

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Conti, Joy Flowers	2. Court or Organization U.S. District Court WDPA	3. Date of Report 05/11/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active Article III Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address Joseph F. Weis Jr. U S Courthouse 700 Grant Street, Suite 5250 Pittsburgh, PA 15219		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Counselor	W. Edward Sell American Inns of Court
2.	Ex-Officio Member	Allegheny County Bar Association Board of Governors
3.	Honorary Board Director	Catholic Charities
4.	Vice Regent	Kushkushkee Trail Chapter of the Daughters of the American Revolution
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	Ross, Sinclaire & Associates, LLC - Salary (through 6/15/17)
2. 2017	Boenning & Scattergood - Salary (from 6/16/17)
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ **NONE** *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Pennsylvania Bar Association	January 25- 29, 2017	St Kitts, British West Indies	Mid-year Meeting	Lodging, Transportation, Meals
2. Pennsylvania Bar Association	March 24-26, 2017	Farmington, PA	PBA Civil Litigation Section Retreat	Lodging, Transportation, Meals
3. New York Intellectual Property Law Association	March 31-April 1, 2017	New York, NY	The 95th Annual Dinner in Honor of the Federal Judiciary	Lodging, Transportation, Meals
4. American Bar Association	May 10-11, 2017	Chicago, IL	11th Annual National Institute on E-Discovery	Lodging, Transportation, Meals
5. WC Research Inc (World Congress)	July 19-20, 2017	New York, NY	14th Annual Patents for Financial Services Summit	Lodging, Transportation, Meals

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6.	Duke University	September 7-8, 2017	Washington, DC	Technology-Assisted Review (TAR) in E-Discovery	Lodging, Transportation, Meals
7.	Academy of Trial Lawyers of Allegheny County	October 4-6, 2017	Farmington, PA	29th Annual Masters of Trial Advocacy Retreat	Lodging, Meals
8.	American Intellectual Property Law Association	October 19-20, 2017	Washington, DC	Annual Meeting 2017	Lodging, Transportation, Meals

