

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Bailey, John P.	2. Court or Organization Northern District of West Virginia	3. Date of Report 04/04/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address U.S. Courthouse P.O. Box 551 Wheeling, WV 26003		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ **NONE** *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2018	Germain & Co., Inc. - salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ **NONE** *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. ABA	02/02/18 to 02/05/18	Vancouver, Canada	Midyear meeting	Travel & some expenses
2. West Virginia Bar Foundation	05/19/18 to 05/20/18	Roanoke, West Virginia	Young Lawyer Leadership Conference	Lodging & meals
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Chase Bank	Credit Card	J
2.	American Express	Credit Card	J
3.	BB&T	Mortgage on Rental Property	O
4.	Barclay's Bank	Credit Card	J
5.	Citibank	Credit Card	J
6.	United Bank	Credit Card	J

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA #1									
2. - Barclays US TIPS Fund	A	Dividend	J	T					
3. - MSCI EAFE Index Fund	A	Dividend	J	T					
4. - MSCI Emerging Mkt Index Fd ETF	A	Dividend	J	T					
5. - SPDR S&P 500 ETF	A	Dividend	K	T					
6. - SPDR S&P Midcap 400 ETF	A	Dividend	J	T					
7. - Russell 2000 Value Index Fd	A	Dividend	J	T					
8. - SPDR S&P Dividend ETF	A	Dividend	J	T					
9. - Federated US Treasury Cash Reserves 395	A	Interest	J	T					
10. Mass Mutual Life Ins. Whole Life Ins.	A	Dividend	J	T					
11. Rental Property, Seabrook Island, SC	E	Rent	O	R					
12. IRA #2									
13. - Dodge & Cox International Stock FD	A	Dividend	K	T					
14. - Fidelity Govt MMkt Cap Reserves	A	Int./Div.	K	T					
15. - Ishares Russell 2000 Value ETF	A	Dividend	J	T					
16. - Ishares Edge MSCI Min Vol EAFE EFT	A	Dividend	K	T					
17. - MFS Global Equity Fd Class I	A	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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18. - Pimco Foreign Bond Fd Hedged Inst	A	Dividend	K	T					
19. - Powershares Exch Traded Fd TSTII S&P (X)									
20. - Guggenheim S&P 500 Pure Growth (X)									
21. - SPDR Ser Tr S&P Div. ETF	B	Dividend	L	T					
22. - SPDR S&P Midcap 400 ETF Tr UTSE1	A	Dividend	K	T					
23. - Vanguard Spec. Portfolio Div	A	Dividend	K	T					
24. - Vanguard Equity Inc. Admiral FD	A	Dividend	K	T					
25. - Vanguard Index Fd Vanguard Small Cap	A	Dividend	K	T					
26. - Wisdomtree TR Midcap Div Fd	B	Dividend	K	T					
27. - Wisdomtree Small Cap Div Fd	A	Dividend	K	T					
28. -Goldman Sachs CD 1.45%	A	Interest			Sold	11/01/18	L	A	
29. -Oppenheimer Global Opportunities	A	Int./Div.	K	T					
30. -Capital One NA	B	Interest	L	T	Buy	11/07/18	L		
31. -Ally Bank CD	B	Interest	K	T	Buy	04/13/18	K		
32. Invesco Exch S&P Low Volatility Fd	A	Dividend	K	T					
33. Invesco Exch. Fd. Pur. Growth	A	Dividend	K	T					
34. Enterprise Products Partners LP	A	Distribution	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Columbus Ohio City School Bond	A	Interest			Redeemed	12/03/18	J	A	
36. Chevron Corp New Com	A	Dividend	J	T					
37. Coca Cola Co.	A	Dividend	J	T					
38. e.l.f. Beauty Inc.	A	Dividend	J	T					
39. Fidelity Govt MMKT Cap. Res.	A	Int./Div.	J	T					
40. Germaine & Co 401(k)	A	Dividend	L	T					
41. -PNC Stable Value Fd	A	Dividend	J	T					
42. -Am Europacific Growth R6	A	Dividend	J	T					
43. -T Rowe Price Growth Stock	A	Dividend	J	T					
44. - Vanguard 500 Index Admiral	A	Dividend	K	T					
45. - Vanguard Mid Cap Index Adm	A	Dividend	J	T					
46. - Vangaard Small Cap Index Adm	A	Dividend	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 11. Property purchased 02/28/14. Actual purchaseice \$762,500.

Part VII, line 19. Name changes to Invesco Exch, S&P 500 - Low Volatility Fd. See Line 32.

Part VII, line 20. Name changed to Invesco Exch. Fd. Pur. Growth. See Line 33.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ John P. Bailey**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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