

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Humrickhouse, Stephani W.	2. Court or Organization Bankruptcy Court - Eastern District of North Carolina	3. Date of Report 05/15/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Bankruptcy Court (FT)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address Second Floor 300 Fayetteville Street Raleigh, NC 27601		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2005	Compensation Agreement with Nicholls & Crampton, P.A. (former law firm compensation agreement for work performed prior to my taking the bench)
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	Nicholls & Crampton, P.A. (1099)	\$125,835.13
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	FMI Corp W-2
2. 2012	FMI Corp 1120S K-1
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Eastern Bankruptcy Institute	05/31/12 - 06/02/12	Myrtle Beach, SC	Bankruptcy Seminar	Lodging
2. National Conference of Bankruptcy Judges	10/23/12 - 10/26/12	San Diego, CA	Annual Conference/ Seminar	Lodging
3. North Carolina Bar Association	11/08/12 - 11/10/12	Greensboro, NC	Annual Bankruptcy Conference	Lodging, mileage, meals
4. Turnaround Management Association	12/03/12 - 12/04/12	Charlotte, NC	Annual Convention Speaker	Lodging, mileage, meals
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	FMI Corp.	Stock Purchase	K
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Allianz Small Cap Value ADM Fund	A	Dividend	M	T					
2. Am. Equity Inv. Life Ins. Co. Flex. Prem. Deferred Ann	A	Dividend	M	T					
3. Artisan Midcap Value	A	Dividend	J	T	Buy	06/08/12	J		
4. Baron Growth Fund	A	Dividend	J	T					
5. Black Rock Equity	A	Dividend	M	T					
6. Columbia Marsico Growth Fund, Class A	A	Dividend	J	T					
7. Davis NY Venture Fund, Class Y	A	Dividend	J	T					
8. Fidelity Capital Appreciation Fund	A	Dividend	K	T					
9. Ivy Midcap	A	Dividend	J	T	Buy	06/08/12	J		
10. Janus Overseas Fund Class S	A	Dividend			Sold	06/30/12	L		
11. Lazard Emerging Markets Fund	A	Dividend	J	T					
12. Mainstay Large Cap Growth Fund	A	Dividend	M	T					
13. PIMCO Total Return/ADM	A	Dividend	M	T					
14. Royce Total Return Fund	A	Dividend	J	T					
15. Thornburg International Value R/3 Fund	A	Dividend	L	T					
16. Wells Fargo Equity Index Fund	A	Dividend	K	T					
17. Western Asset Money Market - Class A Fund	A	Dividend			Sold	05/04/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. William Blair International Growth Fund	A	Dividend	J	T					
19. Etrade									
20. -BIV (mutual fund)	A	Dividend	J	T					
21. -Corning Inc. Stock (common)	A	Dividend	J	T					
22. -DPD (mutual fund)	A	Dividend	J	T					
23. -Ford Motor Stock (common)	A	Dividend	J	T					
24. -JP Morgan Municipal Fund	A	Interest	J	T					
25. Vanguard Brokerage Acct									
26. -Caterpillar, Inc. Stock (common)	A	Dividend	K	T					
27. -Ford Motor Co. Stock (common)	A	Dividend	J	T					
28. -Vanguard Short Term Tax Exempt Bond Fund	C	Dividend	M	T					
29. -Vanguard Prime Money Mkt Fund	A	Dividend	J	T					
30. Fidelity Municipal Money Mkt	A	Interest	K	T					
31. Fisher/Morgan Stanley Man. Inv. Acct. (no control)	E	Dividend	O	T					
32. -AAUKY	A	Dividend			Buy	01/06/12	J		
33.					Sold	05/29/12	J		
34. -ABB	A	Dividend			Sold (part)	03/02/12	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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35.					Sold	05/24/12	J	B	
36. -ABT	A	Dividend			Sold	01/31/12	J	B	
37. -AGN	A	Dividend			Sold	05/24/12	J	A	
38. -AMZN	A	Dividend			Sold	05/24/12	J	B	
39. -AMX	A	Dividend			Buy	01/06/12	J		
40.					Sold	05/24/12	J	A	
41. -APC	A	Dividend			Buy	02/01/12	J		
42.					Sold	05/24/12	K	C	
43. -BA	A	Dividend			Sold	05/29/12	J	A	
44. -BASFY	A	Dividend			Sold (part)	01/31/12	J	C	
45.					Sold	05/25/12	J	D	
46. -BBD	A	Dividend			Buy	01/06/12	J		
47.					Sold	03/14/12	J	A	
48. -BIDU	A	Dividend			Sold	05/25/12	J	D	
49. -BNS	A	Dividend			Sold	02/01/12	J	B	
50. -BHP	A	Dividend			Sold	05/24/12	J	C	
51. -CAT	A	Dividend			Sold	05/24/12	J	D	

