

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) McKeague, David W.	2. Court or Organization U.S. Court of Appeals - Sixth	3. Date of Report 05/12/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address U.S. Court of Appeals 315 W. Allegan Street Lansing, MI 48933		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Adjunct Professor	Michigan State University College of Law
2.	Board Member	Michigan State University College of Law
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	Michigan State University College of Law (approved teaching)	\$26,550.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	Michigan Health & Hospital Association - wages
2. 2013	Accident Fund Insurance Company - Director fees
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Sallie Mae Servcing Corporation	Student Loans	J
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Stonehedge LP	A	Dividend	K	W					
2. PNC	A	Interest	J	T					
3. Ohio National Univ. Life		None	K	T					
4. IRA 1	E	Dividend	O	T					
5. -Schwab US Treasury Money Fund									
6. -PIMCo Total Return					Buy (add'l)	02/14/13	K		
7.					Sold (part)	08/05/13	J	A	
8.					Sold (part)	10/28/13	K	A	
9. -DFA U.S. Small Cap					Sold (part)	08/05/13	J	C	
10. -Columbia Intl Value Z					Sold	01/08/13	K	A	
11. -T Rowe Price Mid Cap					Sold (part)	08/05/13	J	B	
12.					Buy (add'l)	12/18/13	J		
13. -Vanguard Index 500 Signal Shares					Sold (part)	08/06/13	J	C	
14. -Touchstone Sands Capital Inst. Growth									
15. -ICAP Select Equity					Sold	03/25/13	L	D	
16. -CRM Small Cap Value Instl									
17. -Stratton Small Cap Value									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. - Harbor International Instl					Buy (add'l)	03/25/13	J		
19.					Buy (add'l)	08/05/13	J		
20. - Credit Suisse Commodity Return Strategy					Sold	01/28/13	J	B	
21. - BlackRock Global Allocation C11									
22. - Loomis Sayles Strategic Income Y					Sold (part)	08/05/13	J	B	
23. - SPDR Gold Trust Shares					Buy (add'l)	08/05/13	J		
24. - Thornburg International Value					Buy (add'l)	01/28/13	J		
25.					Buy (add'l)	08/05/13	J		
26. - JP Morgan Strategic Income Opps Sel					Buy (add'l)	10/28/13	K		
27.					Buy (add'l)	12/18/13	J		
28. - PIMCO Unconstrained Bond Instl					Buy (add'l)	04/24/13	J		
29.					Buy (add'l)	12/18/13	J		
30. - Harding Loevner Emerging Markets					Buy (add'l)	03/25/13	J		
31.					Buy (add'l)	08/05/13	J		
32. - Wasatch 1st Source Long/Short					Buy (add'l)	02/14/13	J		
33. - JP Morgan High Yield Select					Sold (part)	03/25/13	K	B	
34.					Buy (add'l)	12/18/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. - Templeton Global Bond Adv					Buy (add'l)	02/14/13	J		
36.					Sold (part)	08/05/13	J	A	
37. - PIMCO Income Inst'l									
38. - MFS International Value I					Buy	01/08/13	K		
39.					Buy (add'l)	01/28/13	J		
40.					Buy (add'l)	08/05/13	J		
41. - Sterling Capital Equity Income Inst'l					Buy	03/25/13	K		
42. MI Health & Hospital Association - (401K)	A	Dividend	M	T					
43. Ohio National Univ. Life Policy		None	K	T					
44. IRA 2	D	Dividend	N	T					
45. -Schwab US Treasury Money Fund									
46. -PIMCo Total Return					Buy (add'l)	01/08/13	J		
47.					Sold (part)	10/28/13	K	A	
48. -Vanguard Index 500 Signal Shares					Buy (add'l)	01/09/13	J		
49.					Buy (add'l)	08/06/13	J		
50. - ICAP Select Equity					Sold	03/25/13	K	B	
51. - Touchstone Sands Capital Inst. Growth									

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52. - Credit Suisse Commodity Return Strategy					Sold	01/28/13	J	A	
53. - CRM Small Cap Value Instl					Sold (part)	08/05/13	J	A	
54. - SPDR Gold Trust Shares					Sold (part)	01/08/13	J	B	
55.					Buy (add'l)	12/18/13	J		
56. - T. Rowe Price Mid-Cap Growth					Sold (part)	08/05/13	J	A	
57. - JP Morgan Strategic Inc Opps Sel					Sold (part)	01/08/13	J	A	
58.					Buy (add'l)	10/28/13	K		
59. - PIMCO Unconstrained Bond Instl									
60. - Harding Loevner Emerging Markets					Buy	01/08/13	J		
61.					Buy (add'l)	08/05/13	J		
62. - Wasatch 1st Source Long/Short									
63. - Templeton Global Bond Adv					Sold (part)	08/05/13	J	A	
64. - Harbor Int'l Instl									
65. - JP Morgan High Yield Select									
66. - PIMCO Income Inst'l									
67. - MFS International Value I					Buy	01/28/13	J		
68. - Sterling Capital Equity Income Instl					Buy	03/25/13	K		

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69. - Thornburg International Value I					Buy	01/28/13	J		
70.					Buy (add'l)	12/18/13	J		
71. MI Health & Hosp. Assoc. deferred comp plan	A	Dividend	M	T					
72. IRA 4	C	Dividend	M	T					
73. - Schwab US Treasury Money Fund									
74. - PIMCo Total Return									
75. -Columbia Int'l. Value Z					Sold	01/08/13	J	A	
76. -Vanguard Index 500 Signal									
77. - Stratton Small Cap Value									
78. - JP Morgan Strategic Inc Opps Sel									
79. - PIMCO Unconstrained Bond Instl									
80. - Templeton Global Bond Adv									
81. - PIMCO Income Inst'l									
82. - MFS International Value I					Buy	01/08/13	J		
83. Schwab Individual Account	B	Dividend	K	T					
84. - Schwab US Treasury Money Fund		None	J	T					
85. - Columbia International Value Cl Z		None			Sold	01/08/13	J	A	

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86. - Thornburg International Value	A	Dividend	K	T					
87. - Harding Loevner Emerging Markets	A	Dividend	J	T	Buy	03/25/13	J		
88. - JP Morgan High Yield Select	A	Dividend	J	T	Sold (part)	03/25/13	J	A	
89. - Harbor International Instl	A	Dividend	J	T	Buy	03/25/13	J		
90. - MFS International Value I	A	Dividend	J	T	Buy	03/25/13	J		
91. MSU Federal Credit Union	A	Interest	J	T					
92. Mercantile Bank	A	Interest	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 21 - Last year's BlackRock Global Allocation transaction was a sell all, not partial. All other transaction items were coded correctly.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ David W. McKeague

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544