

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Dore, Timothy W.	2. Court or Organization Bankruptcy Court - Western Washington	3. Date of Report 04/20/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 700 Stewart Street, Room 8131 Seattle, WA 98101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 12/23/09	Ryan Retirement Plan and Trust (retirement plan with former law firm (Ryan, Swanson & Cleveland, PLLC)) - See Part VIII
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 01/01/2016

Wood & Jones P.S. - DP's salary for legal services. See Part VIII

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Oregon State Bar	Registration fee for 29th Annual Northwest Bankruptcy Institute	\$440.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	DP: Chase	Mortgage on rental property in Baggs, Wyoming	L
2.	DP: Bank of America	Credit card/Line of credit	J
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. DP: Investment Real Property, Baggs, WY	E	Rent	M	W					
2. Chase (bank accounts)	A	Interest	M	T					
3. E-Trade (bank accounts)	A	Interest	M	T					
4. E-Trade (brokerage account) (H)									
5. --Cash	A	Interest	J	T					
6. Ryan Retirement Plan & Trust (H)									
7. --MainStay Large Cap Growth	E	Int./Div.	N	T					
8. --Schwab S&P 500 Index	C	Int./Div.			Sold	09/07/16	N	A	See Part VIII.
9. --Vanguard 500 Index Fund Adm	B	Int./Div.	N	T	Buy	09/07/16	N		See Part VIII.
10. --American Beacon Small Cap Value	B	Int./Div.	L	T					
11. --Goldman Sachs Growth Opportunities	C	Int./Div.	M	T					
12. --Eagle Small Cap Growth	B	Int./Div.	L	T					
13. --American Funds EuroPacific Growth	B	Int./Div.	M	T					
14. --Janus Balanced S	D	Int./Div.	N	T					
15. DP: Vacant Land, Cody, WY (50% interest in two lots)		None	K	W					
16. DP: Whole Life insurance - New York Life	A	Int./Div.	L	T					
17. DP: IRA - Fidelity Destiny Plan	B	Int./Div.	L	T					

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. DP: Bank of America (bank accounts)	A	Interest	K	T					
19. DP: Chase (bank accounts)	A	Interest	J	T					
20. Race Horse (Thanksforasking)	B	Royalty			Sold	06/05/16	J	A	See Part VIII.
21. Race Horse (Shared Life)	D	Royalty			Buy	05/22/16	J		See Part VIII.
22.					Sold	10/02/16	J	A	See Part VIII.
23. Race Horse (Big Discovery)	E	Royalty			Buy	05/29/16	K		See Part VIII.
24.					Sold	08/25/16	K	A	See Part VIII.
25. Race Horse (Snatch the Candy)		None	K	W	Buy	09/20/16	K		See Part VIII.
26. California Race Tracks (deposit account)		None	K	T					
27. Loan receivable from MRP, Inc., Michael Richendifer and Raymond Poore		None	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

- 1) Part II, Item 1: Agreement dated 12/23/09 (updated from March 2003) and amended 1/1/16. 100% vested; no longer allowed to contribute; no control other than over my own vested interest in the plan.
- 2) Part III, Item B.1: January 2016 - December 2016. The abbreviation "DP" throughout this report designates my non-spouse domestic partner.
- 3) Part VII, Items 8 and 9: Schwab S&P 500 Index was replaced in the retirement plan with Vanguard 500 Index Fund Adm. Funds in Schwab S&P 500 Index were automatically transferred into Vanguard 500 Index Fund Adm on September 7, 2016.
- 4) Part VII, Item 20: The income was purse money won in races. Sale was through a claiming race. I am not aware of the identity of the buyer.
- 5) Part VII, Items 21 and 22: The income was purse money won in races. Horse was retired and left in the care of Pegasus Training Center.
- 6) Part VII, Items 23 and 24: The income was purse money won in races. Sale was through a claiming race. I am not aware of the identity of the buyer.
- 7) Part VII, Item 25: The horse was purchased at a public auction. I am not aware of the identity of the seller.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Timothy W. Dore

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544