Research Network	Transportation, Lodging, and Food
9.	Federal Bar Association	September 9-11, 2015	Salt Lake City, UT	Annual Meeting	Transportation, Lodging, and Food
10.	MacArthur Foundation	September 27-29, 2015	Tucson, AZ	Meetings on Pre-trial Justice Reform	Transportation, Lodging, and Food

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Sovereign Bank	Mortgage on Rental Property/ Eastham, MA	O
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Citibank Accounts	A	Interest	J	T					
2. Capital One Accounts	A	Interest	J	T					
3. Bank of America Accounts	A	Interest	K	T					
4. American Express Bank Account	A	Interest	M	T					
5. JPMorgan LiquidAssetsMoneyMarket	A	Dividend	J	T					
6. Lines 7 to 12 report EXCHANGE TRADED FUNDS (H)									
7. Fidelity MSCI Health Care Index	A	Dividend	J	T	Buy	08/19/15	J		
8. iShares S& P 500 Growth ETF	A	Dividend	J	T	Buy	08/12/15	J		
9.	A	Dividend	J	T	Buy (add'l)	08/20/15	J		
10.	A	Dividend	J	T	Buy (add'l)	08/21/15	J		
11. iShares Silver Trust	A	Dividend	J	T					
12. Technology Select Sector SPDR Fund	A	Dividend	K	T					
13. Lines 14 to 16 report Common Stock (H)									
14. Verizon	A	Dividend	J	T	Buy	08/24/15	J		
15. Sun Edison	A	Dividend	J	T	Buy	08/2/15	J		
16. Gamco Global Gold, Nat Resources	A	Dividend	J	T	Buy	08/26/15	J		
17. Lines 18 to 23 report MUTUAL FUNDS (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. Davis NY Venture Fund Class C	A	Dividend	K	T					
19. Europacific Growth Fund Class A	A	Dividend	K	T					
20. T Rowe Price Growth Fund	C	Distribution	K	T					
21. T Rowe Price Blue Chip Growth Fund	A	Distribution	K	T					
22. T Rowe Price Equity Income Fund	B	Distribution	K	T					
23. T Rowe Price MD Tax Free Bond Fund	A	Int./Div.	K	T					
24. Rental Property--Eastham, MA		None	P1						
25. Rental Property--Baltimore, MD	D	Rent			Sold	07/31/15	N	B	
26. Trust No. 1 This is an income beneficiary trust.	E	Distribution	P1	W					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Andre M. Davis**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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