

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gerrard, John M.	2. Court or Organization U.S. District Court, Nebraska	3. Date of Report 05/02/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 586 Federal Building 100 Centennial Mall North Lincoln, Nebraska 68508		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Board of Governors	Nebraska Wesleyan University
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2012	State of Nebraska judge salary	\$11,981.00
2. 10/10/2012	Nebraska Wesleyan University*	\$1,500.00
3.	*In lieu of honoraria (presentation @ NWU Visions & Ventures Symposium), NWU stipend pd. directly to charitable organization (Alumni Scholarship Fund)	
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2012	University of Nebraska Health Center - psychiatric nurse practitioner salary
2. 2012	Bryan LGH Physician Network, Inc. - psychiatric nurse practitioner salary
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	U.S. Bank	Personal note	K
2.	Key Bank	Education loan	K
3.	Nelnet	Education loan	J
4.	Discover	Education loan	K
5.	Bank of America	Credit card	K

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. U.S. Bank Checking Account	A	Interest	J	T					
2. Charles Schwab Market Account	A	Interest	J	T					
3. ABT Common Stock	A	Dividend	J	T					
4. BRKB Common Stock		None			Sold	02/14/12	J	A	
5. COP Common Stock (X)	A	Dividend	J	T					
6. CSCO Common Stock (Y)									
7. CVX Common Stock (Y)									
8. DEO Common Stock (Y)									
9. DIS Common Stock	A	Dividend	J	T	Buy	01/24/12	J		
10. Dow Chemical Corp Bond (X)	A	Dividend	J	T					
11. EMR Common Stock	A	Dividend			Sold	05/29/12	J	A	
12. EXPD Common Stock	A	Dividend			Sold	04/17/12	J	A	
13. F Common Stock	A	Dividend	J	T	Buy	02/07/12	J		
14. FDX Common Stock	A	Dividend	J	T	Buy	04/17/12	J		
15. FTE Common Stock	A	Dividend			Sold	01/24/12	J	A	
16. GFAFX Growth Fund	A	Interest	J	T					
17. HCP Common Stock	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. IBSCX Small Cap Fund		None	J	T					
19. INTC Common Stock	A	Dividend	J	T	Buy	03/16/12	J		
20. KMB Common Stock (X)	A	Dividend	J	T					
21. LVLTL Common Stock		None			Donated	03/14/12	J		Lincoln Comm. Foundation
22. MCD Common Stock	A	Dividend	J	T	Buy	08/15/12	J		
23. OKS Common Stock	A	Dividend	J	T					
24. ORCL Common Stock	A	Dividend	J	T					
25. PTTRX Institutional Fund		None	J	T					
26. RERGX Growth Fund		None	J	T					
27. RFNGX Growth Fund		None	J	T					
28. SE Common Stock (X)	A	Dividend	J	T					
29. SNY Common Stock	A	Dividend	J	T					
30. TCLFX Investment Fund		None	K	T					
31. TEVA Common Stock	A	Dividend			Sold	02/07/12	J	A	
32. TIP Bond Fund	A	Dividend	J	T					
33. V Common Stock (X)	A	Dividend	J	T					
34. VALE Common Stock (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. VFORX Investment Fund		None	J	T					
36. VIPSX Securities Fund	A	Dividend	J	T					
37. WEFIX Bond Fund (Y)									
38. WEF1Z Bond Fund (X)	A	Dividend	J	T					
39. WLP Common Stock (X)	A	Dividend	J	T					
40. WR Common Stock	A	Dividend	J	T					
41. WY Common Stock	A	Dividend	J	T	Buy	10/31/12	J		
42. American General Universal Life Insurance		None	J	T					
43. Genworth Adjustable Life Insurance		None			Expired	08/01/12	J		§ 1035 exch.-into Am. Gen.
44. Nebraska Judges Retirement System (no control)	A	Interest	J	T					

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ John M. Gerrard**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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