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52. -CEO	A	Dividend			Buy	01/06/12	J		
53.					Sold	05/29/12	J		
54. -CVE	A	Dividend			Buy	02/21/12	J		
55.					Sold	06/08/12	J	A	
56. -DE	A	Dividend			Sold	05/25/12	J	D	
57. -DOV	A	Dividend			Sold	05/24/12	J	D	
58. -EMC	A	Dividend			Sold	05/24/12	J	C	
59. -EMR	A	Dividend			Sold	05/29/12	J		
60. -EOG	A	Dividend			Buy	01/31/12	J		
61.					Sold	05/24/12	J		
62. -FCX	A	Dividend			Buy	01/31/12	K		
63.					Sold	5/29/12	J		
64. -GE	A	Dividend			Buy	01/06/12	K		
65.					Sold	03/14/12	K	A	
66. -GOOG	A	Dividend			Sold	05/25/12	J	B	
67. -GSK	A	Dividend			Sold	05/24/12	K	B	
68. -HAL	A	Dividend			Buy	01/06/12	J		

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69.					Sold	05/29/12	J		
70. -HIT	A	Dividend			Sold	05/29/12	J	A	
71. -HMC	A	Dividend			Sold	03/14/12	J	D	
72. -HON	A	Dividend			Sold	05/29/12	J	C	
73. -IBM	A	Dividend			Sold	05/25/12	J		
74. -INTC	A	Dividend			Sold	05/24/12	J	C	
75. -JNJ	A	Dividend			Buy	01/06/12	J		
76.					Sold	05/24/12	K		
77. -MDT	A	Dividend			Buy	01/06/12	J		
78.					Sold	05/29/12	J		
79. -MOS	A	Dividend			Buy	01/06/12	J		
80.					Sold	05/29/12	J		
81. -MSFT	A	Dividend			Buy	01/06/12	J		
82.					Sold	05/24/12	K	A	
83. -NOV	A	Dividend			Sold	05/24/12	J	B	
84. -NSRGY	A	Dividend			Sold	05/24/12	K	B	
85. -OXY	A	Dividend			Sold	05/29/12	J	D	

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86. -ORCL	A	Dividend			Sold	05/24/12	K	C	
87. -PFE	A	Dividend			Sold	05/24/12	J	B	
88. -PG	A	Dividend			Sold	05/24/12	J	A	
89. -PNC	A	Dividend			Buy	01/06/12	J		
90.					Sold	03/14/12	J	A	
91. -PPG	A	Dividend			Sold (part)	03/02/12	J	C	
92.					Sold	05/24/12	J	D	
93. -QCOM	A	Dividend			Sold	05/29/12	J	A	
94. -RDSA	A	Dividend			Buy	01/06/12	J		
95.					Buy	02/01/12	J		
96.					Sold	05/24/12	K		
97. -RHHBY	A	Dividend			Buy	01/06/12	K		
98.					Sold	02/01/12	K		
99. -RTP/RIO	A	Dividend			Buy	02/03/12	J		
100.					Sold	05/24/12	K		
101. -SCCO/PCU	B	Dividend			Sold	01/31/12	J	C	
102. -SI	A	Dividend			Sold (part)	01/31/12	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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103.					Sold	05/29/12	J		
104. -SLB	A	Dividend			Sold	05/24/12	J	D	
105. -SNY	A	Dividend			Buy	01/06/12	J		
106.					Sold	05/24/12	J		
107. -SPDR	A	Dividend			Sold (part)	01/06/12	M	D	
108.					Sold	12/11/12	K		
109. -SPY	A	Dividend			Buy	05/24/12	K		
110.					Sold	05/25/12	K		
111. -STD	A	Dividend			Buy	01/06/12	J		
112.					Sold	03/14/12	J	A	
113. -TIF	A	Dividend			Sold	05/24/12	K	D	
114. -TWC	A	Dividend			Sold	03/14/12	J	C	
115. -TWX	A	Dividend			Sold	03/14/12	J	C	
116. -UN	A	Dividend			Sold (part)	03/02/12	J	A	
117.					Sold	05/25/12	J	A	
118. -UNP	A	Dividend			Sold (part)	01/31/12	J	C	
119.					Sold	05/29/12	J	C	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Humrickhouse, Stephani W.

