

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Dickson, Joseph A.	2. Court or Organization District Court - New Jersey	3. Date of Report 06/06/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge, Full Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2017 to 12/31/2017
7. Chambers or Office Address Martin Luther King, Jr. Courthouse & Federal Bldg. 50 Walnut Street P.O. Box 999 Newark, New Jersey 07102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2017

(Salary)

2.

3.

4.

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Voya Financial PHR026 401K									
2. -Bonds Alliance Bern f/k/a ING Opp Glb Strat Inc.	B	Int./Div.			Sold	08/18/17	K	A	
3. -Fidelity VIP Contrafund Port - Init	C	Int./Div.			Sold	08/18/17	K	A	
4. -Templeton Foreign Fund - A	B	Int./Div.			Sold	08/18/17	K	A	
5. -Ariel Fund Investor	C	Int./Div.			Sold	08/18/17	K	A	
6. -VY JP Morgan Sm Cp Eq Prt	B	Int./Div.			Sold	08/18/17	K	A	
7. Bank of America Accounts (cash)	A	Interest	L	T					
8. Morgan Stanley Smith Barney (IRA)									
9. -Morgan Stanley Bank N.A. cash	A	Int./Div.	J	T					
10. -SA Sponsor AGR (stock)	A	Int./Div.			Sold	09/18/17	J	B	
11. -Unit First Trust Uncommon Values	A	Int./Div.			Sold	09/18/17	J	A	
12. -Exxon Mobile Corp. (stock)	A	Int./Div.			Sold	09/18/17	J	A	
13. -Allianceber Intl Value C (ABICX) mutual fund	A	Int./Div.			Sold	09/18/17	J	A	
14. -Blackrock Equity Dividend (MCDVX) mutual fund	B	Int./Div.			Sold	09/18/17	K	B	
15. -Franklin Income C (FCISX) mutual fund	B	Int./Div.			Sold	09/18/17	K	B	
16. -Legg Mason Capmdmt Opport C (LMOPX) mutual fund	B	Int./Div.			Sold	09/18/17	K	D	
17. -Loomis Sayles Strat Inc C (NECZX) mutual fund	A	Int./Div.			Sold	09/18/17	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = \$50,001 - \$100,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -Equitable Life AXA Equitable - Elite 07 annuity	A	Int./Div.	J	T					
19. -Brighthouse Investors Class L annuity	B	Int./Div.	K	T					
20. -Ishares Core MSCI EAFE ETF	A	Int./Div.	L	T	Buy	09/18/17	L		
21. -Ishares Core MSCI Emerging	A	Int./Div.	K	T	Buy	09/18/17	K		
22. -Ishares IBOXX H/Y COR BD ETF	A	Int./Div.	J	T	Buy	09/18/17	J		
23. -Ishares Russell 1000 GRW ETF	A	Int./Div.	K	T	Buy	09/18/17	K		
24. -Ishares Russell 1000 Value ETF	A	Int./Div.	K	T	Buy	09/18/17	K		
25. -Ishares Russell 2000 Grwth ETF	A	Int./Div.	J	T	Buy	09/18/17	J		
26. -Ishares Russell 2000 Value ETF	A	Int./Div.	J	T	Buy	09/18/17	J		
27. -Ishares Russell Midcap G ETF	A	Int./Div.	J	T	Buy	09/18/17	J		
28. -Ishares Russell Midcap V ETF	A	Int./Div.	J	T	Buy	09/18/17	J		
29. -Ishares Tips Bond ETF	A	Int./Div.	J	T	Buy	09/18/17	J		
30. -Pimco Enhanced Shrt Mtrt Exc	A	Int./Div.	J	T	Buy	09/18/17	J		
31. -Vanguard Short Term Bnd	A	Int./Div.	K	T	Buy	09/18/17	K		
32. -Vanguard Total Bond Market	A	Int./Div.	K	T	Buy	09/18/17	K		
33. -Wisdomtree Trust Japn Hedge EQ	A	Int./Div.	J	T	Buy	09/18/17	J		
34. Met Life 403(b) Savings Plan									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -Am Funds Gbl SC mutual fund	A	Int./Div.	J	T					
36. -American Funds GI Fund mutual fund	A	Int./Div.	J	T					
37. -Clarion Gbl Real Estate mutual fund	A	Int./Div.	J	T					
38. -Fixed Interest Account	A	Int./Div.	J	T					
39. -TRP Midcap Growth mutual fund	A	Int./Div.	J	T					
40. -Russel 2000 Index mutual fund	A	Int./Div.	J	T					
41. -Clearbridge Aggressive Growth	A	Int./Div.	J	T					
42. -MFS Research Intl Mutual Fund	A	Int./Div.	J	T					
43. -American Funds Growth Fund	A	Int./Div.	J	T					
44. Met Life 403(b) Savings Plan T Flex Annuity	D	Interest	M	T					
45. Met Life 457 Deferred Compensation Plan	C	Interest	K	T					
46. Nationwide Universal Life Insurance Policy	A	Dividend	J	T					
47. Nationwide Life Insurance Policy									
48. -Fidelity VIP Asset Manager, Initial Class (Mutual Fund)	A	Dividend	J	T					
49. -Fidelity VIP Growth, Initial Class (Mutual Fund)	A	Dividend	J	T					
50. -Janus Aspen Series Forty, Service Shares (Mutual Fund)	A	Dividend	J	T					
51. -NWD Var Ins Trst NVIT S&P 500 Index (Mutual Fund)	A	Dividend	J	T					

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(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
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52. -NWD Var Ins Trst Oppen. NVIT Lg Cap Grth (Mutual Fund)(X)	A	Dividend	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Joseph A. Dickson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544