

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Bowbeer, Hildy	2. Court or Organization USDC - District of Minnesota	3. Date of Report 08/09/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Magistrate Judge - full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address Warren E. Burger Federal Building and U.S. Courthouse Suite 632 316 N. Robert St. St. Paul, MN 55101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust #1
2.	Trustee	Trust #2
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1997	3M Company Pension Plan - former employer, no control other than to specify when pension payments will start; intend to recuse from all 3M matters before Court
2. 1997	3M Company Long Term Incentive Plan (stock options and Restricted Stock Units) - former employer; options and RSUs already granted will vest over time
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 21

Name of Person Reporting

Bowbeer, Hildy

Date of Report

08/09/2017

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2016	New Harbinger Publishing Company - book royalties	\$1,774.62
2. 2016	Taylor & Francis Group - book royalties	\$214.05
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Product Liability Advisory Council	March 31-April 1, 2016	Miami, FL	Product Liability Advisory Council Spring Conference	Transportation, meals, and lodging
2. CLECI	June 1-3, 2016	Denver, CO	Rocky Mountain Intellectual Property & Technology Institute	Transportation, meals, and lodging
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 21

Name of Person Reporting

Bowbeer, Hildy

Date of Report

08/09/2017

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 21

Name of Person Reporting

Bowbeer, Hildy

Date of Report

08/09/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. US Bank Checking Account	A	Interest	K	T					
2. Rental Property #1, Collier County, FL	D	Rent	N	R					
3. Northwestern Mutual Whole Life Insurance Policies	D	Dividend	N	T					
4. Collegebound 529 Invesco Moderate College Port C		None	K	T					
5. Trust #1 (H)									
6. Fidelity Individual Account (Money Market) (SPAXX)	A	Interest	M	T					
7. 3M Company Stock (common)	D	Dividend	N	T					
8. TOD #1 (H)									
9. Fidelity Government Money Market (SPAXX) (X)	A	Interest	J	T					
10. 3M Company Restricted Stock Units (unvested)	A	Dividend	L	T	Buy (add'l)	02/10/16	L		
11.					Buy (add'l)	03/17/16	N		
12.					Sold (part)	03/17/16	O		
13.					Buy (add'l)	08/08/16	M		
14.					Sold (part)	08/08/16	N		
15. 3M Company VIP Excess (deferred compensation plan / no control) (cash)		None	N	T					
16. 3M Company Medical Savings Account	A	Interest	K	T					
17. 3M Company Stock Options		None	P1	T	Sold (part)	03/14/16	M		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 21

Name of Person Reporting

Bowbeer, Hildy

Date of Report

08/09/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Sold (part)	08/03/16	M		
19. Brokerage Account #1 (H)									
20. DREYFUS GENERAL MNY MKT FUND CL B	A	Int./Div.	J	T					
21. RUSSELL TAX-MANAGED U.S. MID & SMALL CAP FUND CLASS S	B	Distribution	K	T					
22. RUSSELL INT'L DEVELOPED MKTS FUND CLASS I (conv'd from CL S 7/27/15)	C	Dividend	M	T					
23. RUSSELL TAX MANAGED U.S. LARGE CAP FUND CLASS S	C	Dividend	N	T					
24. RUSSELL EMERGING MARKETS FUND CL S	A	Dividend	K	T					
25. RUSSELL GLOBAL REAL ESTATE SECURITIES FUND CLASS S	B	Dividend	K	T					
26. RUSSELL TAX EXEMPT BOND FUND CLASS S	C	Distribution	M	T					
27. Trust #2 (H)									
28. BMO Harris Bank (various accounts) (Y)									
29. RBC Prime Market Money Fund (TPMXX)	A	Interest	J	T					
30. Everbank FDIC INS	A	Dividend			Buy	04/25/16	J		
31.					Sold	10/28/16	J		
32. Franklin Income Mutual Fund (FCISX)	A	Dividend	K	T					
33. Pimco Low Duration Mutual Fund (PTLCX)	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 6 of 21

