

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Donohue, James P.	2. Court or Organization United States District Court, Western District of Washington	3. Date of Report 10/29/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Magistrate Judge (Full-time)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address United States Courthouse 700 Stewart Street Seattle, WA 98101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.	Board of Directors	Special Olympics of Washington
3.	Board of Directors	Federal Magistrate Judges Association
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. monthly

Group Health Cooperative monthly pension through the entire year

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. Federal Magistrate Judges
Association

Feb 28-March 2

Washington D.C.

Board Meeting

Lodging and food

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Bank of America	Credit Card	J
2.	US Bank	Line of Credit	J
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. WELLS FARGO COMPASS ETF	E	Dividend			Sold	05/25/12	N	D	
2. EATON VANCE NAT'L LTD MAT MUNI INCM C - EZNAX	A	Distribution			Sold (part)	02/09/12	K	A	
3. EATON VANCE NAT'L LTD MAT MUNI INCM C - EZNAX	A	Dividend			Sold	03/20/12	J	A	
4. EATON VANCE NAT'L LTD MAT MUNI IN B	A	Dividend	J	T					
5. AMERICAN FUNDS TAX EXEMPT BONDS C - TBCX	A	Distribution	J	T	Sold (part)	03/20/12	J	A	
6. WELLS FARGO ADV MUNI BD C - WMFCX	A	Dividend	K	T					
7. CAPITAL INCOME BUILDER B - CIBBX	A	Dividend	J	T					
8. FIDELITY ADV EQUITY INC FD - FEIRX		None			Sold	06/15/12	J	A	
9. PRUDENTIAL INVT PORT INC ASSET ALLOC B - PBFBX	A	Dividend	J	T					
10. PRUDENTIAL ASSET ALLOC A - PIBAX		None			Sold	06/15/12	J	A	
11. WELLS FARGO ASSET ALLOC B - EABFX	A	Dividend			Sold	12/26/12	J	A	
12. FIDELITY VIP EQUITY-INC. PORT		None			Sold	06/05/12	K	B	
13. FIDELITY VIP GROWTH PORT 1		None			Sold	06/05/12	K	B	
14. ING T-ROWE PR. GR. EQ PORT		None			Sold	06/05/12	J	A	
15. ING SMALL CO PORT		None			Sold	06/05/12	J	A	
16. ING INDEX PLUS MID CAP PORT		None			Sold	06/05/12	K	B	
17. ING T-ROWE PRC DIVRSD MD CAP		None			Sold	06/05/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. ING FIXED FUND ACCOUNT, fka VALIC	A	Interest	J	T					
19.									
20. FIRST EAGLE SOGEN GOLBAL FD, CL C	A	Dividend	J	T					
21. T-Rowe Pr. 2015 Ret Fnd.	A	Interest			Sold	11/01/12	K	B	
22. WELLS FARGO BANK DEPOSIT ACCOUNT	A	Interest	J	T					
23.									
24. Pershing Government Money Market Account	A	Dividend	J	T	Open	06/08/12	J		
25. FIDELITY STRATEGIC INCOME FUND	A	Dividend	K	T	Buy	10/23/12	K		
26. FIDELITY STRATEGIC INCOME FUND	A	Dividend	J	T	Buy (add'l)	11/06/12	J		
27. PIMCO ALL ASSET ALL AUTHORITY FUND CLASS D	A	Dividend	J	T	Buy	06/18/12	J		
28. PIMCO INCOME FUND CLASS D	A	Dividend	K	T	Buy	10/23/12	J		
29. PIMCO INCOME FUND CLASS D	A	Dividend	K	T	Buy (add'l)	11/16/12	K		
30. VANGUARD REIT INDEX SIGNAL FUND	A	Dividend	K	T	Buy	06/18/12	K		
31. VANGUARD DEVELOPED FOREGN MARKETS INDEX FUND	A	Dividend	J	T	Buy	06/18/12	J		
32. VANGUARD TOTAL BOND MARKET INDEX FUND	A	Dividend			Buy	06/18/12	K		
33. VANGUARD TOTAL BOND MARKET INDEX FUND	A	Dividend			Sold	10/22/12	K	A	
34. VANGUARD EMERGING STOCK INDEX FUND	A	Dividend	J	T	Buy	06/18/12	J		

