

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Jordan, Robert L.	2. Court or Organization District Court - E.D. Tenn.	3. Date of Report 05/04/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 800 Market Street Suite 141 Knoxville, TN 37902		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2015	Tennessee Consolidated Retirement System Judicial Retirement	\$15,001.56
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AT&T Inc.	A	Dividend	J	T					
2. American Trust Bank of East TN	A	Dividend	J	T					
3. BB&T	C	Dividend	M	T					
4. BP PLC	A	Dividend	J	T					
5. Comcast	A	Dividend	K	T					
6. Dow Chem.	A	Dividend	K	T					
7. EMC	A	Dividend	J	T					
8. First Horizon	A	Dividend	K	T					
9. General Electric	B	Dividend	K	T					
10. IBM	A	Dividend	K	T					
11. JDS Uniphase Corp (Y)									
12. Lumentum Holdings, Inc.		None	K	T	Spinoff (from line 11)	08/04/15	K		
13. Viavi Solutions, Inc.		None	K	T	Spinoff (from line 11)	08/04/15	K		
14. John Hancock Small Cap (fka John Hancock)		None	K	T					
15. Johnson & Johnson	A	Dividend	J	T					
16. Alcatel-Lucent		None	J	T					
17. Medtronic PLC (fka Medtronic)	A	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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18. NASDAQ - 100		None	J	T					
19. Pfizer	A	Dividend	K	T					
20. Phoenix Cos.		None			Sold	11/20/15	J		
21. Putnam Global Growth		None	K	T					
22. Guggenheim StylePlus Large Core - A	B	Dividend	J	T					
23. Sun Trust Bank	E	Dividend	O	T					
24. Twin Cities Financial, Inc.	A	Dividend	K	T					
25. Tyco Intl.	A	Dividend	J	T					
26. Cohen & Steers Select Infrastructure	B	Dividend	K	T					
27. Vanguard Growth Index Fund	B	Dividend	M	T					
28. Vanguard 500 Index Fund	B	Dividend	L	T					
29. Vanguard Mid Cap Index Fund	B	Dividend	M	T					
30. Vanguard Small Cap Value Fund	B	Dividend	M	T					
31. Vanguard Health Care Fund	D	Dividend	M	T					
32. INTEREST, CDS, SAVINGS, ETC.									
33. Regions Bank	C	Interest	N	T					
34. Citizens Bank of Blount County	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. First Tennessee	C	Interest	N	T					
36. Home Federal	B	Interest	N	T					
37. SunTrust	B	Interest	N	T					
38. American Trust Bank	A	Interest	M	T					
39. Capital Mark Bank	B	Interest	M	T					
40. Clayton B&T	B	Interest	M	T					
41.									
42. Vanguard Int'l Value Fund	B	Dividend	L	T					
43. Vanguard Prime Cap Core Fund	C	Dividend	L	T					
44. Atmos Energy	B	Dividend	K	T					
45.									
46. Merrill Lynch Custodian IRA									
47. - Regions Bank	A	Dividend	J	T					
48. - General Electric	B	Dividend	K	T					
49. - Johnson & Johnson	A	Dividend	K	T					
50. - Merrill Lynch Ret. Reserves	A	Interest	J	T					
51. - PerkinElmer	A	Dividend	J	T					

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52. - Sun Trust Bank	A	Dividend	J	T					
53. - Tanger Factory Outlet	A	Dividend	K	T					
54. - Capital Bank	A	Dividend	J	T	Buy (add'l)	05/26/15	J		
55. - Microsoft Corp.	A	Dividend	K	T					
56. - Ingersoll-Rand	A	Dividend	J	T					
57. - Medtronic PLC (fka Medtronic)	A	Dividend	J	T					
58. - Allegion	A	Dividend			Sold	11/23/15	J	A	Transfer from IRA to self.
59.									
60. DEFERRED COMPENSATION - STATE OF TENNESSEE									
61. - Plan I - 457									
62. Fidelity Puritan Fund	B	Distribution	K	T					
63. Fidelity International Discovery	B	Distribution	K	T					
64. Fidelity OTC Portfolio	B	Distribution	L	T					
65. Fidelity Contrafund	B	Distribution	L	T					
66. Nationwide Bank Account	B	Distribution	K	T					
67. - Plan II - 401K									
68. Nationwide Bank Account	A	Distribution	J	T					

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69. Voya VP Growth and Income	D	Distribution	M	T					
70. Vanguard Institutional Index Fund	B	Distribution	K	T					
71.									
72. Ingersoll-Rand	A	Dividend	J	T					
73. Verizon Communications	A	Dividend	J	T					
74. TN Valley Fin. Hldr. Inc.		None	J	T					
75. Caterpillar Inc.	A	Dividend	K	T					
76. TE Connectivity	A	Dividend	J	T					
77. Covidien (Y) (merged with line 17)									
78. Vanguard REIT	B	Dividend	K	T					
79. DEERE CO.	A	Dividend	K	T					
80. Foothills B&T	B	Interest	N	T					
81. Alcoa FCU	A	Interest	J	T					
82. Home Depot	A	Dividend	K	T					
83. Cisco Sys	A	Dividend	J	T					
84. Proctor & Gamble	A	Dividend	K	T					
85. TN Engy. Bond	A	Interest	K	T					

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86. Monsanto	A	Dividend	K	T					
87. Exxon-Mobil	A	Dividend	K	T					
88. Genl-Dyn	A	Dividend	J	T					
89. Merck	A	Dividend	J	T					
90. Kroger	A	Dividend	K	T					
91. Virtus Inv. Ptrs.	A	Dividend	J	T					
92. Frontier Comm. Corp.	A	Dividend	J	T					
93. Johnson City TN Health & EDL	C	Interest	L	T					
94. Bristol-Myers Squibb	A	Dividend	J	T					
95. Johnson Controls	A	Dividend	J	T					
96. Boeing Co.	A	Dividend	J	T					
97. TN ENG ACQ CRP	C	Interest	L	T					
98. Texas Inst.	A	Dividend	J	T					
99. Pentair Ltd.	A	Dividend	J	T					
100. Renasant Bank	B	Interest	N	T					
101. Chesapeake Energy	A	Dividend			Sold	11/20/15	J		
102. The Mosaic Co.	A	Dividend	J	T					

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103. AIG	A	Dividend	J	T					
104. Joy Global	A	Dividend	J	T					
105. ADT	A	Dividend	J	T					
106. Stewart County, Tennessee, Bonds	B	Interest	K	T					
107. Mallinckrodt PLC		None	J	T					
108. Allegion	A	Dividend	J	T	Buy (add'l)	11/23/15	J		Transfer from line 56
109. Rayonier, Inc.	A	Dividend			Sold	11/20/15	J		
110. Rayonier Advanced Mat., Inc.	A	Dividend			Sold	11/20/15	J		
111. Plum Creek Timber Co.	A	Dividend	J	T					
112. Walgreen Co.	A	Dividend	J	T					
113. Seventy-Seven Energy, Inc.		None	J	T					
114. Merrill Lynch CMA Money Fund	A	Interest	M	T					
115. Ford Motor Co.	A	Dividend	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Robert L. Jordan**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544