

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2013**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Zipps, Jennifer G.	2. Court or Organization United States District Court, District of Arizona	3. Date of Report 04/30/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 405 W. Congress, Ste 5170 Tucson, AZ 85701		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 1/13-12/13	Arizona State Retirement System Annuity	\$14,158.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America (Accounts)	A	Interest	M	T					
2. Princess Jennifer LLC (LLC owns shopping ctr in NM)		None	K	R					
3. Metropolitan Life money market	D	Interest	O	T					
4. Bank of America (Accounts)	A	Interest	L	T					
5. Fidelity Emerging Euro Mid East Africa mutual fund	A	Dividend			Sold	05/06/13	J	A	
6. Fidelity Select Biotechnology	A	Dividend	K	T	Buy	05/06/13	J		
7. Fidelity Int'l Discovery mutual fund	A	Dividend	K	T					
8. Fidelity China Region mutual fund	B	Dividend	J	T					
9. Fidelity Emerging Markets mutual fund	A	Dividend			Sold	05/06/13	J	B	
10. Fidelity Stock Selector Small Cap mutual fund	B	Dividend	K	T					
11. Fidelity Capital Appreciation mutual fund	D	Dividend	L	T					
12. Fidelity Growth Co. mutual fund	D	Dividend	M	T					
13. Fidelity Select Energy mutual fund	C	Dividend	K	T					
14. Fidelity AZ Municipal money market fund (checking acct)	A	Dividend	L	T					
15. Traveler's Insurance, common stock	A	Dividend	J	T					
16. Fidelity Arizona Municipal Income Fund	C	Dividend			Sold	08/21/13	M	D	
17. Fidelity Arizona Municipal Income Fund	A	Dividend	M	T	Buy	11/04/13	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Fidelity Spartan 500 Index Fund	C	Dividend	M	T					
19. Fidelity Rollover IRA	A	Dividend	O	T					
20. -Allianz NFJ Dividend Value Fund					Sold (part)	09/23/13	J	A	
21. -Allianz NFJ Dividend Value Fund					Sold	05/22/13	K	D	
22. -Allianz NFJ Dividend Value Fund	A	Dividend			Buy	08/06/13	K		
23. -Brown Capital Mgmt Small Company	A	Dividend			Buy	09/23/13	J		
24. -Columbia Acorn International Fund					Sold	12/04/13	K	B	
25. -Columbia Acorn International Fund					Sold	05/22/13	K	C	
26. -Columbia Acorn International Fund					Buy	08/06/13	K		
27. -Credit Suisse Commodity Return					Sold	09/23/13	K	A	
28. -Credit Suisse Commodity Return					Sold	05/22/13	K	A	
29. -Credit Suisse Commodity Return					Buy	08/06/13	K		
30. -Delaware Emerging Markets Fund Class A					Sold (part)	09/23/13	J	A	
31. -Delaware Emerging Markets Fund Class A					Sold	05/22/13	K	C	
32. -Delaware Emerging Markets Fund Class A	A	Dividend			Buy	08/06/13	K		
33. -Dodge & Cox Income Fund					Sold	12/27/13	J	A	
34. -Dodge & Cox Income Fund					Sold	05/22/13	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -Dodge & Cox Income Fund	A	Dividend			Buy	08/06/13	K		
36. -Eagle Small Cap Growth Fund Class A					Sold	09/23/13	J	A	
37. -Eagle Small Cap Growth Fund Class A					Sold	05/22/13	J	C	
38. -Eagle Small Cap Growth Fund Class A					Buy	08/06/13	J		
39. -Fidelity Advisor Mid Cap II Fund					Sold	05/22/13	K	C	
40. -Fidelity Advisor Mid Cap II Fund	C	Dividend			Buy	08/06/13	K		
41. -Fidelity Advisor New Insights Fund Class					Sold (part)	09/23/13	J	A	
42. -Fidelity Advisor New Insights Fund Class					Sold	05/22/13	L	E	
43. -Fidelity Advisor New Insights Fund Class	D	Dividend			Buy	08/06/13	L		
44. -Harbor Bond Fund Institutional Class					Sold	12/27/13	K	A	
45. -Harbor Bond Fund Institutional Class					Sold	05/22/13	K	A	
46. -Harbor Bond Fund Institutional Class					Buy	08/06/13	K		
47. -Harbor Bond Fund Institutional Class	A	Dividend			Buy	09/23/13	J		
48. -Oakmark International Small Cap Fund					Sold (part)	05/22/13	J	C	
49. -Oakmark International Small Cap Fund	A	Dividend			Buy	08/06/13	K		
50. -The Oakmark International Fund					Sold (part)	09/23/13	J	A	
51. -The Oakmark International Fund					Sold	05/22/13	K	D	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. -The Oakmark International Fund	A	Dividend			Buy	08/06/13	K		
53. -Hartford High-Yield Class A					Sold	09/23/13	J	A	
54. -Hartford High-Yield Class A					Sold	05/22/13	J	A	
55. -Hartford High-Yield Class A	A	Dividend			Buy	08/06/13	J		
56. -Perkins Mid Cap Value Fund					Sold	09/23/13	K	A	
57. -Perkins Mid Cap Value Fund					Sold	05/22/13	K	C	
58. -Perkins Mid Cap Value Fund					Buy	08/06/13	K		
59. -John Hancock Disciplined Value	A	Dividend			Buy	09/23/13	J		
60. -MFS Value Fund Class W					Buy	09/23/13	J		
61. -MFS Value Fund Class W					Sold	11/08/13	L	B	
62. -MFS Value Fund Class W					Sold	05/22/13	K	D	
63. -MFS Value Fund Class W	A	Dividend			Buy	08/06/13	K		
64. -MFS Int'l Growth Fund Class W					Sold (part)	09/23/13	J	A	
65. -MFS Int'l Growth Fund Class W					Sold	05/22/13	L	D	
66. -MFS Int'l Growth Fund Class W					Buy	08/06/13	K		
67. -MFS Int'l Growth Fund Class W					Sold	11/08/13	K	A	
68. -Mainstay Icap Select Equity Fund					Buy	09/23/13	J		