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35. VANGUARD SMALL-CAP INDEX SIGNAL FUND	A	Dividend	J	T	Buy	06/18/12	J		
36. VANGUARD MID-CAP INDEX SIGNAL FUND	A	Dividend	J	T	Buy	06/18/12	J		
37. VANGUARD 500 INDEX SIGNAL FUND	A	Dividend	K	T	Buy	06/18/12	K		
38.									
39. CONSUMR DISC SECTOR ETF XLY					Buy	10/17/12	J		
40. CONSUMR DISC SECTOR ETF XLY					Buy (add'l)	10/31/12	J		
41. CONSUMR DISC SECTOR ETF XLY					Buy (add'l)	11/19/12	J		
42. CONSUMR DISC SECTOR ETF XLY					Buy	12/03/12	J		
43. CONSUMR DISC SECTOR ETF XLY					Sold (part)	12/10/12	J	A	
44. CONSUMR DISC SECTOR ETF XLY					Sold (part)	12/12/12	J	A	
45. CONSUMR DISC SECTOR ETF XLY					Sold (part)	12/24/12	J	A	
46. CONSUMR DISC SECTOR ETF XLY					Sold (part)	12/31/12	J	A	
47. CONSUMR DISC SECTOR ETF XLY	A	Dividend	K	T	Sold (part)	12/31/12	J	A	
48.									
49. CONSUMER STPLE SECTOR ETF XLP					Buy	10/17/12	J		
50. CONSUMER STPLE SECTOR ETF XLP					Buy (add'l)	10/31/12	J		
51. CONSUMER STPLE SECTOR ETF XLP					Buy (add'l)	11/19/12	J		

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52. CONSUMER STPLE SECTOR ETF XLP					Buy (add'l)	12/03/12	J		
53. CONSUMER STPLE SECTOR ETF XLP					Sold (part)	12/10/12	J	A	
54. CONSUMER STPLE SECTOR ETF XLP					Sold (part)	12/17/12	J	A	
55. CONSUMER STPLE SECTOR ETF XLP					Sold (part)	12/17/12	J	A	
56. CONSUMER STPLE SECTOR ETF XLP					Sold (part)	12/24/12	J	A	
57. CONSUMER STPLE SECTOR ETF XLP	A	Dividend	K	T	Sold (part)	12/31/12	J	A	
58.									
59. FINANCIAL SECTOR SPD ETF XLF					Buy	10/17/12	J		
60. FINANCIAL SECTOR SPD ETF XLF					Buy (add'l)	10/31/12	J		
61. FINANCIAL SECTOR SPD ETF XLF					Buy (add'l)	11/19/12	J		
62. FINANCIAL SECTOR SPD ETF XLF					Buy (add'l)	12/03/12	J		
63. FINANCIAL SECTOR SPD ETF XLF					Sold (part)	12/10/12	J	A	
64. FINANCIAL SECTOR SPD ETF XLF					Sold (part)	12/17/12	J	A	
65. FINANCIAL SECTOR SPD ETF XLF					Sold (part)	12/24/12	J	A	
66. FINANCIAL SECTOR SPD ETF XLF					Sold (part)	12/31/12	J	A	
67. FINANCIAL SECTOR SPD ETF XLF	A	Dividend	K	T	Sold (part)	12/31/12	J	A	
68.									

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69. FIRST TRUST HEALTH CARE ETF FXH		None			Buy	06/21/12	K		
70. FIRST TRUST HEALTH CARE ETF FXH		None			Buy (add'l)	07/02/12	J		
71. FIRST TRUST HEALTH CARE ETF FXH		None			Sold (part)	07/09/12	J	A	
72. FIRST TRUST HEALTH CARE ETF FXH		None			Sold	10/17/12	J	A	
73.									
74. FIRST TRUST CONSUMER ETF FXD		None			Buy	06/21/12	K		
75. FIRST TRUST CONSUMER ETF FXD		None			Buy (add'l)	07/02/12	J		
76. FIRST TRUST CONSUMER ETF FXD		None			Sold (part)	07/09/12	J	A	
77. FIRST TRUST CONSUMER ETF FXD		None			Sold	10/17/12	J	B	
78.									
79. FIRST TRUST CONSUMER ETF FXG		None			Buy	06/21/12	K		
80. FIRST TRUST CONSUMER ETF FXG		None			Buy (add'l)	07/02/12	J		
81. FIRST TRUST CONSUMER ETF FXG		None			Sold (part)	07/09/12	J	A	
82. FIRST TRUST CONSUMER ETF FXG		None			Sold	10/17/12	J	B	
83.									
84. FIRST TRIST ENERGY ETF FXN		None			Buy	07/09/12	J		
85. FIRST TRIST ENERGY ETF FXN		None			Sold	10/17/12	K	B	

