

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Jordan, Kent A.	2. Court or Organization Third Circuit Court of Appeals	3. Date of Report 05/07/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 844 N. King Street Unit 10 Wilmington, DE 19801		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Member of Executive Committee	Richard S. Rodney Inn of Court
2.	Board Member	American Inns of Court
3.	Board Member	Ministry of Caring
4.	Board Member	Sacred Heart Village
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	Vanderbilt University - teaching	\$8,955.00
2. 2012	University of Pennsylvania School of Law - teaching	\$10,000.00
3. 2012	Vanderbilt University - teaching	\$8,000.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	Webster Dermatology - salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Vanderbilt University	2/10-18/12	Nashville, TN	teaching	food, lodging and transportation
2. Pepperdine University	3/14-16/12	Malibu, CA	speaker at seminar	food, lodging and transportation
3. Georgetown University	3/29/12	Washington, DC	moot court judge	food and transportation
4. G.S. Rich American Inn of Courts	5/12/12	Washington, DC	annual dinner	food and transportation
5. American Inns of Court Foundation	5/17-20/12	Nashville, TN	Spring Board Meeting	food, lodging and transportation
6. University of Naples Federico II	6/10-17/12	Naples, Italy	speaker at seminar	food, lodging and transportation

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7.	The Sedona Conference	10/10-12/12	Del Mar, CA	speaker at seminar	food, lodging and transportation
8.	American Inn of Court Foundation	10/19-20/12	Washington, DC	Fall Board Meeting	food, lodging and transportation
9.	Vanderbilt University	10/27-11/2/12	Nashville, TN	teaching	food, lodging and transportation
10.	George Washington University	12/7-12/16/12	Delhi, India	speaking at seminar	food, lodging and transportation

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	University of Naples Federico II	Airline ticket to Naples, Italy	\$1,464.20
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Schwab U.S. Treasury Money Fund	A	Dividend	J	T					
2. Gateway Index Plus Fund	A	Dividend	K	T					
3. Loomis Sayles Bond	B	Dividend	K	T	Buy (add'l)	11/28/12	J		
4. JPMorgan Core Plus Bond A	A	Dividend			Sold	09/14/12	K	A	
5. DWS High-Yield Fund	B	Dividend	K	T					
6. Rydex Healthcare	A	Dividend	K	T					
7. Rydex Inverse High Yield Strategy H		None			Buy	06/01/12	J		
8.					Sold	07/03/12	J		
9.					Buy	10/16/12	J		
10.					Buy (add'l)	11/15/12	J		
11.					Sold	12/17/12	K		
12. Cohen & Steers Realty Shares	A	Dividend	J	T	Buy	01/09/12	J		
13.					Buy (add'l)	01/25/12	J		
14.					Buy (add'l)	02/06/12	J		
15.					Buy (add'l)	03/30/12	J		
16.					Sold (part)	05/18/12	J		
17.					Sold (part)	11/29/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. iShares Gold Trust		None			Buy	01/11/12	J		
19.					Buy (add'l)	02/02/12	J		
20.					Sold (part)	03/06/12	J		
21.					Sold	05/09/12	J		
22. iShares DJ US IDX Transportation	A	Dividend			Buy	02/13/12	J		
23.					Sold	05/18/12	J		
24. Rydex Leisure	A	Dividend	K	T	Buy	03/13/12	J		
25.					Buy (add'l)	07/18/12	J		
26.					Buy	11/29/12	J		
27. Franklin Utilities Series C1 A	A	Dividend	J	T	Buy	05/16/12	J		
28.					Buy (add'l)	06/18/12	J		
29.					Buy (add'l)	07/26/12	J		
30.					Sold (part)	09/17/12	J	A	
31.					Sold (part)	11/12/12	J		
32. Franklin Gold and Precious Metals A		None			Buy	05/25/12	J		
33.					Sold	07/23/12	J		
34. Schwab U.S. Broad Market	A	Dividend	K	T	Buy	08/15/12	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35.					Buy (add'l)	09/14/12	J		
36. ICON Materials Fund Class S		None			Buy	09/20/12	J		
37.					Sold	11/15/12	J		
38. Rydex Transportation Fund		None	K	T	Buy	12/04/12	J		
39.					Buy (add'l)	12/18/12	J		
40. Texaco/Chevron Corp. Common Stock	A	Dividend	J	T					
41. Texaco/Chevron Corp. Common Stock	A	Dividend	J	T					
42. Texaco/Chevron Corp. Common Stock	A	Dividend	J	T					
43. Capital One (X)	A	Interest	J	T					
44.									
45.									
46.									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Kent A. Jordan**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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