

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Barrett, Susan D.	2. Court or Organization U.S. Bankruptcy Court, GA-S	3. Date of Report 07/12/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address P. O. Box 31267 Augusta, Georgia 30903		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Member	Hill Properties, LLC
2.	Partner	Family Partnership
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 14

Name of Person Reporting

Barrett, Susan D.

Date of Report

07/12/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Coastal Bankruptcy Law Institute, Inc.	April 20-21, 2017	Savannah, Georgia	Seminar	Registration, Meals, Educational Materials and Lodging
2. State Bar of Georgia Bankruptcy Section	December 13-15-2017	Greensboro, Georgia	Seminar	Registration, Meals, Educational Materials and Lodging
3. National Conference of Bankruptcy Judges	October 8-11, 2017	Las Vegas, NV	Annual Conference	Airfare, Parking and Lodging
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 14

Name of Person Reporting

Barrett, Susan D.

Date of Report

07/12/2018

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 14

Name of Person Reporting

Barrett, Susan D.

Date of Report

07/12/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Suntrust Bank Checking	A	Interest	J	T					
2. Suntrust Money Market	A	Interest	J	T					
3.									
4. BROKERAGE ACCT #1 SCHWAB									
5. SCHWAB US TREAS MONEY FD SWEEP (SWUXX)	A	Dividend	K	T					
6.					Buy (add'l)	12/27/17	J		
7.					Buy (add'l)	12/26/17	J		
8.					Buy (add'l)	12/19/17	J		
9.					Redeemed (part)	12/08/17	J		See Part VIII
10.					Redeemed (part)	12/05/17	K		See Part VIII
11.					Redeemed (part)	11/15/17	K		See Part VIII
12.					Buy (add'l)	11/14/17	L		
13.					Redeemed (part)	10/18/17	K		See Part VIII
14.					Redeemed (part)	10/17/17	K		See Part VIII
15.					Buy (add'l)	10/02/17	K		
16.					Buy (add'l)	09/25/17	J		
17.					Buy (add'l)	09/12/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = \$15,001 - \$50,000	E = \$15,001 - \$50,000 F = \$50,001 - \$100,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 14

Name of Person Reporting

Barrett, Susan D.

Date of Report

07/12/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Redeemed (part)	07/14/17	J		See Part VIII
19.					Redeemed (part)	07/03/17	J		See Part VIII
20.					Buy (add'l)	09/11/17	L		
21.					Redeemed (part)	06/08/17	J		See Part VIII
22.					Buy (add'l)	06/26/17	J		
23.					Buy (add'l)	06/06/17	K		
24.					Buy (add'l)	03/31/17	J		
25.					Buy (add'l)	03/27/17	J		
26.					Redeemed (part)	03/08/17	J		See Part VIII
27.					Redeemed (part)	02/15/17	J		See Part VIII
28.					Redeemed (part)	01/30/17	K		See Part VIII
29.	BANK OF MONTREAL 1.4%18F	A	Interest	K	T				
30.	DOVER CORP (DOV)	A	Dividend	K	T				
31.	GOLDMAN SACHS BK 1.45% 17	B	Interest		Matured	11/13/17	L		See Part VIII
32.	ISH BND SEP 2017 (IBMF)	A	Dividend		Sold	09/08/17	L	C	
33.	ISHARES SELECT DIV ETF IV (DVY)	C	Dividend	M	T				
34.	ISHARES INTL SEL DIV ETF (IDV)	A	Dividend	K	T				

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 14

Name of Person Reporting

Barrett, Susan D.

Date of Report

07/12/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. JOHNSON & JOHNSON (JNJ)	A	Dividend	K	T					
36. MICROSOFT CORP (MSFT)	A	Dividend	K	T					
37. PUTNAM SHORT DURATION INCM A (PSDTX)	A	Dividend	K	T	Buy	10/16/17	K		
38.					Sold	07/12/17	L	A	
39. SCHWAB US DIV EQUITY ETF (SCHD)	D	Dividend	O	T					
40. CHARLES SCHWAB US MC ETF (SCHM)(X)	A	Dividend	L	T	Buy	01/25/17	K		
41. SCHWAB INT'L EQUITY ETF (SCHF)(X)	A	Dividend	K	T	Buy	02/10/17	J		
42. SCHWAB TOTAL STK MKT INDEX (SWTSX)	D	Dividend	O	T					
43.					Sold (part)	09/08/17	K	D	
44. SHERWIN WILLIAMS CO (SHW)(X)		None	K	T	Buy	12/01/17	K		
45. TE CONNECTIVITY LTD F (TEL)(X)	A	Dividend	K	T	Buy	06/28/17	K		
46. UNITED HEALTH GROUP INC (UNH)	A	Dividend			Sold	05/31/17	K	D	
47.									
48. BROKERAGE ACCT. #2 (SCHWAB ROLLOVER IRA)									
49. -SCHWAB US TREAS MONEY FD (SWUXX)	A	Dividend	K	T					
50.					Buy (add'l)	12/26/17	J		
51.					Buy (add'l)	12/19/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 14

Name of Person Reporting

Barrett, Susan D.

