

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) McGarity, Margaret D.	2. Court or Organization US Bankruptcy Court	3. Date of Report 05/13/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 517 E. Wisconsin Ave. Suite #162 Milwaukee, WI 53202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

McGarity, Margaret D.

Date of Report

05/13/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	Book royalties - State Bar of Wisconsin	\$103.82
2. 2012	Book royalties - Matthew Bender & Co., Inc.	\$1,217.35
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	National Association of Bankruptcy Trustees (NABT) 2012 Spring Seminar	March 29 - 31, 2012	Las Vegas, NV	speaker	transportation, food, & lodging
2.	American Bankruptcy Institute (ABI) 19th Annual Central States Bankruptcy Workshop	June 7 - 10, 2012	Traverse City, Michigan	speaker	transportation, food, & lodging
3.	National Association of Chapter Thirteen Trustees (NACTT) 47th Annual Seminar	July 11 - 14, 2012	New Orleans, LA	speaker	transportation, food, & lodging

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

McGarity, Margaret D.

Date of Report

05/13/2013

4.	National Association of Bankruptcy Trustees (NABT) 2012 Annual Convention	September 5 - 9, 2012	Colorado Springs, CO	speaker	transportation, food, & lodging
5.	National Conference of Bankruptcy Judges (NCBJ) 86th Annual National Conference	October 24 - 27, 2012	San Diego, CATampa, Florida	speaker	transportation, food, & lodging
6.	2012 Mississippi Bankruptcy Conference	December 5 - 7, 2012	Jackson, MS	speaker	transportation, food, & lodging

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

McGarity, Margaret D.

Date of Report

05/13/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Bank of America - Mastercard	credit card	J
2.	Chase Manhattan Bank - VISA	credit card	J
3.	Sears Mastercard	credit card	J
4.	Discover Card	credit card	J
5.			

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

McGarity, Margaret D.

Date of Report

05/13/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1.	M&I (branch of BMO Harris Bank), Milwaukee, WI cash accounts	A	Interest	J	T				
2.	Rent from 3rd flr apmt in personal residence, Milwaukee, WI	D	Rent						
3.	This row is blank.								
4.	IRA Trust #001 UBS ----								
5.	--UBS Bank USA Deposit Account	A	Interest	J	T				
6.	--Central Fund of Canada - CEF	A	Dividend	M	T				
7.	--Central Fund of Canada - CEF				Sold (part)	05/11/12	K	D	
8.	--Central Fund of Canada - CEF				Sold (part)	07/02/12	J	C	
9.	--Central Fund of Canada - CEF				Sold (part)	07/10/12	J	C	
10.	--Central Fund of Canada - CEF				Sold (part)	07/19/12	K	D	
11.	--Central Fund of Canada - CEF				Sold (part)	08/07/12	K	D	
12.	--Central Fund of Canada - CEF				Sold (part)	08/29/12	K	D	
13.	--Central Fund of Canada - CEF				Buy (add'l)	09/14/12	M		
14.	--Central Fund of Canada - CEF				Buy (add'l)	09/18/12	J		
15.	--Central Fund of Canada - CEF				Sold (part)	09/24/12	K	D	
16.	--Central Fund of Canada - CEF				Sold (part)	11/06/12	J	C	
17.	--Tocqueville Gold Fund - TGLDX	A	Dividend		Redeemed	09/14/12	M	F	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

Name of Person Reporting

McGarity, Margaret D.

Date of Report

05/13/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. This row is blank.									
19. IRA Trust #003 - UBS---									
20. --UBS Bank USA Deposit Account	A	Interest	J	T	Open	11/07/12	J		
21. --UBS Pace Money Mkt, Investment Fund Class P, PCEXX	A	Interest	J	T					
22. --Blackrock U.S. Opportunites A, symbol BMEAX	A	Dividend	J	T					
23. --Blackrock Equity Dividend Fund Cl A, symbol MDDVX	A	Dividend	K	T					
24. --Thornburg Intl Growth Fund, class A, symbol TIGAX	A	Dividend	K	T					
25. --Pace Global Real Estate Securities, invstm fund A, PREAX	A	Dividend	J	T					
26. --Invesco Van Kampen Small Cap Value Fund Cls A, sym VSCAX	A	Dividend	J	T					
27. --Virtus Small-Cap Sustainable Growth Fund, Class A, PSGAX	A	Dividend	J	T					
28. --Loomis Sayles Investment Grade bond Fund, Class A, LIGRX	A	Dividend	K	T					
29. --Wells Fargo Advantage Short/Term High Yld Bond, A, SSTHX	A	Dividend			Redeemed	10/11/12	K	B	
30. --Virtus Market Neutral Fund, Class A, symbol EMNAX	A	Dividend			Redeemed	03/07/12	K	A	
31. --Wells Fargo Advantage Growth Class A, symbol SGRAX	A	Dividend	K	T					
32. --Blackrock High Yield Bond A, symbol BHYAX	A	Dividend	K	T	Buy	10/12/12	K		
33. --Arbitrage Fund No Load Class, symbol ARBFX	A	Dividend	K	T	Buy	03/08/12	K		
34. This row is blank.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

McGarity, Margaret D.

Date of Report

05/13/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Investment Account UBS---									
36. -- UBS Bank USA Deposit Account	A	Interest	J	T					
37. --Powershares High Yield Equity Dividend - symbol PEY	A	Dividend	J	T					
38. --Invesco (fka Morgan Stanley) Insured Muni, symbol IMT					Merged (with line 39)	10/16/12	J	A	
39. --Invesco Value Municipal Income Trust, symbol IIM	A	Dividend	J	T					
40. --Nuveen Premium Inc Muni Fund - symbol NPI	A	Dividend	J	T					
41. --Nuveen Muni Mkt Opportunity Fund - symbol NMO	A	Dividend	J	T					
42. --Nuveen Wis Muni Bond - symbol FWIAX	A	Dividend	J	T					
43. --Delaware Tax Free USA - symbol DMTFX	A	Dividend	J	T					
44. --Invesco (fka Morg.Stan.) Quality Municipal Securities -IQM	A	Dividend			Merged (with line 45)	10/16/12	J	A	
45. --Invesco quality Municipal Income Trust, symbol IQI	A	Dividend	J	T					
46. --Invesco Van Kampen Muni Income Fund, CI A, symbol VKMMX	A	Dividend	J	T					
47. --Blackrock Energy & RES TR symbol BGR	A	Dividend	J	T					
48. --Neuberger Berman Muni Fund - symbol NBH	A	Dividend	J	T					
49. This row is blank.									
50. Vanguard Wellington Fund, symbol VWELX	B	Int./Div.	K	T					
51. Vanguard Windsor Fund Admiral, symbol VWNEX	B	Int./Div.	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting	Date of Report
McGarity, Margaret D.	05/13/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Note regarding Part VII - Investments and Trusts:

There is no IRA Trust #002. That account was closed in 2008 and the proceeds transferred (i.e., rolled into) IRA Trust #001.

Line #2, Rent from apartment: no valuation for the apartment is stated because this rental unit is contained within the filer's personal residence and is not a separate building.

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

McGarity, Margaret D.

Date of Report

05/13/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Margaret D. McGarity**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544