Name of Person Reporting

Bowbeer, Hildy

Date of Report

08/09/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
34. Templeton Foreign Mutual Fund (TEFTX)	A	Dividend	J	T					
35. Thornburg Investment Income Mutual Fund (TIBCX)	A	Dividend	K	T					
36. Vanguard Balanced Index FD Inc Admiral SHS (VIBAX)	A	Dividend	J	T	Buy	11/01/16	J		
37. IRA #1 (H)									
38. Northwestern Mutual General Money Market Fund Class B	A	Interest	J	T					
39. Invesco Balanced-Risk Commodity Strategy Fund Class Y (BRCYX)		None	K	T					
40. Brown Capital Management Small Company Fund (BCSSX)	A	Dividend	J	T					
41. Columbia Acorn International Fund Class R4	A	Dividend			Sold	09/19/16	K		
42. Dodge & Cox Income Fund (DODIX)	B	Dividend	L	T					
43. Europacific Growth Fund Class F-2 (AEPFX)	A	Dividend	L	T	Buy (add'l)	09/19/16	K		
44. Fidelity Advisor Mid Cap Fund Class I (FIIMX)	B	Dividend	K	T					
45. Fidelity Advisor New Insights Fund Class I (FINSX)	D	Dividend	M	T	Sold (part)	04/27/16	J		
46. The Oakmark International Fund Class I (OAKIX)	A	Dividend	L	T	Buy (add'l)	09/19/16	J		
47. The Oakmark International Small Cap Fund (OAKEX)	A	Dividend	K	T					
48. International Growth and Income Fund Class F-2 (IGFFX)	A	Dividend	K	T					
49. John Hancock Disciplined Value Mid Cap Fund Class I	B	Dividend	K	T	Sold (part)	04/27/16	J	A	
50. MFS Value Fund Class I	C	Dividend	L	T	Sold (part)	04/27/16	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 7 of 21

Name of Person Reporting

Bowbeer, Hildy

Date of Report

08/09/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
51. Metropolitan West Total Return Bond Fund Class I	A	Dividend	L	T	Sold (part)	04/27/16	J	A	
52. New World Fund - Class F2	A	Dividend	K	T					
53. Northern Small Cap Value Fund	A	Dividend	K	T					
54. Parnassus Core Equity Fund Institutional Class	B	Dividend	L	T					
55. PIMCO Income Fund Class P	B	Dividend	K	T					
56. T Rowe Price Emerging Markets Stock Fund	A	Dividend	K	T					
57. T Rowe Price Real Estate Fund	A	Dividend	K	T					
58. IRA #2 (H)									
59. RBC Cash and Federated Money Market Fund	A	Interest	L	T					
60. Alliance Bernstein Global Bond Mutual Fund (ANAYX)	B	Dividend	L	T	Buy (add'l)	02/08/16	J		
61.					Buy (add'l)	03/03/16	J		
62.					Buy (add'l)	05/02/16	J		
63.					Sold (part)	07/21/16	K	A	
64. AMG SoutherSun US Equity INSTL CL		None			Buy	05/02/16	K		
65.					Sold	10/26/16	K		
66. Artisan Partners FDS Inc INTL Value FD ADV SH (APDKX)	B	Dividend	M	T	Buy	05/02/16	L		
67.					Buy (add'l)	07/21/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 21

