

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) King, Leo L.	2. Court or Organization U.S. District Court, W.D. Ky.	3. Date of Report 09/29/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge, full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address United States District Court 501 Broadway Paducah, Ky, 42001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 21

Name of Person Reporting

King, Leo L.

Date of Report

09/29/2014

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2013

Sherman, Carter, Barnhart, PSC, Salary

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 21

Name of Person Reporting

King, Leo L.

Date of Report

09/29/2014

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 21

Name of Person Reporting

King, Leo L.

Date of Report

09/29/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Paducah Bank and Trust, Checking Account	A	Interest	J	T					
2. BROKERAGE ACCOUNT #1									
3. -Wells Fargo Money Market Account	A	Interest	M	T					
4. -AT&T Stock	C	Dividend	L	T					
5. -Abbot Laboratories Stock	A	Dividend	K	T					
6. -Abbvie Inc Stock	A	Dividend	K	T	Spinoff (from line 5)	01/04/13	J		
7. -Archer Daniels Midland Stock	A	Dividend	K	T					
8. -Bristol Myers Squibb Stock	C	Dividend	L	T					
9. -Cegene Corp Stock	A	Dividend	M	T					
10. -Chevron Corp Stock	C	Dividend	M	T					
11. -Comcast Corp Stock	A	Dividend	K	T					
12. -Corning Inc Stock	A	Dividend	K	T					
13. -Darden Restaurants Stock	B	Dividend	K	T					
14. -Disney Stock	B	Dividend	M	T					
15. -Exxon Stock	B	Dividend	L	T					
16. -GE Stock	C	Dividend	L	T					
17. -General Mills Stock	B	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 21

Name of Person Reporting

King, Leo L.

Date of Report

09/29/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Halliburton Co Stock	A	Dividend	K	T					
19. -Hasbro Inc Stock	B	Dividend	K	T					
20. -Intell Corp Stock	C	Dividend	M	T					
21. -IBM Stock	C	Dividend	M	T					
22. -McCormick & Co Inc Stock	B	Dividend	L	T					
23. -Merk & Co. Stock	C	Dividend	L	T					
24. -Microsoft Stock	C	Dividend	M	T					
25. -Oracle Corp Stock	A	Dividend	L	T					
26. -PNC Financial Services Stock	A	Dividend	K	T					
27. -Nuveen Invt Fds Inc Real Estate Secs Fund Cl A	A	Dividend	L	T	Buy	12/18/13	M		
28. -PIMCO All Asset Authority Fund Class C	C	Dividend	M	T					
29. -PIMCO FD PAC INVT MGMT, All Asst Fund Class C	C	Dividend	M	T					
30. -Principal Invs Fd Real Estate Secs Fd Cl A	A	Dividend	L		Buy	12/18/13	M		
31. -Principal Global Div Income Fd	C	Dividend	M	T					
32. -Proctor & Gamble Stock	C	Dividend	M	T					
33. -Southern Company Stock	B	Dividend	K	T					
34. -Vodafone Group Stock	A	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 21

Name of Person Reporting

King, Leo L.

Date of Report

09/29/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -Amer Exp Centurion Bk CD, Salt Lake City, UT	B	Interest	L	T					
36. -Louisville/Jefferson Cnty Ky Metro Gvt Pkg ath riv fr city	A	Interest	K	T					
37. -Paducah Ky Elec Plant Brd Rev Ser A B/E Assured GURA CPN	A	Interest			Redeemed	10/01/13	K		
38. -Shelby Cnty Ky sch dist fin corp sch bldg rev b/e	A	Interest	K	T					
39. -Sallie Mae Bank CD, Murray UT	A	Interest			Redeemed	04/02/13	K		
40. -First Trust Portfolios Dividend Income Trust Seires	B	Interest	K	T					
41. BROKERAGE ACCOUNT #2									
42. -Artisan Partners FDS Small Cap FDS	A	Dividend	J	T	Sold (part)	10/14/13	J	A	
43. -Dodge & Cox FDS, Intl Stk Fd	A	Dividend	K	T	Sold (part)	10/15/13	J	A	
44.					Buy (add'l)	12/27/13	J		
45. -First Eagle Funds Sogen Overseas Fund	A	Dividend	K	T	Buy (add'l)	10/14/13	J		
46.					Buy (add'l)	12/26/13	J		
47. -Goldman Sachs Tr, Finl Square Treas Instrs Fd	A	Dividend	J	T	Buy (add'l)	10/14/13	J		
48.					Buy (add'l)	10/15/13	J		
49. -Harbor Fund Cap, Appreciation Fd Instl Cl	A	Dividend	K	T	Sold (part)	10/14/13	J	A	
50.					Buy (add'l)	12/26/13	J		
51. -Harris Assoc Invt Tr Oakmark Equity and Income FD	A	Dividend	K	T	Buy (add'l)	10/14/13	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 7 of 21

