

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Kennedy, David S.	2. Court or Organization Bankruptcy Court	3. Date of Report 05/15/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address U.S. Bankruptcy Court 200 Jefferson Ave Suite 950 Memphis, TN 38103		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 1/16-5/16	Adjunct Professor at University of Memphis School of Law	\$1,350.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Sun Trust Bank	A	Int./Div.	J	T					
2. Pac Annuity registered as Qualified IRA	C	Distribution	L	T					
3. Premier Plus Indiv.	A	Int./Div.	K	T					
4. -Fidellity Govt Mkt Money	A	Dividend	J	T					
5. - American Balanced Class A	A	Dividend	J	T	Sold (part)	04/06/16	J	A	
6. -American Growth Fund of America	A	Dividend	J	T					
7. -American Income Fund of America Class Q	A	Dividend	J	T					
8. -T Rowe Price Tax Free Short International	A	Dividend	J	T					
9. -Columbia Acorn Class A		None	J	T					
10. -Diamond Hill Long Short Class A		None	J	T					
11. -MFS Municipal High Income Fund	A	Dividend	J	T					
12. -Templeton Foreign Class A	A	Dividend	J	T					
13. Lincoln New Directions 6 Fixed Annuity	C	Int./Div.	M	T					
14. Lincoln Choice Plus	B	Distribution	K	T					
15. Premier Plus Bene IRA	D	Distribution	L	T					
16. -Fidelity Govt Cash Reserves		None	J	T					
17. -Invesco High Yield Fund A	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -Allianzgi NFJ Dividend Value	A	Dividend	J	T					
19. -Diamond Hill Long Short CL A	A	Dividend	J	T					
20. -Franklin Rising Dividend Class A	A	Dividend	K	T	Sold (part)	07/06/16	J	A	
21. -Marsico Growth Fund		None	J	T					
22. -Fidelity International Index Premium	A	Dividend	J	T	Sold (part)	07/11/16	J	A	
23. -American New World Class F1	A	Dividend	J	T					
24. -Delaware Diversified Income Fund	A	Dividend	J	T					
25. Genworth SPDA III Deferred Life Annuity		None	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII No. 3 The Premium Plus Account shown under #3 is an Individual Account not Bene IRA.

Part VII No. 4 Fidelity Govt Money Mkt was formerly know as Fidelity Municipal Money Market. It was inadvertently transposed in the Premium Plus Bene IRA

Part VII No. 21 Fidelity Index Premium Class was formerly known as Spartan Index Fid ADV Class

Part VII No. 15 The Title of the master Premier Plus Bene IRA was incorrectly listed earlier on the 2015 report. All of the sub-funds were correctly listed in 2015 but without the Master Account being identified.

Part VII. No 16. Fidelity Government Cash Reserves was formerly known as Fidelity Cash Reserves. It was inadvertently transposed in the Premium Plus Individual.

Part VII No. 22 Fidelity International Index Premium was formerly known as Spartan Int'l Index FID

Part VII No. 23 American Funds New World Income is now known as American New World Class F1.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **David S. Kennedy**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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