

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Owen, Priscilla R.	2. Court or Organization Fifth Circuit Court of Appeals	3. Date of Report 05/15/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 903 San Jacinto Blvd., Rm 434 Austin, Texas 78701		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Director	Texas Hearing and Service Dogs
2.	Advisory Board Member	Federalist Society, Austin Chapter
3.	Advisory Board Member	Federalist Society, Houston Chapter
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2012	State of Texas Employee Retirement System, Judicial Retirement Plan System II; age 65, 50% of state salary paid TX Supreme Court Justice or 40% at age 60
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2012	United States Department of Agriculture - Direct and Counter Cyclical Program	\$203.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Federated Prime Obligation #10	A	Dividend	J	T					
2. T Rowe Price Mid-Cap Growth Fund		None	J	T					
3. Vanguard Index Funds 500 Index Fund Signal Shs	A	Dividend	J	T					
4. 1/8 interest, surface, [REDACTED] Matagorda County, TX	B	Rent	M	W					
5. 1/12 mineral interest, [REDACTED], Matagorda County, TX		None	J	W					
6. 1/4 surface interest in [REDACTED], Matagorda County, TX	A	Rent	J	W					
7. mineral interest, [REDACTED], Matagorda County TX (Brigham)		None	J	W					
8. [REDACTED] land, Matagorda County, TX	A	Rent	J	W					
9. royalty or mineral interests in Matagorda, Brazoria, Cts., TX		None	J	W					
10. cash, Community Bank & Trust	A	Interest	J	T					
11. cash, Chase checking		None	J	T					
12. cash, Chase money market	A	Interest	J	T					
13. Federated Prime Obligation #10 (IRA)	A	Dividend	M	T					
14. Vanguard Index Funds 500 Index Signal Shs (IRA)	A	Dividend	K	T					
15. Dodge & Cox International (IRA)	B	Dividend	L	T					
16. Federal Home LN MTG Corp MTN NOTE 5.0% 1/30/14 (IRA)	A	Interest	J	T					
17. Vanguard Fixed Income GNMA Fund Admiral Shares (see below)	A	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. (formerly Vanguard GNMA Fund Investor Shares)									
19. Vanguard Equity Income Fund Admiral Shares (see below)	A	Dividend	K	T					
20. (formerly Vanguard Equity Income Fund Inv Shs)									
21. FNMA StrNt 2% 8/17/21	A	Interest			Redeemed	02/17/12	K		
22. FNMA StrNt 1% 8/24/21	A	Interest			Redeemed	8/24/12	K		
23. Dodge & Cox Income Fund	A	Dividend	K	T					
24. FNMA StrNt 1% 9/28/26	A	Interest			Redeemed	6/28/12	K		
25. FNMA StrNt 2% 9/30/26	A	Interest			Redeemed	6/30/12	J		
26. FNMA StrNt 1.25% 11/30/26	A	Interest			Redeemed	5/30/12	K		
27. FNMA StrNt 1.25% 1/25/27	A	Interest	L	T	Buy	1/25/12	K		
28. Vanguard Fixed Income Secs Fund-Admiral	A	Dividend	K	T	Buy	1/26/12	K		
29. FNMA StrNt 1% 2/22/27	A	Interest	K	T	Buy	2/22/12	K		
30. FNMA Structured Nt 1.25% 6/28/27	A	Interest	K	T	Buy	6/28/12	K		
31. FNMA StrNt 1% 7/16/27	A	Interest	K	T	Buy	7/16/12	J		
32. FNMA StrNt 1% 7/30/27		None	J	T	Buy	9/7/12	K		
33. National Covenant Properties -- CD	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Priscilla R. Owen**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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