

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) McConnell, Jr, John J.	2. Court or Organization District of Rhode Island	3. Date of Report 11/05/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address United States District Court District of Rhode Island One Exchange Terrace Providence, RI 02903		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Officer and Board Member	Crossroads RI
2.	Member	Marthas Vineyard Colonial Inn LLC
3.	Board Member	Institute for the Study & Practice of NonViolence
4.	Board Member	Trinity Repertory Company
5.	Custodian	Alliance Capital 529 College Fund #2
6.	Trustee	Family Trust #1
7.	Trustee	Family Trust #2
8.	Board Member	Downtown Providence Parks Conservancy
9.	Chair, Selection Committee	Hassenfeld Family Foundation, RI Public Interest Service Fellowship

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1/30/2003	Ness Motley, P.A. (n/k/a MRRM, P.A.) Employment and Compensation Agreement (see Section VIII for further details)
2. 5/13/2011	Assignment of Certain Rights in MRRM, P.A. (see Section VIII for further details)

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3. 5/13/2011

Assignment of Membership Interests in Motely Rice LLC and Mutual Release (see Section VIII for further details)

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 12/31/2014	Deferred Compensation, MRRM PA	\$2,468,324.00
2. 12/31/2014	Rental Income, Charlestown, RI	\$86,300.00
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	American Express	Credit Card	K
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Brokerage Account #1 (H)									
2. --Wells Fargo Money Fund	A	Interest	K	T					
3. --Actavis		None	K	T	Buy	06/02/14	J		
4. --Actavis					Buy (add'l)	07/09/14	J		
5. --Altera Corp	B	Dividend	L	T					
6. --Apple Inc	A	Dividend	K	T	Buy (add'l)	01/10/14	J		
7. --Applied Materials Inc	A	Dividend	K	T	Buy	03/21/14	K		
8. --CBOE Holdings Inc	A	Dividend	K	T	Buy	03/21/14	K		
9. --Celgene Corp		None	J	T	Buy	08/19/14	J		
10. --CME Group	B	Dividend	K	T					
11. --EMC Corp Mass	A	Dividend	K	T					
12. --Fireeye Inc		None	J	T	Buy	09/09/14	J		
13. --Global X	A	Dividend	J	T	Buy	07/30/14	J		
14. --Express Scripts Hldg Co		None	K	T					
15. --Intel Corp	A	Dividend	K	T					
16. --Ishares Tr Russell 2000 Index Fd	E	Dividend	L	T	Sold (part)	07/30/14	L	E	
17. --Johnson & Johnson	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. --NCR		None	J	T	Buy	03/21/14	K		
19. --NXP Semiconductors		None	J	T	Buy	09/02/14	J		
20. --Powershare Golden Dragon	A	Dividend	J	T	Buy	07/30/14	J		
21. --Proshares Trust ETF Short 20+ Yr Treasury	A	Dividend			Sold	02/11/14	K		
22. --Qualcomm Inc	B	Dividend	L	T					
23. --SPDR Gold Trust	A	Dividend	J	T					
24. --SPDR S&P Biotech ET	A	Dividend	L	T	Buy (add'l)	03/21/14	K		
25. --SPDR S&P China	A	Dividend	J	T	Buy	07/30/14	J		
26. --SPDR S&P Midcap 400 Trust Series N	B	Dividend	M	T					
27. --SPDR S&P 500 Trust	E	Dividend	M	T	Sold (part)	07/30/14	K	D	
28. --SPDR Ser Tr S&P 600 Small Cap	B	Dividend	L	T					
29. --Stryker Corp	A	Dividend	K	T	Buy	03/21/14	K		
30. --Stryker Corp					Buy (add'l)	08/21/14	J		
31. --United Natural Foods Inc		None	K	T	Buy	07/30/14	J		
32. --Vanguard MSCI Emerging Markets	A	Dividend	K	T					
33. --Vanguard S&P 500	B	Dividend	L	T					
34. --Vanguard Total Stock Market	D	Dividend			Sold	02/11/14	K	D	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. --Vanguard Total World Stock Index Fund	E	Dividend			Sold	03/21/14	L	E	
36. --Walmart	A	Dividend	K	T	Buy	02/21/14	K		
37. --Whole Foods Market	A	Dividend	J	T	Buy	05/07/14	J		
38. --Zimmer Holdings	A	Dividend	K	T	Buy	02/03/14	J		
39. --Zimmer Holdings					Buy (add'l)	03/17/14	J		
40. --Xilinx Inc	B	Dividend	L	T					
41.									
