

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Guzman, Ronald A.	2. Court or Organization Northern District of Illinois	3. Date of Report 06/04/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior Status Article III Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 219 S. Dearborn St. Chambers 1886 Chicago, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2017

State University Retirement PPD.

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.									
2. Franklin Federal Tax Free Inc. Fund (jt)	A	Interest	K	T					
3. Walgreen Co. Common Stock (jt)	A	Dividend	K	T					
4. American Capital Income Builder Mutual Stock Fund (jt) CAIBX	B	Dividend	K	T					
5. (MTIPX) Morgan Stanley Instl FD Portfol A	A	Dividend	J	T					
6. Invesco Comstock Class A (ACSTX) (sp) Exchange/merger	B	Dividend	J	T					
7. Invesco Corp Bond Fund Class A (ACCBX) (sp) Merger/Exchange	A	Dividend	J	T					
8. Invesco Mid Cap Grth Fnd Class A (sp) (VGRAX)	A	Dividend	J	T					
9. Invesco Equity and Inc Class A (sp) (ACEIX)	A	Dividend	J	T					
10. Invesco International Growth Fund Class A (sp) (AIEX)	A	Dividend	J	T					
11. Invesco Amer Value Class B (MSAVX) (sp) X	A	Dividend			Merged (with line 12)				
12. Invesco Amer Value Class A (MSAVX) sp	A	Dividend	J	T					
13. Fidelity Advisor Small Cap A (FSCDX)	A	Dividend	J	T					
14. Fidelity Advisor Mid Cap II A (FIHAX)	A	Dividend	J	T					
15. Fidelity Advisor High Inc Advt Class A (FAHDX)	A	Dividend	J	T					
16. Fidelity Advisor Intl Discovery A (FAIDX)	A	Dividend	J	T					
17. Fidelity Advisor Emerging Mkts Inc. A (FMKAX)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Fidelity Advisor New Insights A (FNIAX)	A	Dividend	J	T					
19. JP Morgan Liquid Asset Fund (QDERQ)	A	Int./Div.	J	T					
20. JPMorgan Chase Bank, N.A. Cash Accounts	A	Interest	N	T					
21. JP Morgan Chase Bank Probate Estate Cash Acct X	A	Interest	M	T					
22. CHARLES SCHWAB US REIT SCHH	A	Int./Div.	J	T	Buy	05/15/17	J		
23. ISHARES GOLD ETF SYMBOL: IAU	A	Int./Div.	J	T	Buy	05/15/17	J		
24. ISHARES HIGH YIELD CORPORAT BOND ETF SYMBOL: SHYG	A	Int./Div.	J	T	Buy	05/15/17	J		
25. SCHWAB EMERGING MARKETS EQUITY ETF SYMBOL: SCHE	A	Int./Div.	J	T	Buy	05/15/17	J		
26. SCHWAB FUNDA EMG MKTS LARGE COM ETF SYMBOL: FNDE	A	Int./Div.	J	T	Buy	05/15/17	J		
27. SCHWAB FUNDAMENTAL INL LARGE COM ETF SYMBOL: FNDF	A	Int./Div.	J	T	Buy	05/15/17	J		
28. SCHWAB FUNDAMENTAL INTL SMAL COM ETF SYMBOL: FNDC	A	Int./Div.	J	T	Buy	05/15/17	J		
29. SCHWAB FUNDAMENTAL US LARGE CO ETF SYMBOL: FNDX	A	Int./Div.	J	T	Buy	05/15/17	J		
30. SCHWAB FUNDAMENTAL US SMALL COM ETF SYMBOL: FNDA	A	Int./Div.	J	T	Buy	05/15/17	J		
31. SCHWAB INTERNATIONAL EQUITY ETF SYMBOL: SCHF	A	Int./Div.	J	T	Buy	05/15/17	J		
32. SCHWAB INTERNATNAL SMALL CAP EQY ETF SYMBOL: SCHC	A	Int./Div.	J	T	Buy	05/15/17	J		
33. SCHWAB US LARGE CAP ETF SYMBOL: SCHX	A	Int./Div.	J	T	Buy	05/15/17	J		
34. SCHWAB US SMALL CAP ETF SYMBOL: SCHA	A	Int./Div.	J	T	Buy	05/15/17	J		

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35. SCHWAB US TIPS ETF SYMBOL: SCHP	A	Int./Div.	J	T	Buy	05/15/17	J		
36. VANECK VECTORS J P MORG EMERG MKTS LOC CURRENCY BD ETF SYMBOL: EMLC	A	Int./Div.	J	T	Buy	05/15/17	J		
37. VANGUARD GLBAL EX US REAL ESTATE ETF SYMBOL: VNQI	A	Int./Div.	J	T	Buy	05/15/17	J		
38. VANGUARD MUNI BND TAXEXEMPT ETF SYMBOL: VTEB	A	Int./Div.	K	T	Buy	05/15/17	K		
39. VANGUARD TOTAL INTERNATIONAL BND ETF SYMBOL: BNDX	A	Int./Div.	J	T	Buy	05/15/17	J		
40. SCHWAB BANK SWEEPS ACCOUNT	A	Interest	K	T	Open	05/15/17	K		
41.									
42.									
43.									
44.									
45.									
46.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART VII

Line 11: Invesco Amer. Value Fund Class B: This stock was converted to Class A. I have been unable to find the exact date of the conversion but the value of the investment did not change.

Line 22: JP Morgan Bank Probdate Estate Checking Account is new. The funds are for solely for paying the expenses of the estate property until the same is sold.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Ronald A. Guzman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544