AO 10
Rev. 1/2018

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2017

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Thacker, Stephanie D.	U.S. Court of Appeals for the Fourth Circuit	5/11/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Active Circuit Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2017 **to** 12/31/2017

7. Chambers or Office Address

Robert C. Byrd United States Courthouse
300 Virginia Street, East
Room 7404
Charleston, West Virginia 25301

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Lecturer in Law	West Virginia University College of Law
2.	Senior Lecturing Fellow	Duke Law School
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	6/5 - 8/4	West Virginia University College of Law - Lecturer in Law	$4,000.00
2.	11/17/2017	Duke University - Appellate Practice Judge	$4,500.00
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Cornell University	October 26-29, 2017	Ithaca, New York	Cuccia Cup Moot Court Competition	Airfare, rental care, parking at airport & meals
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Thacker, Stephanie D.	5/11/2018

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. Blackrock Multi-Asset Income Fund BIICX	A	Dividend			Sold	03/07/17	M		
2. Janus Blanaced Fund - JBALX	A	Dividend			Sold	03/07/17	M	D	
3. Manning & Napier Pro Blend Cons Fund - MNCIX	A	Dividend			Sold	03/07/17	M		
4. Blackrock High-Yield Bond Fund - BHYIX	A	Dividend	K	T	Buy	03/07/17	J		
5. Cambiar International Equity Fund - CAMIX	A	Dividend	K	T	Buy	03/07/17	K		
6.					Buy	05/22/17	J		
7. Clearbridge Large Cap Growth Fund - SBLYX	A	Dividend	K	T	Buy	03/07/17	K		
8. Delaware Corporate Bond Fund - DGCIX	A	Dividend	K	T	Buy	03/07/17	K		
9. Edgewood Growth Fund - EGFIX		None	K	T	Buy	03/07/17	J		
10. Harding Loevner International Equity Fund - HLMIX	A	Dividend	K	T	Buy	03/07/17	K		
11. Hartford Schroders Emerging Markets Equity FUnd - SEMNX	A	Dividend	K	T	Buy	03/07/17	K		
12.					Sold (part)	05/22/17	J		
13. JP Morgan Value Advantage Fund - JVASX	A	Dividend	K	T	Buy	03/07/17	K		
14. Nuveen Small Cap Value Fund - FSCCX	A	Dividend	J	T	Buy	03/07/17	J		
15.					Sold (part)	05/22/17	J		
16. Pimco Foreign Bond Fund - PFBPX	A	Dividend	J	T	Buy	03/07/17	J		
17. Prudential Short Term Corporate Bond Fund - PIFZX	A	Dividend	J	T	Buy	03/07/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
18. Sound Shore Fund - SSHFX	A	Dividend	K	T	Buy	03/07/17	K		
19.					Sold (part)	05/22/17	J	A	
20. T Rowe Price US Treasury Interim Bond Fund - PRTIX	A	Dividend	K	T	Buy	03/07/17	K		
21. TCW Total Return Bond Fund - TGLMX	A	Dividend	K	T	Buy	03/07/17	K		
22. Wells Fargo Small Company Growth Fund - WSCGX		None	J	T	Buy	03/07/17	J		
23.					Sold (part)	05/22/17	J	A	
24. American Tax Exempt Bond - IFEBX	A	Dividend	J	T	Buy	06/14/17	J		
25. Hartford Dividend & Growth - HDGFX	A	Dividend	J	T	Buy	06/14/17	J		
26. Hartford International Opportunities Fund - IHOFX	A	Dividend	J	T	Buy	06/14/17	J		
27. Hartford Mid Cap - HMDFX	A	Dividend	J	T	Buy	06/14/17	J		
28. JP Morgan Emerging Markets Equity Fund - JEMWX	A	Dividend	J	T	Buy	06/14/17	J		
29. JP Morgan Equity Income - OPEJX	A	Dividend	J	T	Buy	06/14/17	J		
30. MFS Municipal Income Fund - MIMIX	A	Dividend	J	T	Buy	06/14/17	J		
31. Edward Jones Cash Amount	A	Dividend	J	T	Buy	06/13/17	J		
32.									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Thacker, Stephanie D.	5/11/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Thacker, Stephanie D.	5/11/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Stephanie D. Thacker**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544