

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) McAliley, Chris M.	2. Court or Organization U.S. Dist Court, S. Dist. FL	3. Date of Report 05/04/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Magistrate Judge - Full Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2017 to 12/31/2017
5b. ☐ Amended Report		
7. Chambers or Office Address C Clyde Atkins US Courthouse 301 North Miami Avenue 6th Floor Miami, Florida 33128		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Settlor & Trustee	Trust #1
2. Settlor & Trustee	Trust #2
3. Settlor	Trust #3
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☒ NONE *(No reportable agreements.)*

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

McAliley, Chris M.

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05/04/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

McAliley, Chris M.

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05/04/2018

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

McAliley, Chris M.

Date of Report

05/04/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. HARTFORD HEALTHCARE FUND CL I (TRUST #1)	A	Dividend	J	T					SEE SECTION VIII
2. PRIMECAP ODYSSEY AGGRESSIVE GROWTH FUND (TRUST #1)	A	Dividend	J	T					
3. FIDELITY LOW PRICE STOCK (TRUST #1)	A	Dividend	J	T					
4. VANGUARD DIVIDEND GROWTH (TRUST #1)	A	Dividend	J	T					
5. FIDELITY ADVISOR MEGA CAP STOCK (TRUST #1)	A	Dividend	J	T	Sold (part)	07/17/17	J	A	SEE SECTION VIII
6. PRIMECAP ODYSSEY STOCK (TRUST #1)	A	Dividend	J	T	Sold (part)	05/15/17	J	A	
7. VANGUARD SHORT TERM INVMT GRADE ADMIRAL (TRUST #1)	A	Dividend	J	T	Sold (part)	07/17/17	J	A	
8. FIDELITY ADVISOR INT'L GROWTH FUND (TRUST #1)	A	Dividend	J	T	Sold (part)	05/15/17	J	A	SEE SECTION VIII
9. FIDELITY INTERMEDIATE MUNI INCOME (TRUST #1)	A	Dividend			Sold	02/03/17	J	A	
10. ARTISAN HIGH INCOME FUND ADVISOR (TRUST #1)	A	Dividend	J	T	Sold (part)	07/17/17	J	A	SEE SECTION VIII
11. VANGUARD INTERMEDIATE TERM INVMT GR ADMIRAL (TRUST #1)	A	Dividend	K	T	Buy (add'l)	02/17/17	J		
12. VANGUARD INTERMEDIATE TERM INVMT GR ADMIRAL (TRUST #1)	A	Dividend	K	T	Buy (add'l)	09/06/17	J		
13. FIDELITY GOVERNMENT CASH RESERVES (TRUST #1)	A	Interest	J	T					
14. ISHARES NATIONAL MUNI BOND ETF (TRUST #1)	A	Dividend			Sold	09/05/17	J	A	
15.									
16. HARTFORD HEALTHCARE FUND CL I (TRUST #2)	A	Dividend	J	T	Sold (part)	06/07/17	J	A	SEE SECTION VIII
17. PRIMECAP ODYSSEY AGGRESSIVE GROWTH FUND (TRUST #2)	A	Dividend	J	T	Buy (add'l)	01/10/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$500,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. FIDELITY LOW PRICE STOCK (TRUST #2)	A	Dividend	J	T	Sold (part)	06/07/17	J	A	
19. VANGUARD DIVIDEND GROWTH (TRUST #2)	A	Dividend	J	T	Sold (part)	06/07/17	J	A	
20. FIDELITY ADVISOR MEGA CAP STOCK (TRUST #2)	A	Dividend	J	T	Sold (part)	08/17/17	J	A	SEE SECTION VIII
21. PRIMECAP ODYSSEY STOCK (TRUST #2)	A	Dividend	J	T	Sold (part)	02/13/17	J	A	
22. VANGUARD SHORT TERM INVMT GRADE ADMIRAL (TRUST #2)	A	Dividend	J	T	Sold (part)	06/07/17	J	A	
23. FIDELITY ADVISOR INT'L GROWTH FUND (TRUST #2)	A	Dividend	J	T	Sold (part)	06/07/17	J	A	SEE SECTION VIII
24. FIDELITY INTERMEDIATE MUNI INCOME (TRUST #2)	A	Dividend			Sold	02/06/17	J	A	
25. ARTISAN HIGH INCOME FUND ADVISOR (TRUST #2)	A	Dividend	J	T	Sold (part)	06/07/17	J	A	SEE SECTION VIII
26. VANGUARD INTERMEDIATE TERM INVMT GR ADMIRAL (TRUST #2)	A	Dividend	J	T	Buy (add'l)	02/07/17	J		
27. FIDELITY GOVERNMENT CASH RESERVES (TRUST #2)	A	Interest	J	T					
28. ISHARES NATIONAL MUNI BOND ETF (TRUST #2)	A	Dividend	J	T					
29.									
30. PRIMECAP ODYSSEY AGGRESSIVE GRWTH FD (IRA)	C	Dividend	M	T	Sold (part)	11/20/17	K	D	
31. FIDELITY LOW PRICE STOCK (IRA)	B	Dividend	M	T					
32. VANGUARD DIVIDEND GROWTH (IRA)	B	Dividend	M	T	Sold (part)	01/25/17	J	A	
33. FIDELITY ADVISOR MEGA CAP STOCK FUND (IRA)	C	Dividend	M	T					SEE SECTION VIII
34. PRIMECAP ODYSSEY STOCK FUND (IRA)	C	Dividend	L	T	Sold (part)	11/20/17	J	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 8

