UNITED STATES COURT OF APPEALS
FOR THE SIXTH CIRCUIT
KENTUCKY - TENNESSEE - OHIO - MICHIGAN

CHAMBERS OF
JULIA SMITH GIBBONS
CIRCUIT JUDGE
970 CLIFFORD DAVIS FEDERAL BUILDING
167 N. MAIN STREET
MEMPHIS, TN 38103
PHONE: (901) 495-1265
FAX: (901) 495-1270

August 27, 2004

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SEP 2 11 23 AM '04
FINANCIAL
DISCLOSURE OFFICE

Honorable Mary M. Lisi
Chair, Committee on Financial Disclosure
Judicial Conference of the United States
One Columbus Circle, N.E.
Washington, D.C. 20544

RE: Calendar Year 2003 Financial Disclosure Filing

Dear Judge Lisi:

I am providing the following information in response to your inquiry of August 2, 2004, concerning my June 2003 financial disclosure.

You requested that I provide the individual assets of the Schwab Institutional Brokerage Trust Account listed as a part of [redacted] 401(k) plan on Part VII, page 1, line 9. I have obtained this information and will provide it, if necessary, but I do not believe that disclosure is required.

Although the 401(k) plan is self-directed in the sense that [redacted] can decide generally how to invest his assets in the plan, once he has decided to participate in the Schwab Institutional Brokerage Trust Account, he cannot direct the investments within that account. This is a pooled account in the name of the plan in which [redacted] has a pro rata portion. It is managed by an outside manager, and the manager makes all investment decisions within the account. [redacted]

Of course, I will provide the requested information if the committee believes it necessary. I am hesitant to amend my disclosure to include it, however, without specific instructions from the committee after consideration of the above facts. I note that such an amendment would involve disclosure of the assets of many other individual participants in the plan who are under no reporting requirements.

Sincerely,

[redacted]

Julia S. Gibbons

JSG:cbm
Attachment

WADDELL & ASSOCIATES, INC.
Wealth Management

FAX COVER SHEET

Date: 8/27/04

Attention: Julia Gibbons

Fax Number: ( ) 495-1270

From: Louise

Number of Pages (including cover sheet) 6

Comments:

This is the contract for the E+P acct.
Nope its what you need.

Disclaimer

The information contained in this communication is confidential, may be client privileged and is intended only for the use of the recipient designated above. Unauthorized use, disclosure or copying is strictly prohibited and may be unlawful. If you have received this communication in error, please notify the sender immediately at (901) 767-9187.

5188 Wheelis Drive • Memphis, TN 38117
ph 901-767-9187 • 800-527-7263 • *fx* 901-767-0056
www.waddellandassociates.com
Waddell & Associates, Inc. is a registered investment adviser

AO-10
Rev. 1/2004

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial)	2. Court or Organization	3. Date of Report
GIBBONS, JULIA S	US SIXTH CIRCUIT CT OF APPEALS	6/11/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. CIRCUIT JUDGE (ACTIVE)	5. ReportType (check appropriate type) ○ Nomination, Date ○ Initial ◉ Annual ○ FInal	6. Reporting Period 1/1/2003 to 12/31/2003
7. Chambers or Office Address 970 1[illegible]7 FEDERAL BUILDING 167 NORTH MAIN STREET MEMPHIS, TN 38103	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer_____ Date_____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☑ NONE - (No reportable positions.)

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☑ NONE - (No reportable agreements.)

	DATE	PARTIES AND TERMS
1.		

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FINANCIAL DISCLOSURE OFFICE

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Incom

☑ NONE - (No reportable non-investment income.)

	DATE	SOURCE AND TYPE	GROSS INCOME (yours, not spouse's)
1.			

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)

☐ NONE - (No reportable non-investment income.)

	DATE	SOURCE AND TYPE
1.	2003	STATE OF TN - SALARY AS DISTRICT ATTORNEY GENERAL
2.	2003	SHELBY COUNTY - COUNTY SUPPLEMENT TO SALARY AS DISTRICT ATTORNEY GENERAL

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ NONE - (No such reportable reimbursements.)

	SOURCE	DESCRIPTION
1.	FIRST AMENDMENT CENTER	FEB 28-MAR 1 - NASHVILLE, TN, MOOT COURT COMPETITION (TRANSPORTATION, LODGING, MEALS)
2.	BROOKLYN LAW SCHOOL	APR 5-7 - BROOKLYN, NY, MOOT COURT COMPETITION (TRANSPORTATION, LODGING, MEALS)
3.	UNIVERSITY OF VIRGINIA SCHOOL OF LAW	SEP 25-26 - CHARLOTTESVILLE, VA, PANELIST ON WOMEN IN THE JUDICIARY (TRANSPORATION, LODGING, MEALS)

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

☑ NONE - (No such reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.			