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86.									
87. FIRST TRUST FINANCIAL ETF FXO		None			Buy	06/21/12	K		
88. FIRST TRUST FINANCIAL ETF FXO		None			Sold	07/02/12	J		
89. FIRST TRUST FINANCIAL ETF FXO		None			Sold (part)	07/09/12	J	A	
90. FIRST TRUST FINANCIAL ETF FXO		None			Sold	10/17/12	J	A	
91.									
92. FIRST TRUST INDUSTRIAL ETF FXR		None			Buy	07/09/12	J		
93. FIRST TRUST INDUSTRIAL ETF FXR		None			Sold	10/17/12	J	B	
94.									
95. FIRST TRUST MATERIAL ETF FXZ		None			Buy	06/21/12	K		
96. FIRST TRUST MATERIAL ETF FXZ		None			Sold	07/02/12	K	A	
97. FIRST TRUST MATERIAL ETF FXZ		None			Buy	07/09/12	J		
98. FIRST TRUST MATERIAL ETF FXZ		None			Sold	10/17/12	K	B	
99.									
100. FIRST TRUST TECHNOLOGY ETF FXL		None			Buy	06/21/12	K		
101. FIRST TRUST TECHNOLOGY ETF FXL		None			Buy (add'l)	07/02/12	J		
102. FIRST TRUST TECHNOLOGY ETF FXL		None			Sold (part)	07/09/12	J	A	

