

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Manglona, Ramona V.	2. Court or Organization District Court for the Northern Mariana Islands	3. Date of Report 06/20/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 123 Koppa di Oru Street P.O. Box 500687 Saipan, MP 96950		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Co-trustee and beneficiary	Family trust
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 11

Name of Person Reporting

Manglona, Ramona V.

Date of Report

06/20/2016

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2015

Spouse's salary- Supreme Court, Northern Mariana Islands

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. Family Trust

Feb. 11-12, 2015

Hagatna, Guam

Investment conference

transportation, meals, hotel

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 3 of 11

Name of Person Reporting

Manglona, Ramona V.

Date of Report

06/20/2016

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Bank of Guam	Personal Loan (secured by CD)	K
2.	University of California at Berkeley	Tuition paid monthly pursuant to payment plan	None
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 11

Name of Person Reporting

Manglona, Ramona V.

Date of Report

06/20/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of Guam- cash accounts	A	Interest	M	T					
2. Sunset Life Insurance (Universal)	A	Int./Div.	J	T					
3. Rental Property- DFS Saipan, MP (Parcel 1)	D	Rent	N	W					
4. Rental Property- Sandy Beach, Chalan Kanoa, Saipan, MP	C	Rent	M	W					
5. Family Trust - lines 6 to 104	G	Int./Div.	P1	U					
6. - Garapan 1			L	W					
7. - Garapan 2									
8. - Garapan 3									
9. -Navy Hill parcel 1									
10. -Navy Hill parcel 2									
11. -Chalan Kanoa parcel 1	D	Rent	J	W					
12. -Chalan Kanoa parcel 2									
13. -Chalan Kanoa parcel 3									
14. -DanDan parcel 1	D	Rent	J	W					
15. -DanDan parcel 2									
16. -DanDan parcel 3									
17. -Tuturam parcel 1									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	L ~\$50,001 - \$100,000 M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 11

Name of Person Reporting

Manglona, Ramona V.

Date of Report

06/20/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Tuturam parcel 2									
19. -Tuturam parcel 3									
20. -Papago parcel 1									
21. -Papago parcel 2									
22. -Papago parcel 3									
23. -Papago parcel 4									
24. -Papago parcel 5									
25. -As Perdido parcel 1									
26. -As Perdido parcel 2									
27. -As Perdido parcel 3									
28. -As Perdido parcel 4									
29. -As Perdido parcel 5									
30. -Chalan Kiya parcel 1									
31. -Chalan Kiya parcel 2									
32. -San Roque parcel 1									
33. -Fina Sisu parcels 1 to 15	E	Rent	J	W					
34. -Puerto Rico Village parcel 1									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 11

Name of Person Reporting

Manglona, Ramona V.

Date of Report

06/20/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -Tanapag parcel 1									
36. -San Jose village parcel 1									
37. -San Jose village parcel 2									
38. -San Jose village parcel 3									
39. -Rota property 1									
40. -Rota property 2									
41. -Rota property 3									
42. -Garapan leased property 1									
43. -San Jose leased property 1									
44. -Bank of Guam cash accounts	C	Int./Div.	P1	T					
45. -First Hawaiian bank cash accounts	E	Int./Div.	L	T					
46. -United Micronesia Development Association (UMDA)	E	Dividend	K	U					
47. -Bank of Guam stock	B	Dividend	K	U					
48. -Bank of Saipan stock			J	U					
49. -Saipan Shipping Co. stock	D	Dividend	J	U					
50. -Saipan Stevedore Co., Inc. stock	D	Dividend	J	U					
51. -Duty Free Shoppers Ltd. stock	A	Dividend	J	U					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 11

Name of Person Reporting

Manglona, Ramona V.