Name of Person Reporting

Bowbeer, Hildy

Date of Report

08/09/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
68.					Buy (add'l)	10/26/16	K		
69. Brandes Emerging Markets Mutual Fund (BEMIX)	B	Dividend	K	T	Sold (part)	02/08/16	J		
70.					Sold (part)	05/02/16	J		
71.					Buy (add'l)	10/26/16	J		
72. Brandes INVT TR INTL Small Cap Equity FD CL I (BSMIX)	B	Dividend	K	T	Buy	08/01/16	K		
73.					Buy (add'l)	10/26/16	J		
74. Dodge & Cox Stock Mutual Fund	B	Dividend			Buy (add'l)	02/08/16	K		
75.					Sold (part)	03/03/16	J		
76.					Sold	05/02/16	L		
77. Diamond Hill FDS Small Cap FD CL I (DHMIX)	A	Dividend	L	T	Buy	02/08/16	K		
78.					Sold (part)	03/03/16	J		
79.					Sold (part)	05/02/16	J	A	
80.					Buy (add'l)	10/26/16	K		
81. Diamond Hill Long-Short Mutual Fund		None			Sold	02/08/16	M		
82. Deutsche Croci Int'l Mutual Fund	B	Dividend			Buy (add'l)	02/08/16	K		
83.					Sold (part)	05/02/16	K		
84.					Buy (add'l)	07/21/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 21

Name of Person Reporting

Bowbeer, Hildy

Date of Report

08/09/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

85.					Sold	10/26/16	L		
86. Ishares Core High Dividend ETF		None			Sold	02/11/16	K		
87. Ishares Core Dividend Growth ETF		None			Sold	02/11/16	K		
88. Ishares Core S&P 500 Index ETF (IVV)	C	Dividend	M	T	Buy (add'l)	02/08/16	K		
89.					Sold (part)	03/03/16	J		
90.					Sold (part)	07/21/16	K	B	
91.					Buy (add'l)	10/26/16	K		
92. Ishares Core S&P Mid Cap ETF (IJH)	B	Dividend	M	T	Sold (part)	02/08/16	J		
93.					Sold (part)	03/03/16	J		
94.					Sold (part)	05/02/16	J		
95.					Sold (part)	07/21/16	J	A	
96.					Buy (add'l)	10/26/16	K		
97. IShares Core S&P Small Cap ETF (IJR)	A	Dividend	L	T	Buy	02/11/16	K		
98.					Sold (part)	03/03/16	J		
99.					Sold (part)	05/02/16	J	A	
100.					Sold (part)	07/21/16	J	A	
101.					Buy (add'l)	10/26/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 21

Name of Person Reporting

Bowbeer, Hildy

Date of Report

08/09/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
102. Ishares Core MSCI Total Int'l Stock ETF (IXUS)	B	Dividend	L	T	Buy (add'l)	02/08/16	L		
103.					Sold (part)	05/02/16	J		
104.					Sold (part)	07/21/16	J		
105.					Sold (part)	10/26/16	J		
106. Ivy Apollo Strategic Income Fund CL 1 (IIPGX)	C	Dividend	L	T	Buy	10/26/16	L		
107. JP Morgan TR I Strategic Income Opportunities Mutual Fund		None			Sold	02/08/16	L		
108. Loomis Sayles Strategic Income Fund Class Y (NEZYX)	C	Dividend	M	T	Buy	02/08/16	M		
109.					Sold (part)	05/02/16	J	A	
110. Lord Abbett Short Duration Income Fund Cl F (LDLFX)	C	Dividend	L	T	Buy	07/21/16	L		
111. Mairs & Power Growth Mutual Fund		None			Sold	02/08/16	K		
112. MFS Growth Mutual Fund (MFEIX)	A	Distribution	M	T	Sold (part)	02/08/16	K		
113.					Sold (part)	03/03/16	J	A	
114.					Sold (part)	07/21/16	J	A	
115.					Buy (add'l)	10/26/16	K		
116. MFS SER TR I Value Fund Cl I (MEIIX)	B	Dividend	M	T	Sold (part)	02/08/16	K		
117.					Buy (add'l)	05/02/16	M		
118.					Sold (part)	08/01/16	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 21

