

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Murphy, Diana E.	2. Court or Organization U.S.Court of Appeals (8th Cir)	3. Date of Report 04/24/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address U.S. Courthouse 300 S. Fourth Street, 11E Minneapolis, MN 55415		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Life Trustee	University of Minnesota Foundation
2.	Trustee	University of St. Thomas
3.	Director	Hill Museum and Manuscript Library
4.	Director	Spencer Williams Foundation
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 12

Name of Person Reporting

Murphy, Diana E.

Date of Report

04/24/2015

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 12

Name of Person Reporting

Murphy, Diana E.

Date of Report

04/24/2015

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 12

Name of Person Reporting

Murphy, Diana E.

Date of Report

04/24/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. JOSEPH MURPHY BONDS (H)									
2. AK ST 3.0%	C	Interest			Redeemed	08/01/14	L	A	
3. ALEXANDRIA LAKE AREA SAN	C	Interest	L	T					
4. ALEXANDRIA MN INDPT SCH	C	Interest	L	T					
5. APPLE VLY MN SER D G/O	C	Interest	L	T					
6. ANOKA MN PUB FACS SER A	C	Interest	L	T					
7. BLOOMINGTON MN INDPT SCH	C	Interest	L	T					
8. BLOOMINGTON MN 4.2%	B	Interest			Redeemed	02/03/14	L	A	
9. BROOKLYN PARK MN	C	Interest	L	T					
10. BROOKLYN CENTER MN INDPT	C	Interest	L	T					
11. CHAMPLIN MN CROSSOVER	C	Interest	L	T					
12. DAYTON MN 4%	B	Interest			Redeemed	02/03/14	L	A	
13. EDEN PRAIRIE MN 4.5%	C	Interest	L	T					
14. EDINA MN UTIL SER B	C	Interest	L	T					
15. ELK RIVER MN 5%	C	Interest			Redeemed	08/01/14	L	A	
16. WASHINGTON CNTY MN SER A	C	Interest	L	T					
17. US TREASURY [REDACTED]		None	P1	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 12

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. US TREASURY, [REDACTED]		None	P2	T					
19. US TREASURY, [REDACTED]		None	P1	T					
20. US TREASURY, [REDACTED]		None	P1	T	Buy	08/12/14	P1		
21. US TREASURY, [REDACTED]		None			Redeemed	07/24/14	N	A	
22. US TREASURY, [REDACTED]		None			Sold (part)	01/16/14	K	A	
23. US TREASURY, [REDACTED]		None			Redeemed	06/26/14	O	A	
24. FRIDLEY MN INDPT SCH DST	C	Interest	L	T					
25. GOLDEN VALLEY MN IMPT SER, [REDACTED]	C	Interest	L	T					
26. GOLDEN VALLEY MN IMPT SER C, [REDACTED]	C	Interest	L	T					
27. GOLDEN VALLEY MN IMPT SER C, [REDACTED]	C	Interest	L	T					
28. HENNEPIN CNTY MN REGL RR, [REDACTED]	D	Interest	M	T					
29. HENNEPIN CNTY MN SER B, [REDACTED]	C	Interest	L	T					
30. HENNEPIN CNTY MN SER A, [REDACTED]	C	Interest	L	T					
31. HOPKINS MN INDPT SCH, [REDACTED]	C	Interest	M	T					
32. HOPKINS MN SER A G/O, [REDACTED]	C	Interest	L	T					
33. MINNETONKA MN 5%, [REDACTED]	B	Interest			Redeemed	02/03/14	L	A	
34. MINNESOTA ST UNREFUNDED, [REDACTED]	D	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 12

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Murphy, Diana E.

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. MINNESOTA ST PREREFUNDED [REDACTED]	A	Interest	J	T					
36. MISSOURI ST HWYS, [REDACTED]	C	Interest	L	T					
37. MOUNDS VIEW MN ISD [REDACTED]	C	Interest	L	T					
38. MOUND MN UTIL SER B G/O, [REDACTED]	C	Interest	L	T					
39. MOUND MN RFDG TAX, [REDACTED]	C	Interest	L	T					
40. NORTH ST PAUL MN 4%, [REDACTED]	C	Interest	L	T					
41. NORTH ST PAUL MAPLEWOOD, [REDACTED]	C	Interest	L	T					
42. MINNEAPOLIS MN RFDG LIBR, [REDACTED]	B	Interest	L	T					
43. MINNESOTA ST UNREFUNDED, [REDACTED]	B	Interest	K	T					
44. MINNESOTA ST PREREFUNDED, [REDACTED]	C	Interest	L	T					
45. MOUNDS VIEW MN 4%, [REDACTED]	B	Interest			Redeemed	02/03/14	L		
46. NORTHFIELD MN 3.75%, [REDACTED]	B	Interest			Redeemed	02/03/14	L		
47. OMAHA NE VAR PURP SER A, [REDACTED]	D	Interest	M	T					
48. ORONO MN RFDG SER A G/O, [REDACTED]	C	Interest	L	T					
49. OLMSTED CNTY MN RESOURCE, [REDACTED]	C	Interest	L	T					
50. OLMSTED CNTY MN CAP IMPT, [REDACTED]	C	Interest	L	T					
51. OMAHA NE RFDG SER B G/O, [REDACTED] - [REDACTED]	C	Interest	L	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 7 of 12

