

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) ADLER, JAN M.	2. Court or Organization US DISTRICT COURT, SDCA	3. Date of Report 05/14/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US MAGISTRATE JUDGE FULL-TIME	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address UNITED STATES DISTRICT COURT 940 FRONT STREET, ROOM 2140 SAN DIEGO, CA 92101-8928		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	MEMBER	EQUITY PARTNERS SPECIAL PURPOSE LLC
2.	CO-TRUSTEE	TRUST #1
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2012	FEE INCOME FROM FORMER LAW FIRM TO BE COLLECTED FOR WORK PERFORMED BEFORE LEAVING THE FIRM, APPROXIMATELY \$35,000 PER YEAR THROUGH 2025.
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	EQUITY PARTNERS SPECIAL PURPOSE LLC- MEMBER INCOME	\$34,851.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. TRUST #1									
2. AMERIPRISE BROKERAGE ACCOUNT		None	J	T					
3. ---AMCAP CL A		None	L	T	Buy	07/12/12	L		
4.					Sold (part)	09/14/12	K	D	
5. ---AMERICAN BALANCED CL A	A	Dividend	L	T	Buy	07/12/12	L		
6. ---AMERICAN MONEY MARKET CL A		None	J	T	Buy	07/12/12	J		
7.					Sold (part)	07/26/12	J		
8. ---AMERICAN SHORT TERM TAX EXEMPT BOND CL A	A	Dividend	L	T	Buy	07/12/12	L		
9. ---BOND FUND OF AMERICA CL A	A	Dividend			Buy	07/12/12	K		
10.					Sold (part)	07/27/12	K		
11.					Sold	09/14/12	K		
12. ---CAPITAL INCOME BUILDER CL A	A	Dividend	K	T	Buy	07/12/12	K		
13. ---CAPITAL WORLD GROWTH & INCOME CL A		None			Buy	07/12/12	K		
14.					Sold	07/26/12	K	B	
15. ---GROWTH FUND OF AMERICA CL A	A	Dividend	K	T	Buy	07/12/12	K		
16. ---LIMITED TERM TAX EXEMPT BOND AMERICA CL A	B	Dividend	M	T	Buy	07/12/12	L		
17.					Buy (add'l)	07/27/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$5,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. ---NEW PERSPECTIVE CL A	A	Dividend	L	T	Buy	07/12/12	L		
19. ---NEW WORLD CL A	A	Dividend	L	T	Buy	07/12/12	L		
20. ---WASHINGTON MUTUAL INVESTORS CL A	B	Dividend	L	T	Buy	07/12/12	L		
21. COLUMBIA FUNDS		None	J	T					
22. AMERIPRISE BROKERAGE ACCOUNT IRA #1 - MM		None	J	T					
23. --- CAPITAL INCOME BUILDER - A	B	Dividend	L	T					
24. --- CAPITAL WORLD GROWTH & INCOME - A	A	Dividend	K	T					
25. --- NEW PERSPECTIVE - A	A	Dividend	L	T					
26. --- NEW WORLD	A	Dividend	K	T					
27. ---PIMCO REAL RETURN BOND - A	C	Dividend	M	T	Sold (part)	12/14/12	K	C	
28. ---PIMCO ALL ASSET - A	C	Dividend	M	T	Buy (add'l)	03/06/12	K		
29. ---HCP INCOME	B	Dividend	K	T					
30. ---CNL LIFESTYLE PROPERTIES INC REIT	C	Distribution	K	T					
31. ---INLAND AMERICAN	B	Distribution	K	T					
32. --- FUNDAMENTAL INVESTORS - A	A	Dividend	K	T					
33. AMERIPRISE BROKERAGE ACCOUNT IRA #2 - MM		None	J	T					
34. --- CAPITAL INCOME BUILDER - A	A	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. --- CAPITAL WORLD GROWTH & INCOME	A	Dividend	K	T					
36. AMERIPRISE ONE FINANCIAL ACCOUNT		None	K	T					
37. ---INTERMEDIATE BOND FUND OF AMERICA CL A	B	Dividend	M	T					
38. ---FRANKLIN FEDERAL INTERMEDIATE TERM TAX-FREE FUND - A	D	Dividend	N	T					
39. ---FRANKLIN INSURED TAX-FREE INCOME A	A	Dividend			Sold	02/02/12	K		
40. ---FRANKLIN RISING DIVIDENDS		None			Sold	02/02/12	K	B	
41. ---CALVERT TAX FREE BOND - A	B	Dividend	L	T					
42. ---CNL HEALTHCARE TR INC		None	K	T	Buy	12/19/12	K		
43. STRATEGIC PORTFOLIO SERVICE ADVANTAGE		None	L	T					
44. ---BARON SMALL CAP FUND	B	Dividend	K	T	Sold (part)	08/17/12	K	C	
45. ---BLACKROCK INTERMEDIATE MUNICIPAL INVESTOR CL A	A	Dividend	K	T	Buy	12/14/12	K		
46. ---C&S REALTY INC - A COHEN & STEERS FUND		None			Sold	02/24/12	K		
47. ---COLUMBIA DIVIDEND OPPORTUNITY CL A	B	Dividend	L	T	Buy	02/24/12	L		
48. ---COLUMBIA LTD DUR CREDIT - A COLUMBIA FUNDS GROUP	A	Dividend			Buy (add'l)	01/09/12	J		
49.					Buy (add'l)	02/08/12	J		
50.					Sold (part)	12/06/12	J	A	