Name of Person Reporting

Bowbeer, Hildy

Date of Report

08/09/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
119.					Buy (add'l)	10/26/16	K		
120. Natixis Loomis Sayles Strategic Mutual Fund		None			Sold	02/08/16	K		
121. Natixis FDS TR II ASG Mngd Futures Strategy FD CL Y		None			Sold (part)	02/08/16	J		
122.					Sold (part)	03/03/16	J		
123.					Sold (part)	05/02/16	J		
124.					Buy (add'l)	07/21/16	J		
125.					Sold (part)	08/01/16	K		
126.					Sold	10/26/16	L		
127. Oakmark Global Select Mutual Fund	A	Dividend			Sold (part)	02/08/16	K		
128.					Sold	05/02/16	L		
129. Oppenheimer Developing Markets FDS Cl Y (ODVYX)	A	Dividend	K	T	Buy	02/08/16	K		
130.					Sold (part)	03/03/16	J		
131.					Sold (part)	10/26/16	J	A	
132. Templeton Income Global Total Return Mutual Fund	B	Dividend	L	T	Buy (add'l)	03/03/16	J		
133.					Buy (add'l)	07/21/16	J		
134.					Buy (add'l)	08/01/16	J		
135.					Sold (part)	10/26/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 21

Name of Person Reporting

Bowbeer, Hildy

Date of Report

08/09/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
136. Thornburg INVT TR Mutual Fund	A	Dividend			Sold	02/08/16	K		
137. Vanguard Fixed Income Secs Inter Term INVT Grade FD Adm CI (VFIDX)	B	Dividend	L	T	Buy	11/22/16	L		
138. Vanguard Sector Index Financials ETF		None			Sold	02/08/16	K		
139. Vanguard Sector Index Energy ETF		None			Sold	02/08/16	K		
140. Vanguard Sector Index FDS Vanguard REIT ETF	B	Dividend			Buy	02/08/16	L		
141.					Buy (add'l)	03/03/16	J		
142.					Sold (part)	05/02/16	K	C	
143.					Sold (part)	07/21/16	J	A	
144.					Sold (part)	08/01/16	J	A	
145.					Sold	09/08/16	L	D	
146. Vanguard Total International Bond ETF		None			Buy	02/11/16	L		
147.					Buy (add'l)	03/03/16	J		
148.					Buy (add'l)	05/02/16	J		
149.					Sold	10/09/16	L	B	
150. Vanguard Total Bond Market ETF	B	Dividend			Buy	03/03/16	M		
151.					Buy (add'l)	05/02/16	J		
152.					Sold (part)	07/21/16	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 21

Name of Person Reporting

Bowbeer, Hildy

Date of Report

08/09/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
153.					Sold (part)	10/26/16	K	A	
154.					Sold	11/22/16	L		
155. Vanguard Montgomery FDS MKT Neutral FD		None			Buy	05/02/16	K		
156.					Buy (add'l)	07/21/16	J		
157.					Sold	10/26/16	K		
158. Voya SER FD Inc FLoating Rate FD Class I (IFRIX)	C	Dividend	L	T	Buy	11/09/16	L		
159. Brokerage Account #2 (H)									
160. RBC Money Market Bank Deposit Program	A	Interest	K	T					
161. Alliance Bernstein Global Bond Mutual Fund (ANAYX)	B	Dividend	L	T	Buy (add'l)	02/08/16	J		
162.					Buy (add'l)	03/03/16	J		
163.					Buy (add'l)	05/02/16	J		
164.					Buy (add'l)	08/01/16	J		
165.					Sold (part)	07/21/16	J	A	
166. AMG SoutherSun US Equity INSTL CL		None			Buy	05/02/16	K		
167.					Sold	10/26/16	K		
168. Artisan Partners FDS Inc INTL Value FD ADV SH (APDKX)		None	L	T	Buy	05/02/16	K		
169.					Buy (add'l)	07/21/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 21

