

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) ANDERSON, III, ROBERT L.	2. Court or Organization 11TH CIR. COURT OF APPEALS	3. Date of Report 06/28/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. CIRCUIT JUDGE (senior)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 56 Forsyth Street, N.W. Atlanta, GA 30303		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	FELLOW	AMERICAN BAR FOUNDATION
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 11/7/07	R. Lanier Anderson, III/Chas. Schwab & Co. Cust/ IRA rollover
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. SunTrust Bank - checking account	A	Interest	J	T					
2. Euro Pacific Growth Fund (IRA)	A	Dividend	K	T					
3. Euro Pacific Growth Fund (IRA)	A	Dividend	K	T					
4. Sturges Mfg., Utica, NY (common)	A	Dividend	K	W					
5. Exxon (common)	A	Dividend	K	T					
6. SunTrust Bank (common)	A	Dividend	K	T					
7. TIAA-CREF	C	Dividend	L	T					
8. House and lot, Bibb County, GA	A	Rent	M	W					
9. SunTrust Bank (common)	A	Dividend	J	T					
10. I shares Russell 1000 Index	A	Dividend	J	T					
11. I shares Russell 2000 Value	A	Dividend	K	T					
12. I shares Russell 2000 Index	A	Dividend	K	T					
13. I shares Russell 1000 Index	A	Dividend	J	T					
14. I shares Russell 2000 Value	A	Dividend	J	T					
15. I shares Russell 2000 Index	A	Dividend	J	T					
16. I shares Russell 1000 Index (IRA)	A	Dividend	J	T					
17. I shares Russell 2000 Value (IRA)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. I shares Russell 2000 Index (IRA)	A	Dividend	J	T					
19. I shares Russell 1000 Index (IRA)	A	Dividend	J	T					
20. I shares Russell 2000 Value (IRA)	A	Dividend	K	T					
21. I shares Russell 2000 Index (IRA)	A	Dividend	J	T					
22. Genworth Life Ins. Co (whole life)	D	Dividend	M	T					
23. SunTrust Bank - money market	A	Interest	L	T					
24. H (RLA/CHARLES SCHWAB CUST IRA ROLLOVER)									See Part VIII
25. Schwab US Treas. Money Fund	A	Interest	J	T					
26. Vanguard Bond Index Fund (BSV)	A	Dividend			Sold	03/06/12	K	A	
27. SPDR Gold Tr. (GLD)	A	Dividend	J	T					
28. FPA New Income CLA	A	Dividend	J	T	Sold (part)	06/04/12	L	A	
29. FPA New Income CLA	A	Dividend			Sold (part)	07/03/12	J	A	
30. Schwab GNMA Fund (SWGSX)	A	Dividend	K	T	Sold (part)	02/06/12	K	A	
31. Schwab GNMA Fund (SWGSX)	A	Dividend			Sold (part)	03/02/12	K	A	
32. Schwab GNMA Fund (SWGSX)	A	Dividend			Buy (add'l)	06/04/12	K		
33. Doubleline Tot. Return Bd.	A	Dividend	K	T	Buy	06/04/12	K		
34. Doubleline Tot Return Bd	A	Dividend	K	T	Buy (add'l)	07/13/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Investco Balanced Risk Fund	A	Dividend	K	T	Buy	02/06/12	K		
36. Investco Balanced Risk Fund	A	Dividend			Buy (add'l)	03/12/12	L		
37. Permanent Portfolio	A	Dividend	K	T	Buy	03/12/12	K		
38. PIMCO Exch Traded Fund	A	Dividend	K	T	Buy	07/17/12	K		
39. iShares DJ Select Div. Fund	A	Dividend			Buy	02/08/12	J		
40. iShares DJ Select Div. Fund	A	Dividend			Sold	05/18/12	J		
41. H (RLA SCHWAB INDIVIDUAL ACCOUNT)									See Part VIII
42. Schwab US Treas Money Fund	A	Interest	J	T					
43. iShares DJ Select Div. Fund (DVY)	A	Dividend			Sold	05/18/12	K	A	
44. Vanguard Div. App. (VIG)	A	Dividend			Sold	05/18/12	K	A	
45. Schwab GNMA Fund (SWG SX)	A	Dividend			Sold	03/02/12	J	A	
46. Vanguard Bond Index Fund Sht. Term Bond ETF (BSV)	A	Dividend	J	T	Sold (part)	03/06/12	J		
47. Vanguard Bond Index Fund Sht. Term Bond ETF (BSV)	A	Dividend			Buy (add'l)	06/06/12	K		
48. Vanguard Bond Index Fund Sht. Term Bond ETF (BSV)	A	Dividend			Sold (part)	09/28/12	K	A	
49. PIMCO All Asset Fund	B	Dividend	L	T	Buy	03/12/12	L		
50. PIMCO Exch Traded Fund	A	Dividend	J	T	Buy	09/28/12	J		
51. Doubleline Total Return Fund	A	Dividend	K	T	Buy	10/01/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Permanent Portfolio Fund	A	Dividend	K	T	Buy	03/12/12	J		
53. H (ADDITIONAL STERNE AGEE ASSETS)									See Part VIII
54. Vanguard Appreciation	B	Dividend	L	T					
55. PIMCO Funds Unconstrained Bond Fund Class A	B	Dividend	K	T					
56. Suntrust Banks, Inc. Pfd Series 5.875% [REDACTED]	A	Dividend	J	T	Buy	12/13/12	J		
57. Suntrust Banks, Inc. Pfd Series 5.875% [REDACTED]	A	Dividend	J	T	Buy	12/13/12	J		
58.									
59.									
60.									
61.									
62.									
63.									
64.									
65.									
66.									
67.									
68.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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69.									
70.									
71.									
72.									
73.									
74.									
75.									
76.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part III A and B: I have not reported for myself [REDACTED] this year or in the past several years, the mandatory distributions after age 70 1/2 from my RLA/ Charles Schwab Cust. Rollover or from the other IRA of mine [REDACTED]. This is because Instructions at page 19 provides: "No income should be disclosed in this part [Part III] if it is derived from an investment asset that should be reported in Part VII.

Part VII, Line 24, is simply a heading to disclose that the following lines (lines 25 through 40) describe holdings or transactions in RLA Schwab IRA Rollover during 2011.

Part VII, line 41 is simply a heading to disclose that the following lines (lines 42 through 52) describe holdings or transactions in the RLA Schwab individual account during 2011

Part VII line 53, is simply a heading to disclose that lines 54 through 57 describe holdings that were either already in or added to RLA and [REDACTED] Sterne-Agee brokerage accounts. Other holdings in those accounts were reported on early lines of Part VII. The item in line 54 (Vanguard Appreciation) had appeared in line 84 of the 2011 Report, but was moved so as not to get confused with assets in the RLA Schwab Individual Account. And the item on line 55 (PIMCO Funds Unconstrained Bond Fund Class A) had appeared on line 85 of the 2011 Report, but was moved so as not to get confused with assets in the RLA Schwab Individual Account.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **ROBERT L. ANDERSON, III**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544