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51.					Sold	12/06/12	J	A	
52. ---FIDELITY ADVISOR SMALL CAP - A	A	Dividend			Sold	02/24/12	K	C	
53. ---FIDELITY ADVISOR STRATEGIC REAL RETURN	A	Dividend	J	T	Buy (add'l)	01/09/12	J		
54.					Buy (add'l)	02/08/12	J		
55. ---FIDELITY ADVANTAGE STRATEGIC INCOME	C	Dividend	L	T	Buy (add'l)	01/09/12	J		
56.					Buy (add'l)	02/08/12	J		
57. ---FIDELITY ADVISOR NEW INSIGHTS - A		None			Sold	02/24/12	K	B	
58. ---1ST EAGLE GLOBAL - A FIRST EAGLE FUND	C	Dividend	L	T	Buy (add'l)	02/24/12	K		
59. ---FRANKLIN MANAGED TR RISING DIVIDEND FUND CL A	A	Dividend	L	T					
60. ---GABELLI ASSET AAA	C	Dividend	L	T					
61. ---JANUS VENTURE CL A	B	Dividend	K	T	Buy	08/17/12	K		
62. ---LEGG MASON PARTNERS MID CAP CORE A	B	Dividend	L	T	Sold (part)	08/17/12	K	D	
63. ---NUVEEN CALIFORNIA MUNICIPAL BOND CL A		None	K	T	Buy	12/14/12	K		
64. ---PIMCO TOTAL RETURN	B	Dividend			Buy (add'l)	02/24/12	K		
65.					Sold (part)	12/06/12	K	B	
66.					Sold	12/06/12	K	B	
67. ---VIRTUS REAL ESTATE SECURITIES	A	Dividend	K	T	Sold (part)	12/06/12	L	E	

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68. ---S&P 500 ETF TRUST (SPDR) UNIT SER 1	B	Dividend	L	T					
69. ---WEITZ SHORT INTERIM INCOME	A	Dividend			Sold (part)	02/24/12	K	A	
70.					Sold	12/06/12	K	B	
71. ---VANGUARD TOTAL STOCK MARKET	A	Dividend	K	T	Buy	02/28/12	J		
72.					Buy (add'l)	08/21/12	K		
73. STRATEGIC PORTFOLIO SERVICE ADVANTAGE, IRA		None	J	T					
74. ---COLUMBIA US GOV'T MORTGAGE - A	B	Dividend	K	T					
75. ---COLUMBIA ACORN - A	C	Dividend	L	T					
76. ---COLUMBIA DIVIDEND OPPORTUNITY CL A	B	Dividend	L	T	Buy	02/24/12	K		
77. ---PIMCO TOTAL RETURN	B	Dividend			Sold	08/17/12	K		
78. ---DOUBLELINE TOTAL RETURN BOND CL N	A	Dividend	L	T	Buy	08/17/12	K		
79. ---FIDELITY ADVANTAGE NEW INSIGHTS - A		None			Sold	02/24/12	M		
80. ---BLACKROCK NATIONAL RESOURCES - A	A	Dividend	K	T					
81. ---S&P (SPDR) GOLD TRUST		None	K	T	Buy (add'l)	08/22/12	J		
82.					Buy (add'l)	09/27/12	J		
83.					Buy (add'l)	11/06/12	J		
84. ---HSBC BANK USA WILMINGTON DELAWARE STRUCTURED CD 2012	A	Interest			Matured	06/28/12	K		

