

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Vasquez, Juan F.	2. Court or Organization United States Tax Court	3. Date of Report 04/26/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address United States Tax Court 400 2nd St. N.W. Washington, DC 20217		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Partner-JAME-A Joint Venture	One third owner of condo, Breckenridge, CO.
2. Board of Directors	Houston Business and Tax Law, University of Houston Law Center, Houston, Texas
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2015	University of Houston Law Center, Adjunct Professor, Employee	\$3,200.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	Self-employed (writer)
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. American Bar Association	1/29-1/31/2015	Houston, Texas	Panelist	Reimbursed for transportation, meals and lodging.
2. University of Florida	3/25-3/28/2015	Gainesville, Florida	Speaker	Reimbursed for transportation, meals and lodging.
3. American Bar Association	7/29-8/2/2015	Chicago, Illinois	Panelist	Reimbursed for transportation, meals and lodging.
4. National Association of Enrolled Agents	8/2-8/4/2015	Las Vegas, Nevada	Mock Trial/Speaker	Reimbursed for transportation, meals and lodging.
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Condo-1/3 owner-Breckenridge CO.	E	Rent	M	R					
2. Morgan Stanley (formerly Dean Witter)- IRA	D	Dividend	K	T					
3. -Morgan Stanley U.S. Government Sec Tr D (USGDX)					Sold (part)	01/14/15	J	A	
4. -Invesco Comstock Y (ACSDX) (formerly Van Kampen Comstock A)					Sold (part)	01/14/15	J	B	
5. -MSIF Capital Growth Inst. (MSEQX)					Sold (part)	01/14/15	J	A	
6. -MSIF Tr Cap Growth Inst. (MPEGX)					Sold (part)	01/14/15	J	A	
7. -MSIF Active Intl Allocatin A (MSACX)					Sold (part)	01/14/15	J	A	
8. -Blackrock Low Dur Bd Inv Svc (BFMSX) (formerly CMGBX)					Sold (part)	01/14/15	J	A	
9. -E V Income Fund of Boston A (EVIBX)					Sold (part)	01/14/15	J	A	
10. -Western Asset Core Plus Bd Fi (WACPX) (formerly WACIX)					Sold (part)	01/14/15	J	A	
11. -Invesco VK Small Cap Value Y (VSMIX)					Sold (part)	01/14/15	J	A	
12. -Invesco Premier PTF Instl (IPPXX)					Sold (part)	01/14/15	J	A	
13. Stanley Morgan (Dean Witter Trust Co)- IRA	A	Dividend	J	T					
14. -Morgan Stanley Technology Fund A (IFOAX)									
15. -Morgan Stanley Focus Growth Fund A (MSEGX) (formerly AMOAX)									
16. -Invesco Diversified Dividend A (LCEAX)									
17. Prudential Whole Life	A	Dividend	J	V					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. Prudential Whole Life	A	Dividend	J	V					
19. Insurance Trust-Prudential Variable Life	A	Dividend	K	V					
20. -Prudential Stock (common)									
21. -Prudential Money Market									
22. -AST Loomis Sayless LC Gro (formerly AST Marsico Capital Grth)									
23. -AST Large-Cap Value									
24. -SP US Emerging Growth									
25. Morgan Stanley MS Bank NA (formerly Liquid Asset Fund)	A	Interest	J	T					
26. Prudential Stock (common)	A	Dividend	K	T					
27. Prudential Stock (common)	A	Dividend	K	T					
28. Prudential Variable Life	A	Dividend	K	V					
29. -Prudential Money Market									
30. -AST Loomis Sayless LC Gro (formerly AST Marsico Capital Grth)									
31. -SP US Emerging Growth									
32. -AST Large-Cap Value									
33. Prudential Whole Life	A	Dividend	J	V					
34. Morgan Stanley 529 College Savings #1, Age Based-aggressive Risk	A	Dividend	J	T					

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35.	MorganStanley529CollegeSavings#2, AgeBased-Moderate Risk	A	Dividend	J	T				
36.	MorganStanley529CollegeSavings#3, Age Based-Moderate Risk	A	Dividend	J	T				

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII. INVESTMENT & TRUSTS:

1. (M) (R) Method Cost: Date of purchase: 9/84, Amount: \$63,333-1/3 owner.

17. (V) Other: Cash Surrender Value.

18. (V) Other: Cash Surrender Value.

19. (T) Cash Market and (V) Other: Cash Surrender Value.

28. (V) Other: Cash Surrender Value.

33. (V) Other: Cash Surrender Value.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Juan F. Vasquez**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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