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

☐ NONE - (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.	SALLIE MAE SERVICING CORP.	PLUS EDUCATIONAL LOANS	K
2.	US BANK	CREDIT CARD	J

Name of Person Reporting	Date of Report
GIBBONS, JULIA S	6/11/2004

VII. INVESTMENTS and TRUSTS -- income, value, transcations (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g. div. rent. or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g. buy, sell, merger, redemption)	D. If not exempt from disclosure (2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. IRA - EQUITABLE LIFE ANNUITY - EQUIVEST GUARANTEED INT ACCT	A	Interest	K	T					
2. IRA-EQUITABLE LIFE ANNUITY-EQUIVEST GUARANTEED INT ACCT	A	Interest	K	T					
3. PUTNAM VOYAGER FUND		None	K	T					
4. SERIES EE SAVINGS BONDS	A	Interest	J	T	PARTIAL SALE	FALL	J	A	SEE NOTE - VIII
5. NATIONAL BANK OF COMMERCE-MEMPHIS, TN	A	Interest	J	T					
6. EVANS & PETREE 401(K) PLAN (SELF-DIRECTED PLAN)									
7. 1) INVESTMENT CO. OF AM. DBA THE AMERICAN FUNDS GROUP	B	Dividend	M	T					
8. 2) MORGAN KEEGAN MONEY MARKET FUND	A	Dividend	J	T					SEE NOTE - VIII
9. 3) SCHWAB INSTITUTIONAL BROKERAGE TRUST ACCOUNT	A	Dividend	K	T					
10. FEDERAL BLDG. FEDERAL CREDIT UNION ACCOUNT	A	Interest	J	T					
11. STATE OF TENNESSEE 401(K) UNION PLANTERS BANK ACCOUNT	A	Interest	J	T					
12. SCHWAB VALUE ADVANTAGE FUND (MONEY MARKET)	A	Dividend			SOLD	1/13	K	A	
13. OARKMARK SELECT FUND	A	Dividend	J	T					
14. WEITZ PARTNERS VALUE FUND	A	Dividend			SOLD	10/16	J	A	
15. WHITE OAK GROWTH STOCK FUND		None	J	T					
16. JANUS HIGH YIELD FUND	A	Dividend			SOLD	10/1	J	A	
17. LOOMIS SAYLES BOND FUND	A	Dividend	J	T	PARTIAL SALE	7/17	J	A	
18. SCHWAB MONEY MARKET FUND	A	Dividend	J	T					

1. Income/Gain Codes: (See Columns B1 and D4)	A = $1,000 or less	B = $1,001-$2,500	C = $2,501-$5,000	D = $5,001-$15,000	E = $15,001-$50,000
	F = $50,001-$100,000	G = $100,001-$1,000,000	H1 = $1,000,001-$5,000,000	H2 = More than $5,000,000	
2. Value Codes: (See Columns C1 and D3)	J = $15,000 or less	K = $15,001-$50,000	L = $50,001-$100,000	M = $100,001-$250,000	
	N = $250,000-$500,000	O = $500,001-$1,000,000	P1 = $1,000,001-$5,000,000	P2 = $5,000,001-$25,000,000	
	P3 = $25,000,001-$50,000,000		P4 = More than $50,000,000		
3. Value Method Codes (See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
	U = Book Value	V = Other	W = Estimated		

Name of Person Reporting	Date of Report
GIBBONS, JULIA S	6/11/2004

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19. AXA	A	Dividend	J	T					
20. FBR SMALL CAP FINANCIAL FUND		None			SOLD	4/14	J	A	
21. ARTISAN INTL FUND		None			SOLD	4/7	J	A	
22. OARKMARK INTL FUND		None			SOLD	4/7	J	A	
23. SPDR TRUST UNIT	A	Dividend			SOLD	4/11	J	A	
24. BERGER MID CAP VALUE FUND	A	Dividend	J	T	SOLD	4/17	J	A	
25. CALAMOS GROWTH FUND CL A		None	J	T	BUY	4/14	J		SEE NOTE - VIII
26.					BUY	10/16	J		
27. MUHLENKAMP FUND		None	J	T	BUY	4/14	J		SEE NOTE - VIII
28. NEEDHAM GROWTH FUND		None	J	T	BUY	4/14	J		SEE NOTE - VIII
29.					BUY	10/16	J		
30. CALVERI TAX FREE	A	Dividend	J	T	PARTIAL SALE	10/16	J	A	
31. MARISCO FOCUS FUND		None	J	T	BUY	4/14	J		
32. JANUS MID CAP VALUE	A	Dividend	J	T	BUY	4/17	J		
33. RYDEX JUNO FUND		None	J	T	BUY	4/21	J		
34.					BUY	7/17	J		
35. UNDISCOVERED MANAGERS BEHAV GRW		None	J	T	BUY	7/17	J		
36. MATTHEWS PACIFIC TIGER FUND	A	Dividend	J	T	BUY	9/11	J		

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Name of Person Reporting	Date of Report
GIBBONS, JULIA S	6/11/2004

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37. BUFFALO HIGH YIELD FUND	A	Dividend	J	T	BUY	10/2	J		
38. COOKE & BIELER MID-CAP VALUE		None	J	T	BUY	10/16	J		
39. EATON VANCE FLOATING	A	Dividend	J	T	BUY	10/16	J		
40. NUVEEN NWQ MULTI-CAP VALUE	A	Dividend	J	T	BUY	10/16	J		

1. Income/Gain Codes: (See Columns B1 and D4)	A = $1,000 or less	B = $1,001-$2,500	C = $2,501-$5,000	D = $5,001-$15,000	E = $15,001-$50,000
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FINANCIAL DISCLOSURE REPORT	Name of Person Reporting	Date of Report
	GIBBONS, JULIA S	6/11/2004

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)

PART VII, LINE 4
3 US SAVINGS BONDS WERE CASHED BY [redacted] AND THE EXACT DATES ARE NOT AVAILABLE.

PART VII, LINE 8
THERE WAS A NAME CHANGE ON THE MONEY MARKET FUND.

PART VII, LINES 25 THROUGH 29
THESE FUNDS WERE ORIGINALLY PURCHASED IN 2002. ADDITIONAL INVESTMENTS WERE MADE IN 2003 AS OF THE DATES SHOWN.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature [redacted] Date June 11, 2004

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544