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85. ---HSBC BANK USA WILMINGTON DELAWARE STRUCTURED CD 5/31/12	A	Interest	J	T					
86. ---HSBC BANK USA WILMINGTON DELAWARE STRUCTURED CD 6/28/13	A	Interest	J	T					
87. ---HSBC BANK USA WILMINGTON DELAWARE STRUCTURED CD 8/1/13		None	J	T					
88. ---HSBC BANK USA WILMINGTON DELAWARE STRUCTURED CD 8/29/13	A	Interest	J	T					
89. ---HSBC BANK USA WILMINGTON DELAWARE STRUCTURED CD 11/29/13		None	J	T					
90. ---FIDELITY ADVANTAGE STRATEGIC REAL RETURN - A	B	Dividend	K	T					
91. ---FIDELITY ADVANTAGE STRATEGIC INCOME - A	C	Dividend	K	T					
92. ---1ST EAGLE US VALUE - A FIRST EAGLE FUND	B	Dividend	L	T	Buy	02/24/12	K		
93. ---FRANKLIN RISING DIVIDENDS	A	Dividend	K	T					
94. ---NUVEEN SANTA BARBARA GROWTH CL A	A	Dividend	K	T	Buy	12/14/12	J		
95. ---WEITZ SHORT INTERIM INCOME	B	Dividend	L	T					
96. ---VANGUARD TOTAL STOCK MARKET	A	Dividend	K	T	Buy	03/08/12	J		
97.					Buy (add'l)	08/22/12	J		
98.					Buy (add'l)	09/27/12	J		
99. RVS LIFE RETIREMENT ADVISOR ADVANTAGE VARIABLE ANNUITY									
100. ---GOLDMAN VIT MIDCAP VA		None			Redeemed	12/07/12	J		
101. ---FID VIP MIDCAP CL 2		None			Redeemed	02/24/12	K		

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102. ---AM CENT VP VAL CL II		None			Redeemed	12/07/12	J		
103. ---OPPENHEIMER STRATEGIC INC CL 3		None			Redeemed (part)	02/24/12	J		
104.					Redeemed	12/07/12	L		
105. ---FIDELITY VIP CONTRAFUND CL 2		None			Redeemed	12/07/12	K		
106. ---JANUS ASPEN OVERSEAS		None			Redeemed	02/24/12	J		
107. ---PIMCO VIT ALL ASSET		None			Buy	02/24/12	K		
108.					Redeemed	12/07/12	K		
109. ---COL VP BALANCE FD C 13		None			Buy	02/24/12	K		
110.					Redeemed	12/07/12	K		
111. RIVERSOURCE LIFE INSURANCE CO RAVA 5 - COLUMBIA VP		None	N	T	Buy	12/10/12	N		
112. RIVERSOURCE RETIREMENT ADVISOR 4 - VP MODERATE CL 4		None	M	T					
113. RIVERSOURCE LIFE PROTECTION PLUS UL INSURANCE		None	M	T					
114. AMERICAN FUNDS									
115. ---WASHINGTON MUTUAL INVESTORS FUND A	A	Dividend			Sold	07/10/12	L		
116. ---AMERICAN BALANCED FUND - A	A	Dividend			Buy (add'l)	01/05/12	J		
117.					Buy (add'l)	02/03/12	J		
118.					Buy (add'l)	03/05/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
119.					Buy (add'l)	04/05/12	J		
120.					Buy (add'l)	05/04/12	J		
121.					Buy (add'l)	06/05/12	J		
122.					Buy (add'l)	07/05/12	J		
123.					Sold	07/10/12	L		
124. ---AMCAP FUND A	A	Dividend			Sold (part)	01/31/12	K		
125.					Sold	07/10/12	L		
126. ---THE GROWTH FUND OF AMERICA - A		None			Sold (part)	01/05/12	J		
127.					Sold (part)	01/31/12	K		
128.					Sold (part)	02/03/12	J		
129.					Sold (part)	03/05/12	J		
130.					Sold (part)	04/05/12	J		
131.					Sold (part)	05/04/12	J		
132.					Sold (part)	06/05/12	J		
133.					Sold (part)	07/05/12	J		
134.					Sold	07/10/12	K		
135. ---CAPITAL INCOME BUILDER - A	A	Dividend			Buy	01/31/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
136.					Sold	07/10/12	K		
137. ---NEW PERSPECTIVE FUND - A		None			Sold	07/10/12	L		
138. ---EUROPACIFIC GROWTH FUND - A		None			Sold (part)	01/31/12	K		
139.					Sold	01/31/12	K		
140. ---CAPITAL WORLD GROWTH & INCOME FUND - A	A	Dividend			Sold	07/10/12	K		
141. ---NEW WORLD FUND - A		None			Sold	07/10/12	L		
142. ---BOND FUND OF AMERICA - A	A	Dividend			Sold	07/10/12	K		
143. ---LTD TERM TAX-EXEMPT BOND FUND OF AMERICA - A	B	Dividend			Sold	07/10/12	L		
144. ---AMERICAN FUNDS MONEY MARKET FUND - A		None			Buy (add'l)	01/31/12	K		
145.					Buy (add'l)	01/31/12	K		
146.					Buy (add'l)	01/31/12	K		
147.					Sold	07/10/12	J		
148. ---AMERICAN FUND SHORT-TERM TAX-EXEMPT BOND FUND CL A	A	Dividend			Sold	07/10/12	L		
149. FIDELITY ADVISOR #1		None	L	T					
150. FIDELITY ADVISOR #2		None	L	T					
151. JP MORGAN CHASE BANK ACCOUNTS	A	Interest	J	T					
152.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART VII, LINE 1: HEADER FOR ALL INVESTMENTS. ALL INVESTMENTS IN PART VII ARE HELD IN TRUST #1