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52. OLMSTED CNTY MN CAP IMPT, [REDACTED]	C	Interest	L	T					
53. SAUK CENTER MN INDPT SCH DI, [REDACTED]	C	Interest	L	T					
54. ST PAUL MN INDPT SCH, [REDACTED]	C	Interest	L	T					
55. ST PAUL MN INDPT SCH, [REDACTED]	C	Interest	L	T					
56. ST PAUL MN INDPT SCH, [REDACTED]	C	Interest	L	T					
57. STAPLES MOTLEY MN INDPT, [REDACTED]	C	Interest	L	T					
58. RAMSEY CNTY MN CAP IMPT, [REDACTED]	C	Interest	L	T					
59. RAMSEY CNTY MN CAP IMPT, [REDACTED]	B	Interest	L	T					
60. RICHFIELD MN 4.125%, [REDACTED]	B	Interest			Redeemed	02/03/14	L		
61. SOUTH WA WATERSHED DIST, [REDACTED]	C	Interest	L	T					
62. ROCHESTER MN WSTWTRA-SRA, [REDACTED]	C	Interest	L	T					
63. ROSEMOUNT MN 4.0%, [REDACTED]	C	Interest			Redeemed	08/01/14	L		
64. SHAKOPEE MN CAP IMPT, [REDACTED]	C	Interest	L	T					
65. ST CLOUD MN PARKING PROJ, [REDACTED]	C	Interest	L	T					
66. ST MICHAEL MN INDPT SCH, [REDACTED]	C	Interest	L	T					
67. ST PAUL MN CAP IMPT STR, [REDACTED]	C	Interest	L	T					
68. SPRING LK PARK MN INDPT, [REDACTED]	C	Interest	L	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 12

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Murphy, Diana E.

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69. TEXAS ST UNREFUNDED BAL, [REDACTED]	D	Interest	M	T					
70. TEXAS ST PREREFUNDED, [REDACTED]	A	Interest	J	T					
71. WACONIA MNWTR SER B, [REDACTED]	C	Interest	L	T					
72. WASHINGTON CNTY MN SER A, [REDACTED]	C	Interest	L	T					
73. WASHINGTON 4.25%, [REDACTED]	C	Interest	L	T					
74. WAYZATA MN ISD# [REDACTED]	C	Interest	L	T					
75. WEST FARGO ND PUB SCH, [REDACTED]	D	Interest	M	T					
76. (H)									
77. DIANA MURPHY BONDS (H)									
78. ANOKA MN PUB FACS SER A, [REDACTED]	C	Interest	L	T					
79. BLOOMINGTON MN INDPT SCH, [REDACTED]	C	Interest	L	T					
80. CRYSTAL MN IMPT SER A, [REDACTED]	C	Interest	L	T					
81. US TREASURY, [REDACTED]		None	P1	T					
82. US TREASURY, [REDACTED]		None	P2	T					
83. US TREASURY, [REDACTED]		None	N	T	Buy	08/12/14	N		
84. US TREASURY, [REDACTED]		None			Redeemed	06/26/14	N		
85. EDEN PRAIRIE MN 4.5%	C	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 9 of 12

