

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Peterson, Rosanna M.	2. Court or Organization U.S. District Court	3. Date of Report 10/16/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address U.S. District Court for the Eastern District of WA 920 W. Riverside Spokane WA 99210		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2013

Washington State University--Teaching

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. CNA whole life insurance		None	J	T					
2. TIAA Traditional		None	N	T					
3. CREF Stock		None	N	T					
4. CREF Equity Index		None	K	T					
5. T-C Intl Eq Index-Rtmt	A	Dividend	J	T					
6. T-C Large Cap Val-Rtmt	A	Dividend	J	T					
7. T-C Mid-Cap Gr-Rtmt	A	Dividend	J	T					
8. T-C Sm-Cap Eq-Rtmt	A	Dividend	J	T					
9. TIAA-CREF Equity Index Fund (Y)									
10. TIAA Real Estate		None	K	T					
11. CREF Bond Market		None	L	T					
12. CREF Inf'l Linked Bond		None	K	T					
13. CREF Money Market		None	K	T	Distributed (part)	11/25/13	J		
14. CREF Money Market		None	K	T	Distributed (part)	12/18/13	K		
15. TIAA-CREF Int'l Eq Idx-Inst (Y)									
16. TIAA-CREF Lg-Cap Gr Idx-Inst (Y)									
17. TIAA-CREF Lg-Cap Val-Prem		None			Sold	11/15/13	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. TIAA-CREF Mid-Cap Gr-Prem		None			Sold	11/15/13	K		
19. TIAA-CREF Mid-Cap Val-Prem		None			Sold	11/15/13	J		
20. TIAA-CREF Social Ch Eq-Prem		None			Sold	11/15/13	L		
21. CREF Growth		None	J	T					
22. USAA Cash/Money Market	A	Dividend			Sold	11/21/13	J		
23. CREF Social Choice		None	J	T					
24. USAA Global Opportunities Portfolio		None			Sold	11/21/13	J		
25. USAA UMP Moderate Portfolio prev.Strategic Fund Adviser		None			Sold	11/21/13	J		
26. TIAA-CREF Intl Eq-Prem		None			Sold	11/15/13	J		
27. USAA Precious Metals & Minerals		None			Sold	11/21/13	J		
28. American Smallcap World A		None			Sold	11/21/13	J		
29. USAA Real Return Fund		None			Sold	11/21/13	J		
30. USAA High Income Fund		None			Sold	11/21/13	J		
31. USAA Short Term Bond	A	Dividend			Sold	11/21/13	K		
32. USAA Intermediate Bond Fund	A	Dividend			Sold	11/21/13	J		
33. USAA Income Fund		None			Sold	11/21/13	K		
34. USAA Emerging Markets		None			Sold	11/21/13	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. USAA International Fund		None			Sold	11/21/13	K		
36. USAA Small Cap		None			Sold	11/21/13	J		
37. USAA Income Stock		None			Sold	11/21/13	K		
38. USAA Growth Fund		None			Sold	11/21/13	J		
39. T-C Mid-Cap Val-Rtmt (X)	A	Dividend	J	T	Buy	12/13/13	J		
40. T-C Lg-Cap Val-Inst (X)	A	Dividend	L	T	Buy	11/15/13	J		
41. T-C Social Ch Eq-Inst (X)	A	Dividend	L	T	Buy	11/15/13	L		
42. T-C Mid-Cap Gr-Inst (X)	A	Dividend	K	T	Buy	11/15/13	K		
43. T-C Mid-Cap Val-Inst (X)	A	Dividend	J	T	Buy	11/15/13	J		
44. T-C Intl Eq Idx-Inst (X)	A	Dividend	K	T	Buy	11/15/13	J		
45. T-C Lg-Cap Gr Idx-Inst (X)	A	Dividend	K	T	Buy	08/01/13	J		
46. T-C Eq Index-Inst (X)	A	Dividend	J	T	Buy	10/02/13	J		
47. T-C lifecycle 2020-Rtmt (X)	D	Dividend	N	T	Buy	11/27/13	N		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Section VII: Line 9 should have been CREF Equity Index, not TIAA-CREF Equity Index Fund from previous report, which was a typo. CREF Equity Index is listed at line 4.

Section VII: Lines 15 is the same as line 44 except that the name was written out as TIAA-CREF Int'l Eq Idx-Inst in lines 15 and is referred to as T-C Int'l Eq Idx-Inst in lines 44.

Section VII: Lines 16 is the same as line 44 except that the name was written out as TIAA-CREF Lg Cap-Gr Idx-Inst in lines 16 and is referred to as T-C Lg Cap-Gr Idx-Inst in line 45.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Rosanna M. Peterson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544