

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Randolph, Arthur R.	2. Court or Organization U.S. Court of Appeals/D.C. Cir	3. Date of Report 12/4/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Judge - senior status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address U.S. Court of Appeals/D.C. Cir 333 Constitution Avenue, N.W. Washington, D.C. 20001-2866		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2013	Pillsbury, Winthrop, Shaw, Pittman
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Florida International University Foundation, Inc.	2/8/13 - 2/10/13	Miami, FL	Moot Court Competition	transportation, lodging, meals
2. Stanford University	3/7/13 - 3/8/13	Stanford, CA	Conference	transportation, lodging, meals
3. Ave Maria School of Law	4/17/13 - 4/19/13	Naples, FL	Federalist Society event	transportation, lodging, meals
4. Foundation for Research on Economics & The Environment	7/15/13 - 7/19/13	Three Forks, MT	Conference	partial transportation, lodging, meals
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Money Market at Smith Barney	A	Interest	K	T					
2. 401(K) - World Bond Fund - American Funds	A	Dividend	K	T					
3. 401(K) - Pimco High Income	A	Dividend	J	T					
4. 401(K) - Dur. Inc. Fund - Eaton Vance	B	Dividend	K	T					
5. 401(K) - Pimco Real Ret.	A	Dividend	K	T					
6. Pillsbury Retirement Savings Plan - Fidelity PYR INX LFC 2015U	A	Dividend	N	T					
7. ML & Co. Str. ACC - Am Cap. Growth	A	Dividend	K	T					
8. Blackrock Gov't & Cap.	A	Dividend	J	T					
9. Citibank - Savings	C	Interest	N	T					
10. 401(K) - U.S. Gov't - American	A	Dividend	J	T					
11. 401(K) - Eaton Vance - Floating	A	Dividend	J	T					
12. 401(K) Fidelity - Floating	A	Dividend	J	T					
13. 401(K) - Gov't Goldman Sachs	A	Dividend	J	T					
14. 401(K) - Adv. Fidelity Int	A	Dividend	J	T					
15. Mutual Fund - NATX - IRA - Gateway Fund C	A	Dividend	L	T					
16. Mutual Fund - First Eagle - IRA - Global	A	Dividend	L	T					
17. Mutual Fund - Calamos Conv - IRA	A	Dividend			Sold	05/01/13	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. Mutual Fund - Ivy Asset - IRA	A	Dividend	M	T					
19. Mutual Fund - Am Century Bond - IRA	A	Dividend	K	T					
20. Mutual Fund - JP Tax Free - JT	A	Dividend	L	T					
21. Mutual Fund - Franklin TOT. - A	A	Dividend			Sold	03/11/13	K	A	
22. Mutual Fund - Putnam - Absolute Ret. 300	A	Dividend	K	T					
23. Mutual Fund - Sun Am Senior	A	Dividend	K	T					
24. Mutual Fund - Eaton Emerge	A	Dividend			Sold	09/18/13	K	A	
25. Mutual Fund - Temp. Global	A	Dividend	K	T					
26. Mutual Fund - Eaton Tax Adv	A	Dividend			Sold	05/01/13	J	A	
27. 401(K) Mutual Fund - ClearBridge	A	Dividend	L	T					
28. 401(K) - Tortoise MLP	A	Dividend	K	T					
29. 401(K) - Bank of Am.	A	Dividend	J	T					
30. 401(K) - Pimco Bond C.	A	Dividend			Sold	05/01/13	K	B	
31. 401(K) - Dreyfus Int'l Bond	A	Dividend			Sold	05/01/13	L	B	
32. 401(K) - Goldman St. Inc.	A	Dividend	K	T					
33. M- Dreyfus Bond Fund	A	Dividend	J	T	Sold (part)	11/27/13	J	A	
34. M - I Shares Silver	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. 401(K) - John Hancock Rainer	A	Dividend	K	T					
36. 401(K) - Blackrock Cap.	A	Dividend	K	T					
37. 401(K) - Gabelli Gold Gamco	A	Dividend	J	T					
38. 401(K) - Am Global Bal.	A	Dividend	K	T					
39. 401(K) - Goldman Equity	A	Dividend	J	T					
40. Jt - JP Morgan Int. Tax	A	Dividend	K	T					
41. Jt - SPDR Gold	A	Dividend	J	T					
42. Jt - Barclays PLC	A	Dividend			Sold	05/06/13	K	A	
43. Jt - Eaton Str. Inc.	A	Dividend			Sold	09/23/13	K	A	
44. Jt - 1st Eagle Global	A	Dividend	K	T					
45. Jt - Pimco Uncon. Bond	A	Dividend			Sold	05/01/13	K	B	
46. Jt - Am Infl. Bond	A	Dividend	K	T					
47. Hartford HBLCX - IRA	A	Dividend	K	T					
48. Ivy High Inc. - IRA	A	Dividend	K	T					
49. Jt. IShares Mun.	A	Dividend	K	T					
50. 401(K) - Am. High Inc.	A	Dividend	K	T					
51. 401(K) - Gold Am. Eagle		None	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. 401(K) - Silver Am. Eagle		None	J	T					
53. SunAmerica - SR Floating Rate	A	Dividend	K	T	Buy	05/01/13	K		
54. Transamerica - Flexible Income	B	Dividend	K	T	Buy	05/01/13	K		
55. Wells Fargo Adv - Absolute Ret.	A	Dividend	L	T	Buy	05/01/13	L		
56. Wells Fargo Prem - Lg Co GW	A	Dividend	K	T	Buy	05/01/13	K		
57. Pimco Commodity	A	Dividend	J	T	Buy	10/13/13	J		
58. Am. Century Value	A	Dividend	K	T	Buy	05/01/13	K		
59. Blackrock Global Sm. C.	A	Dividend	K	T	Buy	09/18/13	K		
60. Del. Small Cap.	A	Dividend	K	T	Buy	09/18/13	K		
61. Ivy Asset Str.	A	Dividend	K	T	Buy	05/01/13	K		
62. Vanguard Div. App.	A	Dividend	K	T	Buy	05/01/13	K		
63. Jt - I Shares High Div.	A	Dividend	K	T	Buy	05/01/13	K		
64. Jt - Vanguard Div. App.	A	Dividend	K	T	Buy	05/01/13	K		
65.									
66.									
67.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Arthur R. Randolph**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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Washington, D.C. 20544