Date of Report

07/12/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52.					Redeemed (part)	09/28/17	K		See Part VIII
53.					Buy (add'l)	09/25/17	J		
54.					Buy (add'l)	09/13/17	K		
55.					Buy (add'l)	06/26/17	J		
56.					Buy (add'l)	03/27/17	J		
57.					Redeemed (part)	03/08/17	J		See Part VIII
58.					Redeemed (part)	02/15/17	J		See Part VIII
59. ISHARES SELECT DIV ETF IV FUND (DIVY)	B	Dividend	K	T					
60. PUTNAM SHORT DURATION, INCM A (PSDTX)(X)	A	Dividend	K	T	Buy	09/27/17	K		
61. SCHWAB US DIV. EQUITY ETF (SCHD)	D	Dividend	N	T					
62. SCHWAB TOTAL STK MKT (SWTSX)	C	Dividend	M	T					
63. CHARLES SCHWAB US MC ETF (SCHM)	A	Dividend			Sold	09/18/17	K		See Part VIII
64.					Buy (add'l)	03/10/17	J		
65. SCHWAB INT'L EQUITY ETF (SCHF)	A	Dividend	K	T					
66. SCHWAB US SMALL CAP ETF (SCHA) (X)	A	Dividend			Sold	09/08/17	J		See Part VIII
67.					Buy	02/10/17	J		
68. VANGUARD INDUSTRIALS (VIS)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 14

Name of Person Reporting

Barrett, Susan D.

Date of Report

07/12/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69.									
70. BROKERAGE ACCT.#3 (WELLS FARGO)									
71. BANK DEPOSIT SWEEP	A	Interest	J	T					
72. CHEVRON CORP (CVX)	C	Dividend	L	T					
73. DXC TECH CO (DXC) (X)	A	Dividend	J	T					
74.					Sold (part)	04/03/17	J	A	
75.					Spinoff (from line 80)	04/03/17	K		See Part VIII
76. EQUITY RESIDENTIAL (EQR)	D	Dividend	M	T					
77. FIREEYE IN (FEYE)		None	J	T					
78. GENERAL ELECTRIC CO. (GE)	B	Dividend	K	T					
79. HP INC (HPQ)	A	Dividend	J	T					
80. HEWLETT PACKARD ENTERPRISE CO (HPE)	A	Dividend	J	T					
81. MICRO FOCUS INTL PLC ADR SPONSORED ADR NEW (MFGP)(X)		None	J	T					
82.					Sold (part)	09/13/17	J	A	
83.					Spinoff (from line 80)	09/13/17	J		See Part VIII
84. PALO ALTO NETWORKS (PANW)		None	J	T					
85. PROCTOR & GAMBLE CO. (PG)	C	Dividend	M	T					

1. Income Gain Codes:	A ~\$1,000 or less (See Columns B1 and D4)	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes	J ~\$15,000 or less (See Columns C1 and D3)	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 ~\$25,000,001 - \$50,000,000 (See Column C2)	R ~Cost (Real Estate Only) V ~Other	P4 ~More than \$50,000,000 S ~Assessment W ~Estimated	T ~Cash Market	
	Q ~Appraisal U ~Book Value				

FINANCIAL DISCLOSURE REPORT

Page 9 of 14

Name of Person Reporting

Barrett, Susan D.