42. Family Trust #1 (H)									
43. --Wells Fargo Money Fund	A	Interest	K	T					
44. --Altera Corp	B	Dividend	L	T					
45. --Actavis		None	J	T	Buy	06/02/14	J		
46. --Apple Inc	A	Dividend	K	T	Buy (add'l)	01/10/14	J		
47. --Applied Materials Inc	A	Dividend	K	T	Buy	03/21/14	K		
48. --CBOE Holdings Inc	A	Dividend	K	T	Buy	03/21/14	K		
49. --Celgene Corp		None	K	T	Buy	08/19/14	J		
50. --CME Group	B	Dividend	K	T					
51. --EMC Corp Mass	A	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. --Express Scripts Hldg Co		None	K	T					
53. --Fireeye Inc		None	J	T	Buy	09/09/14	J		
54. --GlobalX	A	Dividend	J	T	Buy	07/30/14	K		
55. --Intel Corp	A	Dividend	K	T					
56. --ISHRS Russell 2000 Index	E	Dividend	M	T	Sold (part)	07/30/14	K	E	
57. --Johnson & Johnson	A	Dividend	K	T					
58. --NCR		None	K	T	Buy	03/21/14	K		
59. --NXP Semiconductors		None	J	T	Buy	09/02/14	J		
60. --Powershares Golden Dragon	A	Dividend	J	T	Buy	07/30/14	K		
61. --Proshares Trust Short 20+ Yr Treasury	A	Dividend			Sold	02/11/14	K		
62. --Qualcomm Inc	D	Dividend	M	T	Sold (part)	09/11/14	J	D	
63. --SPDR Gold Trust	A	Dividend	J	T					
64. --SPDR S&P 500 Trust	D	Dividend	M	T	Sold (part)	03/21/14	J	C	
65. --SPDR S&P 500 Trust					Sold (part)	07/30/14	J		
66. --SPDR S&P Biotech	A	Dividend	L	T	Buy (add'l)	03/21/14	K		
67. --SPDR S&P China	A	Dividend	J	T	Buy	07/30/14	K		
68. --SPDR S&P Midcap 400	D	Dividend	L	T	Sold (part)	07/30/14	K	D	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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69. --SPDR Ser Tr S&P 600 Small Cap	B	Dividend	L	T					
70. --Stryker	A	Dividend	K	T	Buy	03/21/14	K		
71. --Stryker					Buy (add'l)	08/21/14	J		
72. --United Natural Foods Inc		None	K	T	Buy	07/30/14	J		
73. --Vanguard MSCI Emerging Markets	A	Dividend	K	T					
74. --Vanguard S&P 500 ETF	B	Dividend	L						
75. --Vanguard Total Stock Market ETF	D	Dividend			Sold	02/11/14	K	D	
76. --Vanguard Total World Stock Index Fund	D	Dividend			Sold	03/21/14	L	D	
77. --Walmart	A	Dividend	K	T	Buy	02/21/14	K		
78. --Whole Foods Market	A	Dividend	K	T	Buy	05/07/14	J		
79. --Zimmer Holdings	A	Dividend	K	T	Buy	02/03/14	J		
80. --Zimmer Holdings					Buy (add'l)	03/17/14	J		
81. --Xilinx Inc	B	Dividend	L	T					
82.									