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103. FIRST TRUST TECHNOLOGY ETF FXL		None			Sold	10/17/12	J	A	
104.									
105. FIRST TRUST UTILITIES ETF FXU		None			Buy	06/21/12	K		
106. FIRST TRUST UTILITIES ETF FXU		None			Buy (add'l)	07/02/12	J		
107. FIRST TRUST UTILITIES ETF FXU		None			Sold (part)	07/09/12	J	A	
108. FIRST TRUST UTILITIES ETF FXU		None			Sold	10/17/12	J	A	
109.									
110. HEALTH CARE SECTOR ETF XLV					Buy	10/17/12	J		
111. HEALTH CARE SECTOR ETF XLV					Buy (add'l)	10/31/12	J		
112. HEALTH CARE SECTOR ETF XLV					Buy (add'l)	11/19/12	J		
113. HEALTH CARE SECTOR ETF XLV					Buy (add'l)	12/03/12	J		
114. HEALTH CARE SECTOR ETF XLV					Sold (part)	12/10/12	J	A	
115. HEALTH CARE SECTOR ETF XLV					Sold (part)	12/17/12	J	A	
116. HEALTH CARE SECTOR ETF XLV					Sold (part)	12/24/12	J	A	
117. HEALTH CARE SECTOR ETF XLV	A	Dividend	K	T	Sold (part)	12/31/12	J	A	
118.									
119. iSHARES DOW JONES US INDL IYJ					Buy	10/17/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Donohue, James P.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. iSHARES DOW JONES US INDL IYJ					Buy (add'l)	10/31/12	J		
121. iSHARES DOW JONES US INDL IYJ					Sold (part)	11/19/12	J	A	
122. iSHARES DOW JONES US INDL IYJ					Sold	11/19/12	J	A	
123. iSHARES DOW JONES US INDL IYJ					Buy	12/10/12	K		
124. iSHARES DOW JONES US INDL IYJ					Sold (part)	12/17/12	J	A	
125. iSHARES DOW JONES US INDL IYJ					Sold (part)	12/24/12	J	A	
126. iSHARES DOW JONES US INDL IYJ	A	Dividend	K	T	Sold (part)	12/31/12	J	A	
127.									
128. iSHARES DOW JONES US UTIL IDU					Buy	12/17/12	K		
129. iSHARES DOW JONES US UTIL IDU					Sold (part)	12/17/12	J	A	
130. iSHARES DOW JONES US UTIL IDU	A	Dividend	K	T	Sold (part)	12/31/12	J	A	
131.									
132. iSHARES DOW JONES US ENERGY IYE					Buy	10/17/12	J		
133. iSHARES DOW JONES US ENERGY IYE					Sold	10/31/12	J	A	
134. iSHARES DOW JONES US ENERGY IYE	A	Dividend	K	T	Buy	12/31/12	K		
135.									
136. MATERIALS SECTOR SP ETF XLB					Buy	10/17/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. MATERIALS SECTOR SP ETF XLB					Sold	10/31/12	J	A	
138. MATERIALS SECTOR SP ETF XLB					Buy	11/19/12	K		
139. MATERIALS SECTOR SP ETF XLB					Sold	12/03/12	K	A	
140. MATERIALS SECTOR SP ETF XLB					Buy	12/24/12	K		
141. MATERIALS SECTOR SP ETF XLB	A	Dividend	K	T	Sold (part)	12/31/12	J	A	
142.									
143. TECHNOLOGY SECTOR SP ETF XLK		None			Buy	10/17/12	J		
144. TECHNOLOGY SECTOR SP ETF XLK		None			Sold	10/31/12	J	A	
145.									
146. UTILITIES SECTOR SPD SP ETF XLU		None			Buy	10/17/12	J		
147. UTILITIES SECTOR SPD SP ETF XLU		None			Buy (add'l)	10/31/12	J		
148. UTILITIES SECTOR SPD SP ETF XLU		None			Sold (part)	11/19/12	J	A	
149. UTILITIES SECTOR SPD SP ETF XLU		None			Sold	11/19/12	J	A	
150.									
151. ISHARES BARCLAYS 3-7 YEAR TREASURY BOND FUND					Buy	06/21/12	K		
152. ISHARES BARCLAYS 3-7 YEAR TREASURY BOND FUND					Sold	07/02/12	K	A	
153. ISHARES BARCLAYS 3-7 YEAR TREASURY BOND FUND					Buy	08/12/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. ISHARES BARCLAYS 3-7 YEAR TREASURY BOND FUND					Sold	09/04/12	K	A	
155. ISHARES BARCLAYS 3-7 YEAR TREASURY BOND FUND	A	Dividend	K	T	Buy	11/01/12	K		
156.									
157. ISHARES CORE S&P MIDCAP ETF	A	Dividend	K	T	Buy	11/01/12	K		
158.									
159. ISHARES TR S&P MIDCAP 400 INDEX FD	A	None	K	T	Buy	09/04/12	K		
160.									
161. ISHARES TR S&P 500 INDEX FUND		None			Buy	07/02/12	K		
162. ISHARES TR S&P 500 INDEX FUND		None			Sold (part)	08/01/12	J	A	
163. ISHARES TR S&P 500 INDEX FUND		None			Sold	09/04/12	K	B	
164. ISHARES TR S&P 500 INDEX FUND		None			Buy	10/01/12	K		
165. ISHARES TR S&P 500 INDEX FUND		None			Sold	11/01/12	K	A	
166.									
167. ISHARES TR RUSSELL 2000 INDEX FUND		None			Buy	07/02/12	K		
168. ISHARES TR RUSSELL 2000 INDEX FUND		None			Sold (part)	08/01/12	J	A	
169. ISHARES TR RUSSELL 2000 INDEX FUND		None			Buy	09/14/12	K		
170. ISHARES TR RUSSELL 2000 INDEX FUND		None			Sold	10/01/12	K	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.									
172. MVV PROSHARES ULTRA ETF MIDCAP 400 NON-TRADITIONAL ETF					Buy	09/04/12	K		
173. MVV PROSHARES ULTRA ETF MIDCAP 400					Sold	10/01/12	K	A	
174. MVV PROSHARES ULTRA ETF MIDCAP 400 NON-TRADITIONAL ETF	A	Dividend	K	T	Buy (add'l)	11/01/12	K		
175.									
176. ULTRA RUSSELL 2000 PROSHARES ETF 2X NONTRADITIONAL ETF		None			Buy	07/02/12	K		
177. ULTRA RUSSELL 2000 PROSHARES ETF 2X NONTRADITIONAL ETF		None			Sold	08/01/12	K	A	
178. ULTRA RUSSELL 2000 PROSHARES ETF 2X NONTRADITIONAL ETF		None			Buy	09/04/12	K		
179. ULTRA RUSSELL 2000 PROSHARES ETF 2X NONTRADITIONAL ETF		None			Sold (part)	10/01/12	J	A	
180. ULTRA RUSSELL 2000 PROSHARES ETF 2X NONTRADITIONAL ETF		None			Sold	11/01/12	K	A	
181.									
182. PROSHARES TRUST ETF ULTRA 7-10 YR TREASURY NON-TRAD ETF					Buy	06/21/12	K		
183. PROSHARES TRUST ETF ULTRA 7-10 YR TREASURY NON-TRAD ETF					Sold	07/02/12	K	A	
184. PROSHARES TRUST ETF ULTRA 7-10 YR TREASURY NON-TRAD ETF					Buy	08/01/12	K		
185. PROSHARES TRUST ETF ULTRA 7-10 YR TREASURY NON-TRAD ETF					Sold	09/04/12	K	A	
186. PROSHARES TRUST ETF ULTRA 7-10 YR TREASURY NON-TRAD ETF	A	Dividend	K	T	Buy	11/01/12	K		
187.									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. ISHARES BARCLAYS ETF 1-3 YEAR TREASURY BOND FUND		None			Buy	06/21/12	K		
189. ISHARES BARCLAYS ETF 1-3 YEAR TREASURY BOND FUND		None			Sold	07/02/12	K	A	
190.									
191. PROSHARES ULTRA S&P ETF 2X NON- TRAD ETF		None			Buy	07/02/12	K		
192. PROSHARES ULTRA S&P ETF 2X NON- TRAD ETF		None			Sold	09/04/12	K	B	
193. PROSHARES ULTRA S&P ETF 2X NON- TRAD ETF		None			Buy	10/01/12	K		
194. PROSHARES ULTRA S&P ETF 2X NON- TRAD ETF		None			Sold	11/01/12	K	A	
195.									
196.									
197.									
198.									
199.									
200.									
201.									
202.									
203.									
204.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.									
206.									
207.									
208.									
209.									
210.									
211.									
212.									
213.									
214.									
215.									
216.									
217.									
218.									
219.									
220.									
221.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Donohue, James P.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222.									
223.									
224.									
225.									
226.									
227.									
228.									
229.									
230.									
231.									
232.									
233.									
234.									
235.									
236.									
237.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 1: The remaining funds in the Wells Fargo Compass ETF program were transferred into two investment vehicles -- Virio and Good Harbor. I thought they were both ETF funds, but they are not. Unfortunately, each investment fund involved monthly trades of ETF funds. The investment trades from Virio are reflected in lines 38- 149. The investments from the Good Harbor investment vehicle are identified on lines 151-194. The purchases and sales are grouped together. If income was received, it is indicated only on the bottom line of the grouping, because it is not otherwise susceptible of determination.

