

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) McEwen, Catherine P.	2. Court or Organization Bankruptcy Court, M. Dist. Fla	3. Date of Report 05/29/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 801 N. Florida Avenue Suite 854 Tampa, Florida 33602-3899		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Advisory Board Member	Salesian Youth Center (charitable organization)
2. Director at Large Board Member	Hillsborough Association for Women Lawyers
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. June 2015	Western Michigan University Cooley Law School and I have orally agreed that I will teach one semester or more per year, subject to Eleventh Circuit approval.
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 5/2015	Western Michigan University Cooley Law School	\$4,125.00
2. 9/2015	Western Michigan University Cooley Law School	\$4,125.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. The Florida Bar	January 21-22, 2015	Orlando, FL	Business Law Section Mid-Year Meeting	Lodging, ground transportation, meals.
2. National Conference of Bankruptcy Judges	February 5-6, 2015	Dallas, TX	Future of the Conference Committee Meeting	Air fare, lodging, ground transportation, parking, meals.
3. American Bankruptcy Institute	April 16-18, 2015	Washington, DC	Annual Spring Meeting	Air fare, lodging, ground transportation, parking, meals.
4. The Florida Bar	June 24-26, 2015	Boca Raton, FL	Annual Meeting	Air fare, lodging, ground transportation, parking, meals.
5. Jacksonville Bar Ass'n	August 20-22, 2015	Jacksonville, FL	Annual Seminar	Lodging, meals.

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6.	The Florida Bar	September 4-7, 2015	Naples, FL	Business Law Section Retreat	Mileage, lodging, meals.
7.	National Conference of Bankruptcy Judges	September 26-30, 2015	Miami, FL	Annual Meeting	Air fare, lodging, ground transportation, parking, meals.
8.	Tampa Bay Bankruptcy Bar Association	January-June, September-December, 2015	Tampa, FL	Monthly meetings, monthly pizza luncheons, annual dinner, holiday party	Meals.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	American Bankruptcy Institute	Waived registration fees.	\$560.00
2.	The Florida Bar	Waived registration fees.	\$825.00
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Wachovia Bank DDA/Savings/MMA Accounts	A	Interest	M	T					
2. State Farm Bank Account	A	Interest	J	T					
3. State Farm Life Ins. Co. Var. Deferred Annuity (ROTH IRA)	A	Interest			Sold	03/14/15	J	A	
4. SCHWAB IRA (H) (5-21 BELOW)									
5. AGZD	A	Distribution			Sold	08/21/15	J	A	
6. BND	A	Distribution	J	T					
7. BOND	A	Distribution	J	T	Buy (add'l)	08/21/15	J		
8. DFJ	A	Distribution	J	T	Sold (part)	08/21/15	J	A	
9. DWX	A	Distribution			Sold	08/21/15	J	A	
10. ELD	A	Distribution	J	T	Sold (part)	08/21/15	J	A	
11. EMB	A	Distribution	J	T	Buy	08/21/15	J		
12. FCG	A	Distribution	J	T	Sold (part)	02/06/15	J	A	
13. IQDF	A	Distribution	J	T	Buy	08/21/15	J		
14. MOAT	A	Distribution	J	T	Buy	02/06/15	J		
15.					Buy (add'l)	08/21/15	J		
16. PHB	A	Distribution	J	T	Buy	08/21/15	J		
17. STPZ	A	Distribution	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. VB	A	Distribution	J	T					
19. VEA	A	Distribution	J	T					
20. VTI	A	Distribution	K	T	Buy (add'l)	08/21/15	J		
21. VWO	A	Distribution	J	T					
22. AMERIPRISE IRA (RIVERSOURCE) (H) (23-31) BELOW									
23. ALLBERN VPS INTL VAL	A	Dividend	K	T					
24. FID VIP CONTRAFD CL2	A	Dividend	K	T					
25. FID VIP MID CAP CL 2	A	Dividend	K	T					
26. COL VP DIVND OPP CL 3	A	Dividend	K	T					
27. COL VP MID CAP VAL CL 3	A	Dividend	K	T					
28. WANGER USA	A	Dividend	K	T					
29. WANGER INTERNATIONAL	A	Dividend	K	T					
30. FT VIP SMALL CAP VALUE	A	Dividend	K	T					
31. OPPENHEIMER GLBL STRATEGIC	A	Dividend	K	T					
32. BANK OF AMERICA CASH ACCOUNT (PARENTS) (X)	A	Interest	L	T					
33. AMERICAN FUNDS ROTH IRA (H)									
34. AF GROWTH PORTFOLIO CL A	A	Distribution	J	T	Buy	03/17/15	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII.

No. 57 in 2014 FDR was removed because the asset was distributed during 2014.

No. 32: I was added as a signer to parents' account. Although I am legally on the title, I am not a beneficial owner.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Catherine P. McEwen**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544