

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) MOLLWAY, SUSAN O.	2. Court or Organization District of Hawaii	3. Date of Report 05/15/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge, Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address United States District Court 300 Ala Moana Boulevard, #C409 Honolulu, HI 96850-0409		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Director	American Judicature Society, Hawaii Chapter
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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MOLLWAY, SUSAN O.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Moot Court Board and the Cornell Law School	02/10/11-02/13/11	Ithaca, New York	Judge a moot court competition	Transportation, lodging, food
2. Yale Pacific Islander, Asian, and Native American Law Students Association	02/28/11-03/03/11	New Haven, Connecticut	Serve on "Female Voices of the Asian Judiciary" panel	Transportation, lodging, food
3. Dublin Department of Justice and Law Reform	11/09/11-11/20/11	Dublin, Ireland	Speak at judicial conference	Transportation, lodging, food
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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MOLLWAY, SUSAN O.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Hawaii State Federal Credit Union common stock	A	Interest	J	T					
2. Columbus Life Insurance	A	Interest	J	T					
3. Illinois College Savings Bonds	A	Interest	K	T					
4. U.S. Savings Bond Series EE	A	Interest	J	T					
5. EV Worldwide (IRA #1)	A	Dividend	J	T					
6. DWS Scudder Gold (IRA #1)	A	Dividend	J	T					
7. Blackrock Equity (IRA #1)	A	Dividend	J	T	Buy	10/25/11	J		
8. PIMCO Total Return (IRA #1)	A	Dividend	J	T	Buy	10/25/11	J		
9. FIA Card Services	A	Interest	J	T	Buy	03/28/11	J		
10.					Buy	06/29/11	J		
11.					Buy	10/03/11	J		
12.					Buy	12/29/11	J		
13. MFS Equity Portfolio (529)	A	Dividend			Sold	09/19/11	J	A	
14.					Sold	10/03/11	J	A	
15. Blackrock 75% Equity (529) A	A	Dividend			Sold	09/19/11	J	A	
16.					Sold	10/03/11	J	B	
17. Blackrock Equity Portfolio (529)	A	Dividend			Sold	09/19/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18.					Sold	10/03/11	J	A	
19. FR Temp (529)	A	Dividend			Sold	09/19/11	J	A	
20.					Sold	10/03/11	J	B	
21. Principal Port (529) (checking account) (sold=checks issued)	A	Dividend	K	T	Buy	09/19/11	J		
22.					Buy	10/03/11	K		
23.					Buy	10/11/11	J		
24.					Sold (part)	11/30/11	J		
25.					Sold (part)	12/20/11	K		
26.					Sold (part)	12/23/11	J		
27. Jennison Natural (Main IRA)	A	Dividend	J	T					
28. Oppenheimer Gold (Main IRS)	A	Dividend	J	T					
29. Blackrock Energy (Main IRA)	A	Dividend	J	T					
30. Blackrock Equity (Main IRA)	A	Dividend	K	T					
31. Blackrock Citibank (Main IRA)	A	Dividend	K	T					
32. Fifth Third Strategic (Main IRA)	A	Dividend	J	T					
33. First Eagle Gold (Main IRA)	A	Dividend	J	T					
34. MFS Utilities (Main IRA)	A	Dividend	K	T					

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35. PIMCO Unconstrained Bond (Main IRA)	A	Dividend	K	T					
36. PIMCO Total Return (Main IRA)	A	Dividend	K	T					
37. PIMCO Real Return (Main IRA)	A	Dividend	K	T					
38. Putnam Absolute Return (Main IRA)	A	Dividend	K	T					
39. Wisdomtree Global	A	Dividend	K	T					
40. Wisdomtree (Emerging)	A	Dividend	J	T					
41. Zacks Multiopp	A	Dividend	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ SUSAN O. MOLLWAY

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544