Date of Report

05/15/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -UTX	A	Dividend			Sold	05/24/12	J	D	
121. -VALE	A	Dividend			Buy	01/31/12	J		
122.					Sold	05/24/12	J		
123. -WFC	A	Dividend			Buy	01/06/12	J		
124.					Sold	03/14/12	J	B	
125. -WMT	A	Dividend			Sold	05/24/12	J	B	
126. -XOM	A	Dividend			Buy	01/06/12	K		
127.					Sold	05/24/12	K		
128. -AAXJ (ETF)	A	Dividend			Sold (part)	01/06/12	L		
129.					Sold	05/24/12	J		
130. -EFA (ETF)	A	Dividend	K	T	Buy	05/29/12	J		
131.					Buy	05/25/12	J		
132.					Sold (part)	08/15/12	J	A	
133.					Sold (part)	12/11/12	K	C	
134. -EXI (ETF)	A	Dividend			Buy	05/29/12	K		
135.					Sold	08/08/12	K	A	
136. -FBG (ETF)	A	Dividend	J	T	Buy	06/08/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Humrickhouse, Stephani W.

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05/15/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold (part)	12/11/12	J	A	
138. -FXI (ETF)	A	Dividend			Buy	01/06/12	J		
139.					Sold	05/25/12	K		
140. -HYG (ETF)	A	Dividend	K	T	Buy	05/24/12	K		
141.					Sold (part)	12/11/12	J	A	
142. -IXP (ETF)	A	Dividend	J	T	Sold (part)	08/08/12	J		
143.					Sold (part)	12/11/12	J		
144. -IXN (ETF)	A	Dividend	K	T	Buy	05/24/12	K		
145.					Sold (part)	12/11/12	J	A	
146. -IXC (ETF)	A	Dividend	J	T	Buy	05/29/12	K		
147.					Sold (part)	12/11/12	J	A	
148. -KXI (ETF)	A	Dividend	K	T	Buy	05/25/12	J		
149.					Buy	08/08/12	J		
150.					Buy	08/15/12	K		
151.					Sold (part)	12/11/12	J	A	
152. -LQD (ETF)	A	Dividend	M	T	Buy	05/24/12	M		
153.					Sold (part)	12/11/12	L	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Humrickhouse, Stephani W.

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05/15/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -MBB (ETF)	A	Dividend	K	T	Buy	05/24/12	K		
155.					Sold (part)	12/11/12	J		
156. -MXI (ETF)	A	Dividend	J	T	Buy	05/29/12	J		
157.					Sold (part)	12/11/12	J	A	
158. -QQQ (EFT)	A	Dividend	K	T	Buy	05/29/12	L		
159.					Sold (part)	08/15/12	J	A	
160.					Sold (part)	12/11/12	K	A	
161. -SCPB (ETF)	A	Dividend	K	T	Buy	05/24/12	L		
162.					Sold (part)	12/11/12	K	A	
163. -TFI (ETF)	A	Dividend	L	T	Buy	05/24/12	L		
164.					Sold (part)	12/11/12	K	A	
165. -XLG (ETF)	A	Dividend	K	T	Buy	05/25/12	K		
166.					Buy	08/08/12	J		
167.					Sold (part)	12/11/12	K	B	
168. -XLV (ETF)	A	Dividend	K	T	Buy	05/25/12	K		
169.					Sold (part)	12/11/12	J	B	
170. -XLY (ETF)	A	Dividend	K	T	Buy	05/25/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Humrickhouse, Stephani W.