Name of Person Reporting

King, Leo L.

Date of Report

09/29/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. -Janus Invt Fd, small cap value fd cl 1	A	Dividend	K	T	Sold (part)	10/14/13	J	A	
53.					Buy (add'l)	12/26/13	J		
54.					Buy (add'l)	10/26/13	J		
55. -Janus Invt Fd, flexible bd fd class 1	A	Dividend	K	T	Buy (add'l)	10/14/13	J		
56.					Buy (add'l)	12/26/13	J		
57. -Metropolitan West Funds total return bd fd cl a					Buy (add'l)	12/26/13	J		
58. -Davis New York Venture, Fund inc cl y	A	Dividend	K	T	Sold (part)	10/14/13	J	A	
59. -Wells Fargo FDS Emerging Mkts Equity	A	Dividend	K	T	Buy (add'l)	10/14/13	J		
60.					Buy (add'l)	12/26/13	J		
61. -PIMCO Fds Pac Invt, mgmt ser-commodity real return	A	Dividend	K	T	Buy (add'l)	10/14/13	J		
62.					Buy (add'l)	12/26/13	J		
63. -Pioneer Ser TR I Pioneer Oak Ridge Small Cap Growth Fund	A	Dividend	K	T	Sold (part)	10/14/13	J	A	
64.					Buy (add'l)	12/26/13	J		
65. -Dreyfus, Emerging Mkts Fd cl 1	A	Dividend	K	T	Buy (add'l)	10/14/13	J		
66.					Buy (add'l)	12/26/13	J		
67. RS Invt Tr, Value Fd cl y	A	Dividend	J	T	Sold (part)	10/14/13	J	A	
68. T Rowe Price Real Estate, Fund Inc.	A	Dividend	J	T	Buy (add'l)	12/26/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 21

Name of Person Reporting

King, Leo L.

Date of Report

09/29/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. -T Rowe Price Blue Chip, growth fd	A	Dividend	K	T	Sold (part)	10/11/13	J	A	
70.					Buy (add'l)	10/14/13	J		
71.					Buy (add'l)	12/26/13	J		
72. -Rowe T Price Mid-Cap, growth fd inc	A	Dividend	J	T	Sold (part)	10/14/13	J	A	
73.					Buy (add'l)	12/26/13	J		
74. IRA #1	A	Int./Div.	J	T					
75. -Europacific Growth Fd, cl A									
76. -Growth Fund America, Cl A									
77. -New Economy Fund SBI Cl A									
78. -Smallcap World Fd A									
79. -Wash Mutl Invs Fd Inc, Cl A									
80. IRA #2	C	Int./Div.	M	T					
81. -Artisan Partners FDS Small Cap FDS					Sold (part)	06/25/13	J	A	
82.					Sold (part)	10/14/13	J	A	
83. -Blair William Fds, Intl Growth Fd Cl 1					Sold (part)	06/25/13	J	A	
84.					Sold (part)	10/14/13	J	A	
85. -Delaware Group Adviser Fds Inc, US Growth					Buy (add'l)	06/26/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 21

Name of Person Reporting

King, Leo L.

