

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Tomlinson, A. Kathleen	2. Court or Organization Eastern District of New York	3. Date of Report 05/14/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address Alphonse D'Amato US Courthouse 914 Federal Plaza Central Islip, NY 11722		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	American Express FSB Mortgage	Shared mortgage on family home, Livingston, NJ	K
2.	Citizens First Bank	Mortgage on Rental Property, The Villages, Florida (Pt. VII, line 18)	M
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Citibank Accounts	A	Interest	L	T					
2. IBM Stock	A	Dividend	K	T					
3. Hartford Select Dimensions Variable Annuity (H)									
4. -- Hartford Invesco VI Growth & Income Mutual Fund	B	Dividend	L	T					
5. Prudential Financial Life Ins. policy ("20 Pay Life")	A	Dividend	J	T					
6. TIAA/CREF Proprietary Fund (fixed rate acct) Account	A	Interest	J	T					
7. Allianz Vision New York Qualified IRA (H)									
8. -- AZL MVP growth Index Strategy Fund	D	Dividend	O	T					
9. Rental Prop: The Villages, FL (7/08 \$290,000)		None	N	R					
10. First Global Capital Corp. Money Market Tax-Exempt Fund Cap	B	Dividend	K	T					
11. 1st Global Individual TOD (H):									
12. American Amcap Fund Class F	A	Dividend	M	T	Sold (part)	10/25/17	K	D	
13. T Rowe Price Equity Income	A	Dividend	K	T	Sold (part)	10/25/17	J	B	
14. T Rowe Price NY Tax Free Bond	C	Interest	M	T					
15. ishares Tr S & P World Ex-US PPTY Index Fd 135	A	Dividend	J	T					
16. Scout International Fund	A	Dividend	L	T	Buy (add'l)	01/31/17	J		
17. DFA Commodity Strategy Port Instl	A	Dividend	J	T	Buy (add'l)	01/31/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. DFA Emerging Mrkts Core Eq Portf	A	Dividend	J	T	Buy (add'l)	01/31/17	J		
19. DFA US Large Cap Value	B	Dividend	L	T	Sold (part)	10/25/17	K	C	
20. Fidelity Govt Mmkt Capital Reserves	A	Dividend	J	T					
21.					Sold (part)	01/17/17	J		
22.					Sold (part)	02/01/17	K		
23.					Buy	03/30/17	J		
24.					Sold (part)	04/10/17	J		
25.					Buy	06/26/17	J		
26.					Sold (part)	07/12/17	J		
27.					Buy	09/29/17	J		
28.					Sold (part)	10/12/17	J		
29.					Buy	10/25/17	J		
30.					Sold (part)	10/25/17	J		
31.					Buy	10/26/17	K		
32.					Buy	10/27/17	K		
33.					Sold (part)	11/15/17	L		
34.					Buy	11/22/17	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35.					Buy	12/28/17	J		
36.									
37.									
37.	DFA Investment Grade Portfolio Instl	C	Dividend	M	T				
38.	DFA Commodity Strategy Port Instl	A	Dividend	K	T				
39.	DFA International Core Equity	C	Dividend	M	T				
40.	DFA Emerging Mkts Core Equity Portf	B	Dividend	L	T				
41.	DFA US Targeted Value Prtf Instl	A	Dividend	L	T				
42.	DFA Int'l Small Cap Value	B	Dividend	K	T				
43.	DFA US Large Cap Value Prtf Instl	B	Dividend	J	T				
44.	DFA Real Estate Sec Prtf Instl	A	Dividend	K	T				
45.	DFA US Small Cap Portfolio Instl CL	A	Dividend	K	T				
46.	DFA US Large Company Portfolio	B	Dividend	L	T				
47.	Fidelity Govt Mmkt Capital Reserves	A	Dividend	J	T				
48.					Sold (part)	01/12/17	J		
49.					Sold (part)	04/10/17	J		
50.					Sold (part)	07/12/17	J		
51.					Sold (part)	10/12/17	J		

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52. InPoint Commercial Real Estate Income, Inc. CL P	A	Dividend	M	T	Buy	11/17/17	L		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ A. Kathleen Tomlinson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544