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69. -Mainstay Icap Select Equity Fund					Sold	05/22/13	K	D	
70. -Mainstay Icap Select Equity Fund	A	Dividend			Buy	08/06/13	K		
71. -Northern Small Cap Value Fund					Sold	05/22/13	J	B	
72. -Northern Small Cap Value Fund	A	Dividend			Buy	08/06/13	J		
73. -Pimco Commodities Plus	A	Dividend			Buy	09/23/13	K		
74. -Pimco Commodities Plus					Sold	12/27/13	K	A	
75. -Pimco Income Fund Class A					Sold	05/22/13	K	A	
76. -Pimco Income Fund Class A	A	Dividend			Buy	08/06/13	K		
77. -Principal High Yield Fund Class A	A	Dividend			Buy	09/23/13	J		
78. -T Rowe Price Emerging Markets					Sold (part)	09/23/13	J	A	
79. -T Rowe Price Emerging Markets					Sold	05/22/13	J	B	
80. -T Rowe Price Emerging Markets	A	Dividend			Buy	08/06/13	J		
81. -T Rowe Price Real Estate					Buy	09/23/13	J		
82. -T Rowe Price Real Estate					Sold	12/27/13	K	A	
83. -T Rowe Price Real Estate					Sold	05/22/13	K	D	
84. -T Rowe Price Real Estate	A	Dividend			Buy	08/06/13	K		
85. -Scout International Fund					Sold	05/22/13	K	C	

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86. -Scout International Fund	A	Dividend			Buy	08/06/13	J		
87. -Fidelity Contra Fund					Buy	12/30/13	K		
88. -Fidelity Spartan 500 Index Fund					Buy	12/18/13	M		
89. -NW Mutual General MW Money Market Fund Class B	A	Dividend			Sold	11/06/13	J	A	
90. -Fidelity Govt Money Market	A	Dividend			Buy	11/06/13	J		
91. -Fidelity Govt Money Market					Buy	12/17/13	M		
92. -Fidelity Govt Money Market					Sold	12/18/13	M	A	
93. Northwestern Mutual Whole Life Policy	B	Dividend	K	T					
94. Mass Mutual Variable Life Policy	A	Interest	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII.

Line 2: Value for LLC is cost of the interest in the LLC when obtained just prior to the purchase of shopping center in August 2006.

Line 19 : The Fidelity Rollover IRA listed here was formerly a Northwestern Mutual IRA. The positions in the Northwestern IRA were liquidated by the custodian on May 22, 2013 in connection with a contemplated rollover of the assets to the TSP. The custodian restored the positions on August 6, 2013, citing an inability to effect the rollover without documentation from the TSP that was not readily available. On November 6, 2013, the Northwestern account was rolled over to Fidelity.

Lines 48-49: The Oakmark International Small Cap Fund listed here has been owned since the inception of the account in April 2010, with the exception of the listed transactions. The Oakmark International Fund listed at 50-52 has been owned since September 2010, with the same exception. Because of the similar names on account statements, only the latter account was listed on prior reports.

Lines 90-92: The Fidelity Government Money Market listed here is the "core" account for the rollover IRA. The listed transactions reflect transfers from the Northwestern account and the purchase of new funds.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Jennifer G. Zipps**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544