Name of Person Reporting

Bowbeer, Hildy

Date of Report

08/09/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
170.					Buy (add'l)	10/26/16	K		
171. Brandes Emerging Markets Mutual Fund (BEMIX)	A	Dividend	K	T	Buy	02/08/16	K		
172.					Sold (part)	05/02/16	J	A	
173.					Buy (add'l)	10/26/16	J		
174. Brandes INVT TR INTL Small Cap Equity FD CL 1 (BSMIX)	A	Dividend	K	T	Buy	08/01/16	K		
175.					Buy (add'l)	10/26/16	J		
176. Diamond Hill FDS Small Cap FD CL 1 (DHMIX)	A	Dividend	K	T	Buy	02/11/16	K		
177.					Buy (add'l)	10/26/16	K		
178. Diamond Hill Long-Short Mutual Fund	A	Distribution			Sold	02/08/16	L		
179. Deutsche Croci Int'l Mutual Fund	A	Dividend			Buy	02/08/16	L		
180.					Sold (part)	03/03/16	J		
181.					Sold (part)	05/02/16	J		
182.					Buy (add'l)	07/21/16	J		
183.					Sold	10/26/16	K	C	
184. Dodge & Cox Income Mutual Fund		None			Sold	02/08/16	K		
185. Dodge & Cox Stock Mutual Fund		None			Buy (add'l)	02/08/16	J		
186.					Sold (part)	03/03/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 21

Name of Person Reporting

Bowbeer, Hildy

Date of Report

08/09/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
187.					Sold	05/02/16	K	B	
188. FPA New Income Mutual Fund		None			Sold	02/08/16	K		
189. Ishares Core High Dividend ETF		None			Sold	02/11/16	J		
190. Ishares Core Dividend Growth ETF		None			Sold	02/11/16	K		
191. Ishares Core S&P 500 Index ETF (IVV)	B	Dividend	L	T	Sold (part)	03/03/16	J		
192.					Sold (part)	07/21/16	J	A	
193.					Buy (add'l)	10/26/16	K		
194. Ishares Core S&P Mid Cap ETF (IJH)	A	Dividend	L	T	Buy (add'l)	02/08/16	K		
195.					Sold (part)	02/08/16	J		
196.					Sold (part)	03/03/16	J		
197.					Sold (part)	05/02/16	J		
198.					Sold (part)	07/21/16	J		
199.					Buy (add'l)	10/26/16	J		
200. IShares Core S&P Small Cap ETF (IJR)	A	Dividend	K	T	Buy	02/11/16	J		
201.					Sold (part)	03/03/16	J	A	
202.					Buy (add'l)	10/26/16	J		
203. Ishares Core MSCI Total Int'l Stock ETF (IXUS)	B	Dividend	L	T	Buy	02/08/16	L		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 16 of 21

Name of Person Reporting

Bowbeer, Hildy

Date of Report

08/09/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
204.					Sold (part)	03/03/16	J		
205.					Sold (part)	05/02/16	J		
206.					Sold (part)	07/21/16	J	A	
207.					Sold (part)	10/26/16	J	A	
208. Ishares MSCI Emerging Markets ETF		None			Sold	02/11/16	K		
209. Ivy Apollo Strategic Income Fund CL I (IIPX)	B	Dividend	K	T	Buy	10/26/16	K		
210. Loomis Sayles Strategic Income Fund Class Y (NEZYX)	B	Dividend	L	T	Buy	02/08/16	L		
211.					Sold (part)	05/02/16	J		
212. Lord Abbett Short Duration Income Fund CL F (LDLFX)	B	Dividend	K	T	Buy	07/21/16	K		
213. Mairs & Power Growth Mutual Fund		None			Sold	02/08/16	K		
214. MFS Growth Mutual Fund (MFEIX)	A	Dividend	L	T	Sold (part)	02/08/16	J		
215.					Sold (part)	07/21/16	J	A	
216.					Buy (add'l)	10/26/16	K		
217. MFS SER TR I Value Fund CL I (MEIIX)	B	Dividend	L	T	Buy	05/02/16	L		
218.					Sold (part)	08/01/16	J		
219.					Buy (add'l)	10/26/16	J		
220. Natixis FDS TR II ASG Mngd Futures Strategy FD CL Y		None			Buy	02/08/16	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 17 of 21