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. BROKERAGE ACCOUNT #1 (H)									
2. -Federated PA Muni Service (Money Market)	A	Dividend			Closed	07/24/17	J		
3. -Red Mountain Resource Inc Stock		None	J	T					
4. -Standard Bank Deposit Account	A	Interest	J	T	Open	07/24/17	J		
5. -Pa Economic Dev Fing Auth Bond (2.55% due 11/01/41)	B	Interest	K	T	Buy	02/15/17	K		
6. ROTH IRA ROLLOVER ACCOUNT #1 (H)									
7. -Pershing Government Money Market Fund	A	Dividend			Closed	07/24/17	J		
8. -Lee Street Investors LLC Class B Units (Part VIII)		None			Closed	05/19/17	J		
9. -New Residential Invst Corp Stock (REIT)	C	Dividend	K	T					
10. -American Intl Group Inc Stock	A	Dividend	J	T					
11. -Bank of New York Mellon Corp Stock	A	Dividend	K	T					
12. -SPDR S&P 500 ETF Trust Units	A	Dividend	K	T					
13. -SPDR SER TR S&P BK ETF	A	Dividend	J	T					
14. -Fidelity Advisor Ser VII Technology Fund Cl A	B	Dividend	K	T	Buy	05/12/17	K		
15. -JP Morgan Chase & Co Stock	A	Dividend	K	T					
16. -Standard Bank Deposit Account	A	Interest	J	T	Open	07/24/17	J		
17. RETIREMENT PLAN #2 (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -TIAA Traditional Annuities	D	Interest	M	T					
19. -Vanguard Extended Mkt Index Fund	A	Dividend			Sold	07/14/17	J	B	
20. -Vanguard REIT Index Fund (Name Change)	A	Dividend	J	T					
21. -CREF Stock R2 Fund	A	Dividend	L	T					
22. -CREF Money Market R2	A	Dividend	L	T					
23. -TC Mid-Cap Gr Fund		None			Sold	07/14/17	K	B	
24. -Vanguard Infl Protect Sec Adm Fund	A	Dividend	K	T					
25. -American Fund Amer Mut R4 Fund	A	Dividend			Sold	11/20/17	J	B	
26. -Vanguard Small Cap Index Fund	A	Dividend	K	T	Buy	07/14/17	J		
27. -Vanguard Mid Cap Index Fund	A	Dividend	K	T	Buy	07/14/17	K		
28. -American Mutual Fund R6	A	Dividend	K	T	Buy	11/20/17	J		
29. ROTH IRA ROLLOVER ACCOUNT #3 (H)									
30. -Pershing Government Money Market Fund	A	Dividend			Closed	07/24/17	J		
31. -Navidea Biopharmaceuticals Stock		None	J	T					
32. -Lee Street Investors LLC Class B Units (Part VIII)		None			Closed	05/19/17	J		
33. -American Intl Group Stock	A	Dividend	K	T	Sold (part)	12/21/17	J	C	
34. -Boeing Co Stock	B	Dividend	L	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -Federated International Leaders Fund	A	Dividend	J	T					
36. -JP Morgan Value Advantage Fund	A	Dividend	K	T					
37. -JP Morgan Chase & Co Stock	A	Dividend	J	T					
38. -SPDR S&P 500 ETF Trust Units	A	Dividend	K	T					
39. -Standard Bank Deposit Account	A	Interest	J	T	Open	07/24/17	J		
40. -Assured Guaranty Ltd	A	Dividend	J	T	Buy	12/21/17	J		
41. IRA ROLLOVER ACCOUNT #6 (H)									
42. -S&T Bancorp Stock	A	Dividend	K	T					
43. -Janney Advantage Insured Sweep (Money Market)	A	Dividend			Closed	07/24/17	J		
44. -Standard Bank Deposit Account	A	Interest	J	T	Open	07/24/17	J		
45. CITIZENS BANK: ACCOUNTS		None	J	T					
46. MASSACHUSETTS MUTUAL: WHOLE LIFE POLICY		None	J	T					
47. MASSACHUSETTS MUTUAL: WHOLE LIFE POLICY		None	J	T					
48. NORTHWESTERN: WHOLE LIFE INS POLICY		None	J	T					
49. METROPOLITAN LIFE: WHOLE LIFE POLICY		None	J	T					
50. GENERAL AMERICAN: WHOLE LIFE POLICY		None	J	T					
51. MASSACHUSETTS MUTUAL: WHOLE LIFE POLICY		None	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. METROPOLITAN LIFE: WHOLE LIFE POLICY		None	J	T					
53. NORTHWESTERN: WHOLE LIFE INS POLICY		None	J	T					
54. HUNTINGTON BANK ACCOUNT		None	J	T					
55. SIMPLE IRA ACCOUNT #1 (H)									
56. -Pershing Government Money Market Fund	A	Dividend	J	T					
57. -Boeing Inc Stock	A	Dividend	K	T					
58. -Navidea Biopharmaceuticals Inc Stock		None	J	T					
59. -JP Morgan Value Advantage Fund	A	Dividend	K	T					
60. -SPDR SER TR S&P REGL BKG ETF	A	Dividend	J	T					
61. -SPDR SER TR S&P BK ETF	A	Dividend	J	T					
62. -SPDR S&P 500 ETF Trust Units	A	Dividend	K	T					
63. -Facebook Inc Stock		None	K	T					
64. -JP Morgan Chase & Co Stock	B	Dividend	K	T					
65. -New Residential Invt Corp Stock	B	Dividend	K	T					
66. -Standard Bank Deposit Account	A	Interest	J	T	Open	07/24/17	J		
67. -Black Box Corp	A	Dividend	J	T	Buy	11/22/17	J		
68. BROKERAGE ACCOUNT #2 (H)									

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69. -Federated PA Muni Cash (Money Market)	A	Dividend			Closed	07/24/17	K		
70. -Pa St Fin Auth Rev Rfdg Penn Hills Bond (0% due 12/1/23)		None	J	T					
71. -New Senior Invt Group Stock	B	Dividend			Buy	02/03/17	K		
72.					Sold	08/03/17	K		
73. -New Residential Investment Corp Stock	A	Dividend	J	T	Buy	03/16/17	J		
74. -Standard Bank Deposit Account	A	Interest	K	T	Open	07/24/17	J		
75. ROTH IRA ROLLOVER ACCOUNT #7 (H)									
76. -Safe-T-Shade LLC		None	K	T					
77. -Averatek Inc Stock		None	J	T					
78. -Averatek 8% Convertible Note due 4/30/22	A	Interest	J	T					
79. SEVENTH STREET INVESTMENT LP		None	K	T					
80. TAASERA INC STOCK		None	K	T					
81. 401(K) PLAN #1 (H)									
82. -Standard Bank Deposit Account	A	Interest	J	T	Open	12/15/17	J		
83.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Additional Information for Part VII (Investments and Trusts):

From prior years:

There is no Retirement Plan # 1

There is no IRA Rollover/Roth IRA Rollover Account # 2, 4 or 5

Current Year:

Line 8/Line 32 Lee Street Investors LLC has been inactive for a number of years and was terminated in 2017.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Joy Flowers Conti

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544