I have also determined that this is not an appropriate investment tool in light of the FDR requirements, and have given instructions to sell, although this will still have implications for next year's FDR. I have tried to complete this to make it as understandable as possible for the reviewers.

For purposes of next year's report, the investment listed on Line 160 -- iShares S&P Midcap 400 Index Fund has changed its name to iShares Core S&P Mid-Cap ETF, as of October 17, 2012.

On October 15, 2013, the Committee asked 2 questions: (1) "Wells Fargo Bank Deposit Account" on line 22 was not listed in the prior 2011 Report; and (2) "Pershing Government Money Market Account", line 24 was not listed in the prior 2011 Report. As to the first issue, Wells Fargo Deposit Account is simply a money sweep account, which was actually listed in earlier reports under the name "Wachovia Bank Sweep" previously reported at line 19. Because these are the same accounts, and because "Wachovia Bank Sweep" now goes by the Wells Fargo Deposit Account, I deleted row 19, and the information in the account previously know as Wachovia Bank Sweep is now under the title Wells Fargo Bank Deposit Account in line 22. In other words, it was listed in the 2011 Report, but under a different title. Hopefully this clears up any confusion.

As to the Pershing Government Money Market account, it too is a sweep account, which was not established until 2012. This is why it was not previously reported in 2011. The amended report -- line 24 -- reflects the opening of this account.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ James P. Donohue**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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