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86. GOLDEN VALLEY MN IMPT SER, [REDACTED]	C	Interest	L	T					
87. HENNEPIN CNTY MN REGL RR, [REDACTED]	D	Interest	M	T					
88. HENNEPIN MN 4.5%, [REDACTED]	C	Interest			Redeemed	12/01/14	L		
89. HOPKINS MN INDPT SCH, [REDACTED]	B	Interest	L	T					
90. MINNETONKA 4.1%, [REDACTED]	B	Interest			Redeemed	02/03/14	L		
91. MINNESOTA ST UNREFUNDED, [REDACTED]	D	Interest	M	T					
92. MINNESOTA ST PREREFUNDED [REDACTED]	A	Interest	J	T					
93. MINNESOTA ST SER 2009 D, [REDACTED]	C	Interest	L	T					
94. MOUNDS VIEW MN ISD # [REDACTED]	D	Interest	M	T					
95. MOUND MN RFDG TAX, [REDACTED]	C	Interest	L	T					
96. MINNEAPOLIS MN RFDG LIBR, [REDACTED]	B	Interest	L	T					
97. OMAHA NE VAR PURP SER A, [REDACTED]	D	Interest	M	T					
98. ORONO MN RFDG SER A G/O, [REDACTED]	C	Interest	L	T					
99. RICHFIELD MN 4.125%, [REDACTED]	B	Interest			Redeemed	02/03/14	L		
100. ST PAUL MN INDPT SCH, [REDACTED]	C	Interest	L	T					
101. SPRING LK PARK MN INDPT	C	Interest	L	T					
102. TEXAS ST UNREFUNDED BAL, [REDACTED]	D	Interest	M	T					

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FINANCIAL DISCLOSURE REPORT

Page 10 of 12

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103. TEXAS ST PREREFUNDED, [REDACTED]	A	Interest	J	T					
104. WEST FARGO ND PUB SCH, [REDACTED]	D	Interest	M	T					
105. Wells Fargo NTL Tax Free MM Fund, Mpls, MN	A	Interest	O	T					
106. Wells Fargo Ntl Tax Free MM Fund, Mpls, MN	A	Interest	N	T					
107. Checking Acct, Wells Fargo Bk, Mpls, MN	A	Interest	J	T					
108. Trust, Wells Fargo Bk (mun bds & cash eq)	G	Interest	P2	T					
109. IRA Robt W Baird & Co., Milw, WI	E	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 11 of 12

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Date of Report

04/24/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII.

██████████ is ill and unable to assist with my report this year I had my banker assist me in completing Part VII. The report should be clearer and more exact as a result. Because she could not match the bond description in my 2013 report or use the FDR software, she sent a draft on an Excel spreadsheet. Then on 4/22/15 on the advice of ██████████ the attorney advisor in your office, I deleted all lines from 2013 report and input info supplied by banker with detailed bond and treasury notes descriptions with CUSIP #. All the lines are reorganized to list ██████████ bonds and notes first followed by my bonds and notes, with lines 105 thru 109 described same as on 2013 report but at end of report instead of at beginning of report.

Using as the key my 2013 report Part VII, the following is an explanation for bonds my banker was not able to match with my 2014 holdings: "The bonds listed on lines 7, 63, and 69 were disposed of and inadvertently reported on my 2013 report. (She also reported that Line 57 - Bond - So. MN Municipal (Y): "This asset is no longer held and can be deleted from the 2014 report" but I had explained that already in my 2013 report Part VIII. Redemption in 2013 of the bonds listed below, were not so reported and include the value /gain codes L/A:

Line 12 Bond - Chaska, MN Redeemed 2/01/2013 L A

Line 13 bond - Lake Elmo, MN Redeemed 2/01/2013 L A

Line 16 Bond - St. Anthony, MN Redeemed 2/01/2013 L (leave blank)

Line 33 Bond- Bloomington, MN Redeemed 8/1/2013 L A

Line 39 Bond - Edina, MN Redeemed 02/01/2013 L A "

USING as key my 2014 report here. I have included in Part VII lines for bonds that were inadvertently omitted from the prior reports. They are at Lines 5, 15, 31, 34, 35, 38, 43, 50, 52, 61, 67, 69, 70, 74, 88, 92 and 103. The date these bonds were acquired follows by line #:

Line 5 -6/15/11

Line 15- 6/4/04

Line 31 -6/13/11

Line 34 - 10/3/11

Line 35 -10/3/11

Line 38 - 3/22/07

Line 43- 12/28/05

Line 50 - 6/9/11

Line 52 - 5/25/11

Line 61 - 6/13/11

Line 67 - 4/25/06

Line 69 - 10/3/11

Line 70 - 10/3/11

Line 74 -6/23/11

Line 88 - 8/28/06

Line 92 - 10/3/11

Line 103 - 10/3/11.

FINANCIAL DISCLOSURE REPORT

Page 12 of 12

Name of Person Reporting

Murphy, Diana E.

Date of Report

04/24/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Diana E. Murphy**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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