Date of Report

09/29/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86.					Sold (part)	10/14/13	J	A	
87. -Highmark FDS Geneva Md Cap Growth					Sold	06/25/13	J	A	
88. -Eaton Vance Globa, Macor Absolute Return Fd					Sold	06/25/13	J	A	
89. -Goldman Sachs Tr, Finl Square Treas Instrs Fd					Buy (add'l)	06/26/13	J		
90.					Sold (part)	07/12/13	J	A	
91.					Sold (part)	10/11/13	J	A	
92.					Buy (add'l)	10/14/13	J		
93.					Buy (add'l)	10/15/13	J		
94. -John Hancock FDS II Global Absolute Return FD					Buy	06/26/13	J		
95. -Harris Assoc Invt Tr, Oakmark Intl Fd Cl 1					Sold (part)	06/25/13	J	A	
96.					Sold (part)	10/14/13	J	A	
97. -Highland Long/short Equity Fund Class Z					Sold	06/26/13	J	A	
98. -Pyxis Long/Short Equity Fund									
99. -Hotchkis & Wiley Fds, Mid Cap Value Fd Cl 1					Sold (part)	06/25/13	J	A	
100.					Sold (part)	10/14/13	J	A	
101. -Janus Invt Fd, Flexible Bd Fd Cl 1					Sold (part)	10/14/13	J	A	
102. -Mainstay FDS TR Marketfield Fd					Buy	06/25/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 21

Name of Person Reporting

King, Leo L.

Date of Report

09/29/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. -John Hancock Funds II, Currency Strategies Fd					Sold	06/25/13	J	A	
104. -JP Morgan TR I, US Large Cap Core Plus Fd					Buy (add'l)	06/26/13	J		
105.					Sold (part)	10/14/13	J	A	
106. -Neuberger Berman Alternative Fds Long Short Fd					Buy	06/25/13	J		
107. -Blackrock FDS Emerging MKT Long/Short Equity FD									
108. -Putnam Absolute Return					Sold (part)	06/26/13	J	A	
109. -Heartland Value Plus FD					Sold (part)	06/25/13	J	A	
110.					Sold (part)	10/14/13	J	A	
111. -Oppenheimer Dev Mkts, CL Y					Sold (part)	10/14/13	J	A	
112. -PIMCO Fds Pac Invnt, Mgmt ser total Return Fd									
113. -PIMCO Fds Pac Invnt, Mgmt ser-commodity Real Return					Buy (add'l)	06/26/13	J		
114. -Dreyfus, Emerging Mkts Fd, Cl I					Sold (part)	10/14/13	J	A	
115. -T Rowe Price Real Est									
116. -TCW Fds Inc Relative, Value Lrge Cap Fd Cl I					Buy (add'l)	10/14/13	J		
117. -Natixis Fds Tr II, Asg Mngd Futures Strategy Fd									
118.									
119.									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 21

Name of Person Reporting

King, Leo L.

Date of Report

09/29/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

120. TRUST #1	A	Int/Div.	L	T					
121. -Wells Fargo Money Mrkt Account					Buy	12/26/13	K		
122. -Bond Fund of America, CI A									
123. -Capital Income Bldr, CI A									
124. -Europacific Growth Fd, CI A									
125. -Growth Fund America, CI A									
126. -Investment Co America CL A									
127. TRUST #2	A	Int/Div.	L	T					
128. -Well Fargo Money Mrkt Account					Buy	12/26/13	K		
129. -Bond Fund of America, CI A									
130. -Capital Income Bldr, CI A									
131. -Europacific Growth Fd., CI A									
132. -Growth Fund America, CI A									
133. -Investment Co America, CI A									
134. 401(k) #1									
135. -American Funds Income Fnd R3	E	Dividend	M	T					
136. Royal Crown Bottling Corp (2011 Appraisal)	G	Distribution	P1	Q					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 21

Name of Person Reporting

King, Leo L.

Date of Report

09/29/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. RC Transportation Co. (2010 Appraisal)	G	Distribution	O	Q					
138. Rental Agricultural Property, Henderson County, Ky (\$48,111)	E	Rent	K	S					
139. POP Investments, LLC (Aggregate Ownership Arrangement)	E	Int./Div.	O	T					
140. -Axis Capital Holdings LTD Stock					Buy	10/04/13	K		
141. -Agilent Technologies Stock									
142. -Alliant Corp Stock					Buy	10/04/13	K		
143. -Amzn Stock									
144. -Apple Inc. Stock									
145. -Autozone Inc Stock					Buy	02/27/13	J		
146. -Atmel Corp Stock					Sold	10/04/13	K	A	
147. -Caterpillar Inc Stock									
148. -Celgene Corp Stock									
149. -Centurylink Inc Stock									
150. -Chevron Corp Stock									
151. -Clorox Co Stock									
152. -Coca Cola Co Stock									
153. -ConocoPhillips Stock									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 21

Name of Person Reporting

King, Leo L.

