

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Randolph, Arthur R.	2. Court or Organization U.S. Court of Appeals/D.C. Cir	3. Date of Report 09/11/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Judge - senior status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address U.S. Court of Appeals/D.C. Cir 333 Constitution Avenue, N.W. Washington, D.C. 20001-2866		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2014	Pillsbury, Winthrop, Shaw, Pittman
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. The Federalist Society	3/7/14 - 3/11/14	Gainesville, FL	Student Symposium at the Univ. of FL Levin College of Law	transportation, lodging, meals
2. American Bar Association	4/25/14 - 4/26/14	Atlanta, GA	Spring Conference	transportation, lodging, meals
3. The Federalist Society	6/1/14 - 6/7/14	Vienna, Austria	Vienna Judicial Conference	transportation, lodging, meals
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Money Market at Smith Barney	A	Interest	K	T					
2. 401(K) - World Bond Fund - American Funds	A	Dividend	K	T					
3. 401(K) - Pimco High Income	A	Dividend	J	T					
4. 401(K) - Dur. Inc. Fund - Eaton Vance	B	Dividend	K	T					
5. 401(K) - Pimco Real Ret.	A	Dividend	K	T					
6. Pillsbury Retirement Savings Plan - Fidelity PYR INX LFC 2015U	A	Dividend	N	T					
7. ML & Co. Str. ACC - Am Cap. Growth	A	Dividend	K	T					
8. Blackrock Gov't & Cap.	A	Dividend	J	T					
9. Citibank - Savings	C	Interest	M	T					
10. 401(K) - U.S. Gov't - American	A	Dividend	J	T					
11. 401(K) - Eaton Vance - Floating	A	Dividend	J	T					
12. 401(K) Fidelity - Floating	A	Dividend	K	T					
13. 401(K) - Gov't Goldman Sachs	A	Dividend	J	T					
14. 401(K) - Adv. Fidelity Int	A	Dividend	J	T					
15. Mutual Fund - NATX- IRA - Gateway Fund C	A	Dividend	L	T					
16. Mutual Fund - First Eagle - IRA - Global	A	Dividend	L	T	Buy (add'l)	09/22/14	J		
17. Mutual Fund - Ivy Asset - IRA	A	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Mutual Fund - Am Century Bond - IRA	A	Dividend	K	T					
19. Mutual Fund - JP Tax Free	A	Dividend	L	T					
20. Mutual Fund - Franklin TOT. - A	A	Dividend	K	T					
21. Mutual Fund - Putnam - Absolute Ret. 300	A	Dividend	K	T					
22. Mutual Fund - Sun Am Senior	A	Dividend	K	T					
23. Mutual Fund - Temp. Global	A	Dividend	K	T					
24. 401(K) Mutual Fund - ClearBridge	A	Dividend	L	T					
25. 401(K) - Tortoise MLP	A	Dividend	K	T					
26. 401(K) - Bank of Am.	A	Dividend	J	T					
27. 401(K) - Goldman St. Inc.	A	Dividend	K	T					
28. M- Dreyfus Bond Fund	A	Dividend	J	T					
29. M - I Shares Silver	A	Dividend	J	T					
30. 401(K) - John Hancock Rainer	A	Dividend	K	T					
31. 401(K) - Blackrock Cap.	A	Dividend	K	T					
32. 401(K) - Gabelli Gold Garco	A	Dividend	J	T					
33. 401(K) - Am Global Bal.	A	Dividend	K	T					
34. 401(K) - Goldman Equity	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. ☐ - JP Morgan Int. Tax	A	Dividend	K	T					
36. ☐ - SPDR Gold	A	Dividend	J	T					
37. ☐ - 1st Eagle Global	A	Dividend	K	T					
38. ☐ - Am Infl. Bond	A	Dividend	K	T					
39. Hartford HBLCX - IRA	A	Dividend	K	T					
40. Ivy High Inc. - IRA	A	Dividend	K	T					
41. Jt. IShares Mun.	A	Dividend	K	T					
42. 401(K) - Am. High Inc.	A	Dividend	K	T					
43. 401(K) - Gold Am. Eagle		None	K	T					
44. 401(K) - Silver Am. Eagle		None	J	T					
45. SunAmerica - SR Floating Rate	A	Dividend	K	T					
46. Transamerica - Flexible Income	B	Dividend	K	T					
47. Wells Fargo Adv - Absolute Ret.	A	Dividend	L	T					
48. Wells Fargo Prem - Lg Co GW	A	Dividend	L	T					
49. Pimco Commodity	A	Dividend	J	T					
50. Am. Century Value	A	Dividend	K	T					
51. Blackrock Global Sm. C.	A	Dividend	K	T					

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52. Del. Small Cap.	A	Dividend	K	T					
53. Ivy Asset Str.	A	Dividend	K	T	Buy (add'l)	09/22/14	J		
54. █ I Shares High Div.	A	Dividend	K	T					
55. █ Vanguard Div. App.	A	Dividend	K	T					
56. █ - Goldman Inc.	A	Dividend	K	T	Buy	09/22/14	K		
57. █ - Ivy Sc. & Tech.	A	Dividend	K	T	Buy	09/22/14	K		
58. █ - Blackrock Gl. Smcap.	A	Dividend	K	T	Buy	09/22/14	K		
59. █ - Blackrock Multi-A	A	Dividend	K	T	Buy	09/22/14	K		
60. █ - Del. Div.	A	Dividend	K	T	Buy	09/22/14	K		
61. █ - Lord A. Multi-A	A	Dividend	K	T	Buy	09/22/14	K		
62. █ - JP Morgan Strat. Inc.	A	Dividend	K	T	Buy	09/22/14	K		
63. █ - Putnam Div.	A	Dividend	K	T	Buy	09/22/14	K		
64. █ - SunAm. Div. Str.	A	Dividend	K	T	Buy	09/22/14	K		
65.									
66.									
67.									
68.									

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69.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Inadvertently listed Vanguard Div. App. twice on my 2013 report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Arthur R. Randolph**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544