Date of Report

05/15/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Sold (part)	08/08/12	J	A	
172.					Sold (part)	12/11/12	J	A	
173. -XLF (ETF)	A	Dividend	J	T	Buy	05/29/12	K		
174.					Sold (part)	12/11/12	J	A	
175. -VWO (ETF)	A	Dividend	J	T	Buy	05/29/12	J		
176.					Sold (part)	12/11/12	J	A	
177. Scott & Stringfellow IRA -Managed Account	C	Dividend	O	T					
178. -AXP	A	Dividend			Sold	03/14/12	K	D	
179. -BAM	A	Dividend	K	T					
180. -BDX	A	Dividend			Sold	02/16/12	K	B	
181. -BRK/B		None	L	T	Buy	3/14/12	J		
182. -CL	A	Dividend			Sold	05/08/12	K	D	
183. -CNQ	A	Dividend	K	T	Buy	03/20/12	K		
184.					Buy	04/14/12	J		
185.					Buy	05/08/12	J		
186. -COP	A	Dividend			Sold	04/30/12	K	D	
187. -CSCO	A	Dividend	J	T	Buy	06/07/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Humrickhouse, Stephani W.

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05/15/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. -Federated Treasury Fund	A	Dividend	M	T					
189. -FRFHF	A	Dividend	K	T					
190. -FUR	A	Dividend	K	T					
191. -GOOG	A	Dividend	K	T					
192. -IBM	A	Dividend	K	T	Buy	07/12/12	K		
193.					Buy	07/17/12	J		
194. -INT	A	Dividend	J	T	Buy	05/04/12	J		
195. -JEF	A	Dividend	K	T	Buy	05/08/12	J		
196. -JNJ	B	Dividend	K	T	Sold (part)	02/02/12	J	B	
197. -Kraft Foods (name change Mondelez Int'l) MDLZ	A	Dividend			Sold (part)	10/22/12	J	C	
198.					Sold	10/24/12	K	C	
199. -L	A	Dividend	K	T					
200. -LUK	A	Dividend	K	T	Buy	09/28/12	J		
201.					Buy	11/12/12	J		
202. -MHK		None	K	T					
203. -MSFT	A	Dividend	K	T					
204. -OXY	A	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

Humrickhouse, Stephani W.

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05/15/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. -PG	A	Dividend	K	T					
206. -STWD	B	Dividend	K	T					
207. -TSCDY	B	Dividend	K	T	Buy	02/14/12	J		
208.					Buy	02/22/12	J		
209.					Buy	01/05/12	K		
210.					Buy	03/06/12	J		
211.					Buy	03/14/12	J		
212. -USB	A	Dividend			Sold	03/14/12	K	D	
213. -VRX		None	K	T	Buy	05/18/12	J		
214.					Buy	06/12/12	J		
215. -WFC	A	Dividend			Sold	03/14/12	K	D	
216. -WMT	B	Dividend	K	T	Sold (part)	10/04/12	J	B	
217. -Y	A	Dividend	K	T					
218. ACS/Mellon Health Savings Account		None	J	T					
219. Durham Arvin Partnership		None	K	W					
220. Equitable Insurance		None	L	T					
221. FMI Corp. Stock	G	Distribution	N	U					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

Humrickhouse, Stephani W.

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05/15/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34–60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. FMI Infrastructure Investors, LLC	A	Distribution	J	U					
223. GP Land, LLC	A	Int./Div.	M	U	Buy	12/19/12	M		
224. National Life Insurance		None	J	T					
225. Nationwide Insurance		None	J	T					
226. North State Bank	A	Interest	J	T					
227. Sunset Beach, NC Rental Prop. (Brunswick Co. NC)	D	Rent			Sold	08/28/12	N		
228. Wells Fargo Bank Account	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Humrickhouse, Stephani W.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII - Investments and Trusts

Line: 31: Fisher/Morgan Stanley Man. Inv. Acct. number changed in July 2012

Line 22: FMI Infrastructure Investors, LLC; Interest purchased in 2002 and erroneously omitted from previous reports. Purchased at J value.

CSCO stock listed on 2011 Financial Disclosure Report was purchased on 01/14/11 at value level J and sold on 04/27/11 at value level J. These transactions were erroneously omitted from the 2011 Report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Stephani W. Humrickhouse

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544