83. Family Trust #2 (H)									
84. --Wells Fargo Money Fund	A	Interest	N	T					
85. --Actavis		None	L	T	Buy	06/02/14	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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86. --Activis					Buy (add'l)	07/09/14	K		
87. --Altera Corp	C	Dividend	M	T					
88. --Apple Inc	B	Dividend	M	T	Buy (add'l)	01/10/14	K		
89. --Applied Materials Inc	B	Dividend	L	T	Buy	03/21/14	L		
90. --CBOE Holdings Inc	A	Dividend	L	T	Buy	03/21/14	L		
91. --Celgene Corp		None	L	T	Buy	08/19/14	K		
92. --CME Group	D	Dividend	M	T					
93. --EMC Corp Mass	B	Dividend	M	T					
94. --Express Scripts Hldg Co		None	M	T					
95. --Fireeye Inc		None	K	T	Buy	09/09/14	K		
96. --Global X		None	K	T	Buy	07/30/14	K		
97. --Intel Corp	B	Dividend	L	T					
98. --ISHRS Russell 2000 Index	G	Dividend	M	T	Sold (part)	07/30/14	M	G	
99. --Johnson & Johnson	C	Dividend	M	T					
100. --NCR		None	L	T	Buy	03/21/14	L		
101. --NXP Semiconductors		None	L	T	Buy	09/02/14	K		
102. --Powershares Golden Dragon	A	Dividend	K	T	Buy	07/30/14	K		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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103. --Proshares Trust Short 20+ Yr Treasury	A	Dividend			Sold	02/11/14	L		
104. --Qualcomm Inc	D	Dividend	N	T					
105. --SPDR Gold Trust	A	Dividend	L	T					
106. --SPDR S&P Biotech	B	Dividend	M	T	Buy (add'l)	03/21/14	L		
107. --SPDR S&P China		None	K	T	Buy	07/30/14	K		
108. --SPDR S&P Midcap 400	F	Dividend	M	T	Sold (part)	07/30/14	M	F	
109. --SPDR S&P 500 Trust ETF	F	Dividend	N	T	Sold (part)	03/21/14	L	E	
110. --SPDR S&P 500 Trust EFT		Dividend			Sold (part)	07/30/14	L	E	
111. --SPDR Ser Tr S&P 600 Small Cap ETF	D	Dividend	M	T					
112. --Stryker	B	Dividend	M	T	Buy	03/21/14	L		
113. --Stryker					Buy (add'l)	08/21/14	K		
114. --United Natural Foods Inc		None	L	T	Buy	07/30/14	K		
115. --Vanguard Mid Cap ETF	A	Dividend	L	T					
116. --Vanguard MSCI Eafe EFT	B	Dividend	L	T					
117. --Vanguard S&P 500 ETF	C	Dividend	N	T					
118. --Vanguard Total Stock Market ETF	E	Dividend			Sold	02/11/14	M	E	
119. --Vanguard Total World ETF Stock Index Fd	F	Dividend			Sold	03/21/14	M	F	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. --Walmart	B	Dividend	M	T	Buy	02/21/14	L		
121. --Whole Foods Market	A	Dividend	L	T	Buy	05/07/14	K		
122. --Zimmer Holdings	A	Dividend	L	T	Buy	02/03/14	L		
123. --Zimmer Holdings					Buy (add'l)	03/17/14	K		
124. --Xilinx Inc.	D	Dividend	N	T					
125.									