Date of Report

09/29/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -Deere & Co Stock									
155. -Du Pont E I De Nemours & Co Stock					Sold	10/04/13	K	D	
156. -Duke Energy Corp Stock									
157. -East West Bancorp Inc Stock									
158. -Exxon Mobil Corp Stock									
159. -FMC Technologies Inc. Stock					Buy	11/04/13	K		
160.					Buy (add'l)	11/05/13	J		
161. -F5 Networks Inc					Buy	10/04/13	K		
162. -Freeport-Memoran Copper and Gold Stock					Sold	02/27/13	K	A	
163. -General Electric Co Stock									
164. -Gilead Sciences Inc Stock									
165. -HDFC Bk Ltd Stock					Sold	10/04/13	J	D	
166. -Intel Corp Stock									
167. -IBM Stock									
168. -JP Morgan Chase & Co. Stock									
169. -Johnson & Johnson Stock									
170. -Joy Globl Inc. Stock					Sold	07/17/13	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 21

Name of Person Reporting

King, Leo L.

Date of Report

09/29/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. -Laboratory Corp Amer Hldgs Stock									
172. -McDonalds Corp Stock									
173. -Merk & Co. Inc Stock									
174. -National-Oilwell Inc. Stock									
175. -Novartis A G Stock									
176. -Occidental Pete Corp Stock									
177. -Oracle Corp Stock									
178. -PNC Financial Services Group Stock									
179. -Procter & Gambile Co									
180. -Qualcomm Inc Stock									
181. -Rockwood Holdings Inc Stock									
182. -SBA Communications Corp Stock					Buy	07/17/13	K		
183. -Schlumberger LTD Stock					Sold	11/04/13	K	D	
184. -Spectra Energy Corp									
185. -Tupperware Brands Corp. Stock									
186. -US Bankcorp Del Stock					Buy	05/14/13	J		
187.					Buy (add'l)	05/15/13	K		

1. Income Gain Codes: (See Columns B1 and D4)	A -\$1,000 or less F -\$50,001 - \$100,000	B -\$1,001 - \$2,500 G -\$100,001 - \$1,000,000	C -\$2,501 - \$5,000 H1 -\$1,000,001 - \$5,000,000	D -\$5,001 - \$15,000 H2 -More than \$5,000,000	E -\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J -\$15,000 or less N -\$250,001 - \$500,000 P3 -\$25,000,001 - \$50,000,000	K -\$15,001 - \$50,000 O -\$500,001 - \$1,000,000	L -\$50,001 - \$100,000 P1 -\$1,000,001 - \$5,000,000 P4 -More than \$5,000,000	M -\$100,001 - \$250,000 P2 -\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 21

Name of Person Reporting

King, Leo L.

Date of Report

09/29/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. -Vanguard Small Cap Value ETF									
189. -Virtus Insight Stock									
190. -HCP Inc. Stock									
191. -Washington Real Estate Inv't Tr					Sold (part)	05/14/13	J	C	
192.					Sold	05/15/13	J	C	
193. -Fifth Third Banksafe Trust Money Market Acct									
194. TRUST #3	F	Int./Div.	P1	T					
195. -Fifth Third Banksafe Trust Money Market Account									
196. -General Electric Capital Corp Bond					Redeemed	01/02/13	J	A	
197. -Ishares Barclays Tips Bond Fund									
198. -US Treasury Infl IX Bond					Sold (part)	11/15/13	M	C	
199. -Wells Fargo Cap XII Pfd Trups Bond					Redeemed	03/15/13	K	A	
200. -Marvell Technology Group Stock					Sold	11/08/13	J	A	
201. -Axis Capital Holdings Stock					Buy	10/04/13	K		
202. -Accenture PLC Class A Stock					Buy	08/14/13	K		
203. -AT&T Stock									
204. -Abbott Labs Stock									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 16 of 21

Name of Person Reporting

King, Leo L.