Date of Report

07/12/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. ROYAL DUTCH SHELL PLC ADR CL A (RDS/A)	A	Dividend	J	T					
87. VERIZON COMMUNICATIONS COM (VZ)	A	Dividend	J	T					
88.									
89. MEMBERSHIP INTEREST IN HILL PROPERTIES, LLC		None							See Part VIII
90.									
91. FAMILY INS. PSHIP (OWNS LIFE INS. POL-CASH VALUE & SMALL BANK ACCT. AT		None	N	T					
92. SUNTRUST)									
93.									
94. TRUSTEE OF FAMILY TRUST CHECKING ACCOUNT AT SUNTRUST BANK		None	J	T					
95.									
96. FAMILY TRUST ACCT. #1 (TRUSTEE) (SCHWAB BROKERAGE ACCT.)									
97. ABBVIE, INC. (ABBV)	B	Dividend	L	T					
98. AQUA AMERICAN INC. (WTR)	A	Dividend			Sold	03/16/17	K	A	
99. BANK SWEEP ACCT.	A	Interest	K	T					
100. BB&T CORPORATION (BBT)	B	Dividend	K	T					
101. BLACKROCK, INC. (BLK)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 14

Name of Person Reporting

Barrett, Susan D.

Date of Report

07/12/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

102. CVS HEALTH CORP (CVS)	A	Dividend			Sold	02/01/17	K		See Part VIII
103. DEERE & CO. (DE)	A	Dividend	L	T					
104. EXXON MOBIL CORP (XOM)	B	Dividend	K	T					
105. JOHNSON & JOHNSON (JNJ)	B	Dividend	L	T					
106. KIMBERLY-CLARK CORP (KMB)	B	Dividend	K	T					
107. MARRIOTT INTL INC. (MAR)	A	Dividend	K	T					
108. MC DONALDS CORP (MCD)	B	Dividend	L	T					
109. MERCK & CO INC. (MRK)	A	Dividend	K	T					
110. MICROSOFT CORP (MSFT)	B	Dividend	L	T					
111. PAYCHEX INC (PAYX)	B	Dividend	L	T					
112. PUTNAM SHORT DURATION (PSDTX)	A	Dividend	M	T					
113.					Buy (add'l)	11/09/17	K		
114. SCHWAB US DIV EQUITY ETF (SCHD)	D	Dividend	M	T					
115.					Buy (add'l)	02/01/17	K		
116. CHARLES SCHWAB US MC ETF (SCHM) (X)	A	Dividend	K	T	Buy	03/03/17	K		
117. TECHNOLOGY SELECT SECTOR SPDR ETF (XLK)	A	Dividend	K	T					
118. TE CONNECTIVITY LTD F (TEL) (X)	A	Dividend	K	T	Buy	03/16/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 14

Name of Person Reporting

Barrett, Susan D.

Date of Report

07/12/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
119. UNITED HEALTH GROUP INC. (UNH)	A	Dividend			Sold	06/13/17	K	D	
120. UNITED TECHNOLOGIES CORP (UTX)	B	Dividend	L	T					
121. 3M (MMM)	B	Dividend	L	T					
122.									
123. FAMILY TRUST ASSET #2 (WELLS FARGO BROKERAGE ACCT.)									
124. BANK DEPOSIT SWEEP	A	Interest	L	T					
125. CHEVRON (CVX)	B	Dividend	L	T					
126.									
127. DXC TECHNOLOGY CO (DXC)(X)	A	Dividend	J	T					
128.					Sold (part)	04/03/17	J	A	
129.					Spinoff (from line 133)	04/03/17	J		See Part VIII
130. EQUITY RESIDENTIAL (EQR)	D	Dividend	O	T					
131. GENERAL ELECTRIC (GE)	A	Dividend	J	T					
132. HP INC (HPQ)	A	Dividend	K	T					
133. HEWLETT PACKARD ENTERPRISES CO. (HPE)	A	Dividend	K	T					
134. MICRO FOCUS INTL PLC ADR SPONSORED ADR NEW (MFGP)		None	J	T					
135.					Sold (part)	09/13/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 14

Name of Person Reporting

Barrett, Susan D.

Date of Report

07/12/2018

VII. INVESTMENTS and TRUSTS -- Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

136.					Spinoff (from line 133)	09/13/17	J		See Part VIII
137. PROCTOR & GAMBLE (PG)	D	Dividend	M	T					
138. ROYAL DUTCH SHELL PLC ADR CL A (RDS/A)	B	Dividend	K	T					
139. SUNTRUST BANKS INC (STI)	C	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 14

Name of Person Reporting

Barrett, Susan D.

Date of Report

07/12/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Section VII (Lines 9-11, 13-14, 18-19, 21, 26-28, 31, 52, 57-58, 63, 66, 75, 83, 102, 129, and 136). There is no gain to report.

Section VII (Line 89). I own a membership interest in this entity, but it did not have any activity in 2017.

FINANCIAL DISCLOSURE REPORT

Page 14 of 14

Name of Person Reporting	Date of Report
Barrett, Susan D.	07/12/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Susan D. Barrett**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544