126. IRA #1 (H)									
127. --Wells Fargo Cash	A	Interest	J	T					
128. --Actavis		None	J	T	Buy	06/02/14	J		
129. --Apple Inc	A	Dividend	J	T	Buy (add'l)	03/21/14	J		
130. --Applied Materials Inc	A	Dividend	J	T	Buy	03/21/14	J		
131. --CBOE Holdings Inc	A	Dividend	J	T	Buy	03/21/14	J		
132. --Celgene Corp		None	J	T	Buy	08/19/14	J		
133. --CME Group	A	Dividend	J	T					
134. --EMC Corp Mass	A	Dividend	J	T					
135. --ETFS Platinum Trust Shs Ben Int ETF		None	J	T					
136. --Express Scripts Hldg Co		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. --Fireeye Inc		None	J	T	Buy	09/09/14	J		
138. --Global X Funds ET		None	J	T	Buy	07/30/14	J		
139. --Intel Corp	A	Dividend	J	T					
140. --Ishares NYSE Composite Index	A	Dividend			Sold	02/21/14	J	A	
141. --Ishares Russell Microcap Index Fund	A	Dividend	J	T					
142. --Ishares TR MSCI Emerging Mkts Index Fd	A	Dividend	J	T					
143. --Ishares NASDAQ Biotech		None	J	T	Buy	09/30/14	J		
144. --Ishares US Broker Dealers	A	Dividend	J	T	Buy	09/30/14	J		
145. --Johnson & Johnson	A	Dividend	J	T					
146. --NCR		None	J	T	Buy	03/21/14	J		
147. --NXP Semiconductore		None	J	T	Buy	09/02/14	J		
148. --Potash Corp of Saskatchewan Inc	A	Dividend	J	T					
149. --Powershares Golden Dragon China	A	Dividend	J	T	Buy	07/30/14	J		
150. --Proshares Trust Short 20+ Treasury	A	Dividend			Sold	02/11/14	J		
151. --Guggenheim S&P 500 Equal Wghted Index Fd fka Rydex	A	Dividend	J	T					
152. --SPDR Gold Trust		None	J	T					
153. --SPDR S&P Biotech	A	Dividend	J	T	Buy (add'l)	03/21/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. --SPDR S&P China		None	J	T	Buy	07/29/14	J		
155. --SPDR Ser Tr S&P 600 Small Cap	A	Dividend	J	T					
156. --Stryker	A	Dividend	J	T	Buy	03/21/14	J		
157. --United Natural Foods		None	J	T	Buy	07/30/14	J		
158. --Vanguard Intl Eqty Index Gds GRSE All World Ex US	A	Dividend			Sold	02/11/14	J	A	
159. --Vanguard Mid Cap	A	Dividend	J	T					
160. --Vanguard MSCI ETF Emerging	A	Dividend	J	T					
161. --Vanguard Russell 2000 Growth	A	Dividend	J	T					
162. --Vanguard Russell 2000 Value	A	Dividend	J	T					
163. --Vanguard S&P 500	A	Dividend	J	T					
164. --Vanguard Total Stock Market ETF	B	Dividend			Sold	02/11/14	J	B	
165. --Walmart	A	Dividend	J	T	Buy	02/21/14	J		
166. --Whole Foods Market	A	Dividend	J	T	Buy	05/07/14	J		
167. --Zimmer Holdings	A	Dividend	J	T	Buy	02/03/14	J		
168.									
169. IRA #2 (H)									
170. --Wells Fargo Money Fund	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. --Activis		None	J	T	Buy	06/02/14	J		
172. --Apple Inc	A	Dividend	J	T	Buy (add'l)	03/21/14	J		
173. --Applied Materials	A	Dividend	J	T	Buy	03/21/14	J		
174. --CBOE Holdings Inc	A	Dividend	J	T	Buy	03/21/14	J		
175. --Celgene Corp		None	J	T	Buy	08/19/14	J		
176. --CME Group	A	Dividend	J	T					
177. --EMC Corp Mass	A	Dividend	J	T					
178. --Express Scripts Hldg Co		None	J	T					
179. --ETFS Platinum Trust Shs Ben Int ETF		None	J	T					
180. --Fireeye Inc		None	J	T	Buy	09/09/14	J		
181. --Global X		None	J	T	Buy	07/30/14	J		
182. --Intel Corp	A	Dividend	J	T					
183. --Ishares NASDAQ		None	J	T	Buy	09/30/14	J		
184. --Ishares NYSE Composite Index	A	Dividend			Sold	02/21/14	J	A	