Date of Report

09/29/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. -Abbvie Inc Stock					Spinoff (from line 204)	01/04/13	J		
206. -Alliant Corp Stock					Buy	10/04/13	K		
207. -Amazon Comm Inc. Stock									
208. -Apple Inc Stock									
209. -Atmel Corp Stock									
210. -Autozone Inc Stock					Buy	02/27/13	K		
211. -CVS/Caremark Corp Stock									
212. -Caterpillar Inc Stock									
213. -Celgene Corp Stock									
214. -Centurylink Inc Stock									
215. -Chevron Corp Stock									
216. -Citigroup Inc Stock									
217. -Coca Cola Co Stock									
218. -Conoco Phillips Stock									
219. -Deere & Co Stock									
220. -Diamond Offshore Drilling Inc Stock					Sold	11/08/13	J	A	
221. -Du Pont I De Memours & Co Stock					Sold	10/14/13	K	D	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 17 of 21

Name of Person Reporting

King, Leo L.

Date of Report

09/29/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

222. -Ecolab Inc Stock									
223. -Exxon Mobil Corp Stock									
224. -Federated Kaufmann Sm Sm Cap A									
225. -Freeport-McMoran Copper & Gold Stock					Sold	02/27/13	K	A	
226. -Gilead Sciences Inc Stock									
227. -HDFC Bk Ltd Stock					Sold	10/04/13	K	D	
228. -Johnson & Johnson Stock									
229. -Laboratory Corp Amer Hldgs Stock									
230. -McDonalds Corp Stock									
231. -Medtronic Inc. Stock									
232. -Merk and Co Inc. Stock									
233. -Microsoft Corp Stock									
234. -Microchip Technology Inc Stock					Sold	08/14/13	K	C	
235. -National-Oilwell Inc Stock									
236. -Nextera Energy Inc Stock									
237. -Novartis A G Stock									
238. -Occidental Pete Corp Stock									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 18 of 21

Name of Person Reporting

King, Leo L.

Date of Report

09/29/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. -Oracle Corp Stock									
240. -Procter & Gamble Stock									
241. -Qualcomm Inc Stock									
242. -Target Corp									
243. -Templeton Global Inv't Fr Frontier Markets FD									
244. -Tupperware Brands Corp Stock									
245. -US Bancorp Del Stock					Buy	05/14/13	J		
246.					Buy (add'l)	05/15/13	J		
247. -Vanguard Dividend Appreciation ETF									
248. -Vanguard Small Cap Value									
249. -Verizon Communications Stock									
250. -Virtus Insight TR Emerging Mkts									
251. -Wisdomtree Dieefa H/Y Eq Fund									
252. -Goldman Sachs TR Satellite Strategies Port									
253. -PIMCO All Asset all Auth Fund									
254. -HCP Inc									
255. -Ing Intl Real Estate Cl									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 19 of 21

Name of Person Reporting

King, Leo L.

Date of Report

09/29/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. -SPDR Dow Jones Global Real Estate ETF									
257. -Schwab 1000 Index Fund									
258. -Walter Energy Inc Stock					Sold	04/10/13		A	
259. -SPDR Gold Trust					Sold	06/06/13		A	
260. -Washington Real Estate Invst Trust					Sold	05/10/13		C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 20 of 21

Name of Person Reporting

King, Leo L.

Date of Report

09/29/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 225 of my 2012 disclosure erroneously stated "Sold (part)". It should have stated "Sold". All ownership interest in Frontier Communications Corp Stock was sold on July 2, 2012, and is not listed in my 2013 disclosure.

Line 97: I inadvertently omitted Highland long/short equity fund from my 2012 disclosure. It was purchased on September 10 2012 (value code J), and additional shares purchased on December 17, 2012 (Value Code J).

Lines 258, 259 and 260 of this Amended Disclosure list 3 assets sold in 2013 that I neglected to include in my 2013 annual report.

FINANCIAL DISCLOSURE REPORT

Page 21 of 21

Name of Person Reporting

King, Leo L.

Date of Report

09/29/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Leo L. King**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544