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35. VANGUARD SHORT TERM INVEST GRADE ADMIRAL (IRA)	A	Dividend	K	T					
36. FIDELITY ADVISOR INT'L GROWTH FUND (IRA)	A	Dividend	L	T	Sold (part)	04/04/17	K	C	SEE SECTION VIII
37. HARTFORD HEALTHCARE FUND CL F (IRA)	A	Dividend	K	T					SEE SECTION VIII
38. ARTISAN HIGH INCOME FUND ADVISOR (IRA)	B	Dividend	K	T					SEE SECTION VIII
39. VANGUARD INTERMEDIATE TERM INVMT GR ADMIRAL (IRA)	B	Dividend	K	T					
40. SEAFARER OVERSEAS GROWTH & INC (IRA)	B	Dividend	K	T	Buy	04/04/17	K		
41.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

McAliley, Chris M.

Date of Report

05/04/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

TRUST #3 REPORTED ON PART I, HAS NO REPORTABLE ASSETS.

ASSETS ON PART VII "INVESTMENTS AND TRUSTS" THAT WERE FULLY SOLD IN 2016 WERE REMOVED/DELETED FROM THE SCHEDULE.

THE FOLLOWING ARE ASSET CLASS CONVERSIONS UNDER (TRUST #1) ASSETS:

HARTFORD HEALTHCARE FUND CL A CONVERTED TO HARTFORD HEALTHCARE FUND CL I

FIDELITY MEGA CAP STOCK CONVERTED TO FIDELITY ADVISOR MEGA CAP STOCK

FIDELITY INT'L GROWTH FUND CONVERTED TO FIDELITY ADVISOR INT'L GROWTH FUND

ARTISAN HIGH INCOME FUND INVESTOR CONVERTED TO ARTISAN HIGH INCOME FUND ADVISOR

THE FOLLOWING ARE ASSET CLASS CONVERSIONS UNDER (TRUST #2) ASSETS:

HARTFORD HEALTHCARE FUND CL A CONVERTED TO HARTFORD HEALTHCARE FUND CL I

FIDELITY MEGA CAP STOCK CONVERTED TO FIDELITY ADVISOR MEGA CAP STOCK

FIDELITY INT'L GROWTH FUND CONVERTED TO FIDELITY ADVISOR INT'L GROWTH FUND

ARTISAN HIGH INCOME FUND INVESTOR CONVERTED TO ARTISAN HIGH INCOME FUND ADVISOR

THE FOLLOWING ARE ASSET CLASS CONVERSIONS UNDER (IRA) ASSETS:

HARTFORD HEALTHCARE FUND CONVERTED TO HARTFORD HEALTHCARE FUND CL F

FIDELITY MEGA CAP STOCK CONVERTED TO FIDELITY ADVISOR MEGA CAP STOCK

FIDELITY INT'L GROWTH FUND CONVERTED TO FIDELITY ADVISOR INT'L GROWTH FUND

ARTISAN HIGH INCOME FUND INVESTOR CONVERTED TO ARTISAN HIGH INCOME FUND ADVISOR

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

McAliley, Chris M.

Date of Report

05/04/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Chris M. McAliley**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544