185. --Ishares Russell Microcap Index Fund	A	Dividend	J	T					
186. --Ishares TR MSCI Emerging Mkts Index Fd	A	Dividend	J	T					
187. --Ishares US Brokers Dealers	A	Dividend	J	T	Buy	09/30/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. --Johnson & Johnson	A	Dividend	J	T					
189. --NCR		None	J	T	Buy	03/21/14	J		
190. --NXP Semiconductors		None	J	T	Buy	09/02/14	J		
191. --Potash Corp of Saskatchewan Inc	A	Dividend	J	T					
192. --Proshares Trust Short 20+ Yr Treasury	A	Dividend			Sold	02/11/14	J		
193. --Guggenheim S&P 500 Equal Wgtd Index Fd fka Rydex	A	Dividend	J	T					
194. --SPDR S&P Biotech	A	Dividend	J	T	Buy (add'l)	03/21/14	J		
195. --SPDR S&P China		None	J	T	Buy	07/29/14	J		
196. --SPDR Gold Trust		None	J	T					
197. --SPDR Ser Tr S&P 600 Small Cap	A	Dividend	J	T					
198. --Stryker Corp	A	Dividend	J	T	Buy	03/21/14	J		
199. --United Natural Foods		None	J	T	Buy	07/30/14	J		
200. --Vanguard Intl Eqty Index Gds GRSE All World Ex US	A	Dividend			Sold	02/11/14	J	A	
201. --Vanguard Mid Cap	A	Dividend	J	T					
202. --Vanguard MSCI EAFE	A	Dividend	J	T					
203. --Vanguard Russell 2000 Growth	A	Dividend	J	T					
204. --Vanguard Russell 2000 Value	A	Dividend	J	T					

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q =Appraisal	R ~Cost (Real Estate Only)	S =Assessment	T ~Cash Market	
(See Column C2)	U ~Book Value	V ~Other	W ~Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. --Vanguard S&P 500	A	Dividend	J	T					
206. --Vanguard Total Stock Market ETF	B	Dividend			Sold	02/11/14	J	B	
207. --Walmart	A	Dividend	J	T	Buy	02/21/14	J		
208. --Whole Foods Market	A	Dividend	J	T	Buy	05/07/14	J		
209. --Zimmer Holdings	A	Dividend	J	T	Buy	02/03/14	J		
210.									
211. IRA #3 (H)									
212. --Wells Fargo Money Fund	A	Interest	M	T					
213. --Actavis		None	K	T	Buy	06/02/14	J		
214. --Actavis					Buy (add'l)	07/09/14	J		
215. --Altera Corp	A	Dividend	K	T					
216. --Apple Inc	B	Dividend	L	T					
217. --Applied Materials	A	Dividend	K	T	Buy	03/21/14	K		
218. --CBOE Holdings Corp	A	Dividend	K	T	Buy	03/21/14	K		
219. --Celgene Corp		None	K	T	Buy	08/19/14	K		
220. --CME Group	B	Dividend	K	T	Buy (add'l)	03/21/14	J		
221. --EMC Corp Mass	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

222. --ETFS Platinum Trust Shs Ben Int ETF		None	K	T					
223. --Express Scripts Hldg Co		None	K	T	Buy (add'l)	03/21/14	J		
224. --Fireeye Inc		None	J	T	Buy	09/09/14	K		
225. --Global X		None	J	T	Buy	07/30/14	K		
226. --Intel Corp	A	Dividend	K	T					
227. --Ishares NYSE Composite Index	D	Dividend			Sold	02/21/14	L	D	
228. --Ishares Russell Microcap Index Fund	E	Dividend			Sold	07/30/14	L	E	
229. --Ishares TR MSCI Emerging Mkts Index Fd	B	Dividend	L	T					
230. --Johnson & Johnson	A	Dividend	K	T					
231. --NCR		None	K	T	Buy	03/21/14	K		
232. --NXP Semiconductors		None	K	T	Buy	09/02/14	K		
233. --Potash Corp of Saskatchewan Inc	A	Dividend	K	T					
234. --Powershares Golden Dragon	A	Dividend	J	T	Buy	07/30/14	K		
235. --Proshares Trust Short 20+ Yr Treasury		None			Sold	02/11/14	K		
236. --Guggenheim S&P 500 Equal Wghtd Index Fd fka Rydex	B	Dividend	M	T					
237. --Qualcomm Inc	A	Dividend	L	T					