Name of Person Reporting

Bowbeer, Hildy

Date of Report

08/09/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
221.					Sold (part)	03/03/16	J		
222.					Sold (part)	05/02/16	J		
223.					Buy (add'l)	07/21/16	J		
224.					Sold (part)	08/01/16	J		
225.					Sold	10/26/16	K		
226. Oakmark Global Select Mutual Fund		None			Sold (part)	02/08/16	J		
227.					Sold	05/02/16	L		
228. Oppenheimer Developing Markets FDS Cl Y (ODVYX)	A	Dividend	K	T	Buy	02/08/16	K		
229.					Sold (part)	10/26/16	J		
230. RS Invst Global Natural Resources Mutual Fund		None			Sold	02/08/16	J		
231. Templeton Global Bond Market Fund		None			Sold	02/08/16	K		
232. Templeton Income Global Total Return Mutual Fund (TTRZX)	B	Dividend	K	T	Buy	02/08/16	K		
233.					Buy (add'l)	08/01/16	J		
234.					Sold (part)	10/26/16	J		
235. Thornburg INVT TR Mutual Fund		None			Sold	02/08/16	K		
236. Vanguard Fixed Income Secs Inter Term INVT Grade FD Adm Cl (VFIDX)	B	Dividend	K	T	Buy	11/22/16	K		
237. Vanguard FTSE Developed Markets ETF		None			Sold	02/08/16	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 18 of 21

Name of Person Reporting

Bowbeer, Hildy

Date of Report

08/09/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

238. Vanguard Sector Index Financials ETF		None			Sold	02/08/16	K	D	
239. Vanguard Sector Index FDS Vanguard REIT ETF		None			Buy	02/08/16	K		
240.					Buy (add'l)	03/03/16	J		
241.					Sold (part)	05/02/16	K	B	
242.					Sold (part)	07/21/16	J	C	
243.					Sold	09/08/16	K	D	
244. Vanguard Total International Bond ETF		None			Buy	02/11/16	K		
245.					Buy (add'l)	03/03/16	J		
246.					Buy (add'l)	05/02/16	J		
247.					Sold	11/09/16	K		
248. Vanguard Total Bond Market ETFff		None			Buy	03/03/16	L		
249.					Buy (add'l)	05/02/16	J		
250.					Buy (add'l)	08/01/16	J		
251.					Sold (part)	07/21/16	K		
252.					Sold (part)	10/26/16	J		
253.					Sold	11/22/16	K		
254. Vanguard Montgomery FDS MKT Neutral FD		None			Buy	05/02/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 19 of 21

Name of Person Reporting

Bowbeer, Hildy

Date of Report

08/09/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

255.					Buy (add'l)	07/21/16	J		
256.					Sold	10/26/16	K		
257. Voya SER FD Inc Floating Rate FD Class I (IFRIX)	B	Dividend	K	T	Buy	11/09/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 20 of 21

Name of Person Reporting

Bowbeer, Hildy

Date of Report

08/09/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 2: Purchased Feb. 2013, \$412,000; see 2015, line 11.

Part VII, line 3: No underlying investments; see 2015, line 21.

Part VII, line 4: Name updated; see 2015, line 22.

Part VII, lines 4 and 15: These holdings do not report income on individual positions; they are reported only in unit value, therefore income is listed as "None," per page 40 of the filing instructions.

Part VII, line 38: Name updated; see 2015, line 40.

Part VII, line 45: Inadvertently omitted from prior report.

Part VII, Line 116: Corporate name change; see 2015, line 90.

Part VII, Line 121: Corporate name change; see 2015, line 94.

FINANCIAL DISCLOSURE REPORT

Page 21 of 21

Name of Person Reporting

Bowbeer, Hildy

Date of Report

08/09/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Hildy Bowbeer**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544