Date of Report

06/20/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. -Pacific Sky Travel stock			L	U					
53. -San Roque Beach Dev. Corp. stock			J	U					
54. -M.S. Villagomez Inc. stock	G	Dividend	N	U					
55. -Northern Marianas Dev. Corp. (NMDC)			J	U					
56. -St. Jude Renal Care Facility									
57. -Dreyfus Insured Deposits C	A	Interest							
58. -Family Trust cash account 1 held at Money Concepts Capital Corp.	D	Interest	N	T					
59. -Family Trust account 1 held at Money Concepts Capital Corp.	D	Dividend	N	T					
60. -AES Corp Sr Nt	A	Interest	J	T					
61. -Dolphin Subsidiary II Inc Sr NT	A	Int./Div.	J	T					
62. -Amerigas Fin Corp/Amerigas Fin LLC GTD Sr NT	A	Int./Div.	J	T					
63. -Alliant Techsystems Inc. fixed rate Sr Nt	A	Interest			Redeemed	03/11/15	J	A	
64. -Huntsman Intl LLC Sr Sub Nt									
65. -Intelsat Jackson Hldgs S A Sr Nt	A	Interest	J	T					
66. -Mirant Americas Generation LLC Sr Nt	A	Interest	J	T					
67. -Donnelley RR&Sons	A	Interest	J	T					
68. -Constellation Brands Inc. Sr Nt	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 11

Name of Person Reporting

Manglona, Ramona V.

Date of Report

06/20/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. -Teekay Corp Series ISIN	A	Interest	J	T					
70. -United Rentals North America Inc Sr Nt	A	Interest	J	T					
71. -Vulcan Matls Co Fixed Rt note	A	Interest	J	T					
72. -Zayo Group LLC	A	Interest			Redeemed	04/08/15	J	A	
73. -United Airlines Pass Thru Tr 2013	A	Interest	J	T					
74. -B&G Foods Inc Gtd Fxd Rt	A	Interest	J	T					
75. -Biomet Inc Gtd Fxd Rt	A	Interest			Redeemed	06/26/15	J	A	
76. -Peabody Energy Corp Sr Nt	A	Interest	J	T					
77. -Crown Castle Intl Corp Sr Nt	A	Interest	J	T					
78. -Chesapeake Energy Corp Sr Nt	A	Interest	J	T					
79. -CCO Holdings LLC Sr Nt	A	Interest	J	T					
80. -Centurylink Inc. Sr Nt	A	Interest	J	T					
81. -Denbury Res Inc. Del SrSub. Nt	A	Interest	J	T					
82. -Davita Healthcare Partners Inc. Sr Nt	A	Interest	J	T					
83. -Ferrellgas LP/Ferrellgas Fin Corp Sr Nt	A	Interest	J	T					
84. -Healthsouth Corp.Gtd Sr Nt	A	Interest	J	T					
85. -Iron Mtn.Inc. Del SrSub NT	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 11

Name of Person Reporting

Manglona, Ramona V.

Date of Report

06/20/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. -Lamar Media Corp Gtd Sr Sub Nt	A	Interest	J	T					
87. -NRG Energy Inc. Gtd Fixed Rt	A	Interest	J	T					
88. -Polymer Group Inc. GTD Sr. Seed Nt	A	Interest			Redeemed	10/05/15	J	A	
89. -Range Res Corp. GTD SrSub Nt	A	Interest	J	T					
90. -Suburban Propane Partners LP/Subn Energy Fin Corp	A	Interest			Redeemed	03/16/15	J	A	
91. -Penske Automotive Group Inc Fixed Rt	A	Interest	J	T					
92. -Offshore Group Invnt Ltd Sr Seed 1st Lien	A	Interest	J	T					
93. -Ave Maria Rising Dividend Fund	B	Dividend	M	T					
94. -Ave Maria Rising Dividend Fund	D	Distribution	L	T					
95. -Mediacom Broadband Corp Sr Nt	A	Interest	J	T					
96. -SPDR S&P 500 ETF Tr	B	Dividend	M	T					
97. -Vanguard Index FDS	A	Dividend	K	T	Buy	03/19/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 11

Name of Person Reporting

Manglona, Ramona V.

Date of Report

06/20/2016

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII. There are real properties listed in this section. All real properties are located in the Commonwealth of the Northern Mariana Islands.

Part VII, line 64: The bond "Huntsman Intl LLC Sr Sub Nt" is left in this 2015 Report to note that it was redeemed on 12/18/2013 but was not recorded as redeemed in the 2013 Report (only that it was purchased in 2013), and was erroneously included in the 2014 Report at line 67.

FINANCIAL DISCLOSURE REPORT

Page 11 of 11

Name of Person Reporting

Manglona, Ramona V.

Date of Report

06/20/2016

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Ramona V. Manglona**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544