238. --SPDR Gold Trust		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. --SPDR S&P Biotech	A	Dividend	L	T	Buy (add'l)	03/21/14	K		
240. --SPDR S&P China		None	K	T	Buy	07/29/14	K		
241. --SPDR S&P China					Buy (add'l)	07/30/14	J		
242. --SPDR Ser Tr S&P 600 Small Cap	E	Dividend	L	T	Sold (part)	07/30/14	K	D	
243. --Stryker Corp	A	Dividend	K	T	Buy	03/21/14	K		
244. --Stryker Corp					Buy (add'l)	08/21/14	J		
245. --United Natural Foods		None	K	T	Buy	07/30/14	K		
246. --Vanguard Intl Eqty Index Gds GRSE All World Ex US	A	Dividend			Sold	02/11/14	K	A	
247. --Vanguard Mid Cap	D	Dividend	L	T	Sold (part)	07/30/14	K	D	
248. --Vanguard MSCI EAFE	A	Dividend	K	T					
249. --Vanguard Russell 2000 Growth	A	Dividend	M	T					
250. --Vanguard Russell 2000 Value	B	Dividend	L	T					
251. --Vanguard S&P 500	B	Dividend	M	T					
252. --Vanguard Total Stock Market ETF	E	Dividend			Sold	02/11/14	L	E	
253. --Walmart	A	Dividend	K	T	Buy	02/21/14	K		
254. --Whole Foods Market	A	Dividend	K	T	Buy	05/07/14	J		
255. --Zimmer Holdings	A	Dividend	K	T	Buy	02/03/14	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. --Zimmer Holdings					Buy (add'l)	03/17/14	J		
257. --Xilinx Inc	A	Dividend	K	T					
258.									
259. Bank RI Accounts #1	A	Interest	J	T					
260. Bank RI Accounts #2	A	Interest	K	T					
261. Bank RI Accounts #3	A	Interest	J	T					
262. Navigant Credit Union	A	Interest	J	T					
263.									
264. Alliance Capital 529 College Fund #2 (H)									
265. --CBF Portfolio 1996-98 ALT RA	A	Int./Div.	K	T					
266.									
267. Catalist LLC	A	Interest	K	U					
268. DSF Capital Partners III LP	G	Distribution	N	U					
269. DSF Capital Partners IV LP	E	Dividend	O	U					
270.									
271. MetLife Universal Life		None	M	T					
272. Sun Life Universal Life #1		None	O	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273. Sun Life Universal Life #2		None	O	T					
274. Sun Life Universal Life #3		None	O	T					
275.									
276. U.S. Savings Bonds	A	Interest	J	T					
277.									
278. Martha's Vineyard Colonial Inn LLC	D	Interest	P1	U					
279.									
280. Charlestown, Rhode Island, Rental Property (X)	F	Rent	P1	W					
281.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part II Agreements

A) Ness Motley, P.A. Employment and Compensation Agreement, dated January 30, 2003 by and between Ness Motley, P.A. (f/k/a Ness, Motley, Loadholt, Richardson & Poole, Professional Association) and its attorney signatories, setting forth the disbursement of net income received by the firm as compensation for services previously rendered.

B) Assignment of Certain Rights in MRRM, P.A., dated May 13, 2011 by and between MRRM, PA and John J. McConnell, Jr. setting forth the assignment of and payment for Other Rights not covered under the January 30, 2003 agreement above.

C) Assignment of Membership Interests in Motley Rice LLC and Mutual Release, dated May 13, 2011 by and between John J. McConnell, Jr and Motley Rice LLC setting forth the assignment of and payment for membership interests and mutual release of certain liabilities upon resignation of employment by John J. McConnell, Jr.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **John J. McConnell, Jr**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544