PART VII, LINES 3, 5, 6, 8, 9, 12, 13, 15, 16, 18, 19 & 20: ACCOUNT TRANSFERS FROM AMERICAN FUNDS TO AMERIPRISE BROKERAGE ACCOUNT ARE LISTED AS PURCHASES.

PART VII, LINE 23: NAME CHANGE TO CAPITAL INCOME BUILDER CL A FROM AMERICAN CAPITAL INCOME BUILDER - A

PART VII, LINE 24: NAME CHANGE TO CAPITAL WORLD GROWTH & INCOME CL A FROM AMERICAN CAPITAL INCOME BUILDER - A

PART VII, LINE 25: NAME CHANGE TO NEW PERSPECTIVE CL A FROM AMERICAN NEW PERSPECTIVE - A

PART VII, LINE 26: NAME CHANGE TO NEW WORLD CL A FROM AMERICAN NEW WORLD

PART VII, LINE 30: NAME CHANGE TO CNL LIFESTYLE PROPERTIES INC REIT FROM CNL INCOME PROPERTIES

PART VII, LINE 32: NAME CHANGE TO FUNDAMENTAL INVESTORS CL A FROM AMERICAN FUNDAMENTAL INVESTORS - A

PART VII, LINE 34: NAME CHANGE TO CAPITAL INCOME BUILDER CL A FROM AMERICAN CAPITAL INCOME BUILDER - A

PART VII, LINE 35: NAME CHANGE TO CAPITAL WORLD GROWTH & INCOME CL A FROM AMERICAN CAPITAL INCOME BUILDER - A

PART VII, LINE 37: NAME CHANGE TO INTERMEDIATE BOND FUND OF AMERICA CL A FROM AMERICAN GROUP INTERMEDIATE BOND FUND OF AMERICA

PART VII, LINE 59: NAME CHANGE TO FRANKLIN MANAGED TR RISING DIVIDEND FUND CL A FROM FRANKLIN RISING DIVIDENDS

PART VII, LINE 99: HEADER FOR LINES 100-110.

PART VII, LINE 114: HEADER FOR LINES 115-148.

PART VII, LINES 115, 123, 125, 134, 136, 137, 140, 141, 142, 143, 147 & 148: ACCOUNT TRANSFERS FROM AMERICAN FUNDS TO AMERIPRISE BROKERAGE ACCOUNT ARE LISTED AS SOLD.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ JAN M. ADLER**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544