

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Riley, William J.	2. Court or Organization Eighth Circuit Court of Appeals	3. Date of Report 10/30/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2012 to 12/31/2012
5b. ☒ Amended Report		
7. Chambers or Office Address Roman Hruska U.S. Courthouse 111 South 18th Plaza, #4303 Omaha, NE 68102-1322		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member & Manager	Riley Quest, L.L.C.
2. Adjunct Professor	University of Nebraska, College of Law
3. Delegate to House of Delegates	Nebraska State Bar Association since 1998
4. Treasurer, Nebraska Chapter	American Board of Trial Advocates
5. Counselor/Judicial Member	Robert M. Spire Inns of Court
6. Trustee, Board of Trustees	MidAmerica Council, Boy Scouts of America

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 04/20/12	University of Nebraska-Lincoln, College of Law, Adjunct Faculty, Spring 2013
2. 04/01/75	Profit Sharing and 401(k) Retirement Plan, Fitzgerald, Schorr, Barmettler & Brennan, P.C., L.L.O., former law firm, no control after termination 8/15/2001
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. Spring 2012	University of Nebraska, College of Law	\$7,700.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Minnesota CLE	03/12/12	Minneapolis, MN	Speak at Appellate Practice Institute	Airfare, taxi & airport parking
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Reduced Membership	American Board of Trial Advocates	\$450.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. First National Bank of Omaha Accounts	A	Interest	K	T					
2. Mass Mutual Life Insurance - Cash Values		None	L	T					
3. Riley Quest, L.L.C.	A	Dividend	O	W					
4. Marasico Growth Fund	A	Dividend	J	T					
5. IRA #1	A	Dividend	M	T					
6. -Janus Growth & Income									
7. -Weitz Value Fund									
8. -Marsico Focus Fund									
9. IRA - #2	A	Dividend	L	T					
10. -American Century Income & Growth Fund									
11. -Marsico Growth & Income Fund									
12. -American Century Equity Income									
13. IRA - #3	A	Dividend			Merged (with line 5)		J		See Section VIII
14. -Janus Fund	A	Dividend			Merged (with line 5)		J		See Section VIII
15. -Janus Twenty Fund	A	Dividend			Merged (with line 5)		J		See Section VIII
16. RBC Dain Rauscher 403(b) Account	A	Div. & Int.	K	T					
17. -Evergreen Gro. - A Fund					Sold		J	A	See Section VIII

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -Fidelity Adv. Grow & Inc.-T					Sold		J		See Section VIII
19. -Fidelity Adv. Divers Intl.-T					Sold		J		See Section VIII
20. -Fidelity Adv. MidCap II CL-T					Sold		J	A	See Section VIII
21. -Fidelity Adv. Val Leaders-T					Sold		J		See Section VIII
22. -Fidelity Adv. LVGD Co. S&K CL-T					Sold		J	A	See Section VIII
23. RBC Bank Deposit Program	A	Interest	J	T	Buy		J		See Section VIII
24. -Davis New York Venture Fund	A	Dividend	J	T	Buy		J		See Section VIII
25. -Eagle Ser			J	T	Buy		J		See Section VIII
26. -Growth Fund Amer Inc			J	T	Buy		J		See Section VIII
27. -Pioneer Ser TR I	A	Dividend	J	T	Buy		J		See Section VIII
28. -Thornburg Invt Tr	A	Dividend	J	T	Buy		J		See Section VIII
29. -Wells Fargo Advantage FDS			J	T	Buy		K		See Section VIII
30. First National Bank of Omaha - Profit Sharing & 401(k) FSB&B	A	Div. & Int.	O	T					
31. -Goldman Sachs -U.S. Government Money Market Fund					Distributed (part)	12/31/12	N	A	
32. -Berkshire Hathaway, Inc. Class A Common									
33. -Marsico Focus Fund					Sold	12/03/12	L	E	
34. -Marsico Growth Fund					Sold	12/03/12	L	E	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -Oakmark Equity & Income I Fund					Sold	12/03/12	K	D	
36. -T Rowe Price Equity Income Fund					Sold	12/03/12	L	E	
37. RBC Account X	D	Int./Div.	M	T					
38. -RBC Account			J	T	Sold (part)	09/06/12	M	A	
39. -Davis New York Venture Fund	A	Dividend	K	T	Sold (part)	01/24/12	J	A	
40. -Davis New York Venture Fund					Sold (part)	08/27/12	J	A	
41. -Templeton Foreign FD CL A	A	Dividend	K	T	Sold (part)	08/17/12	J	A	
42. -Templeton Foreign FD CL A			K	T	Sold (part)	11/19/12	J	A	
43. -Omaha Pub Pwr Dist Neb Elec					Sold	08/27/12	L	C	
44. -Thornburg Int'l Value X			K	T	Sold (part)	08/27/12	J	A	
45. -Sarpy County Nebraska Recovery Zone X	B	Interest	K	T					
46. -Omaha Public Power District Nebraska X	B	Interest	L	T					
47. -Prime Money Market X-RBC	A	Interest	J						
48. -Ashton Funds Montag & Caldwell Growth X	A	Dividend	J						
49. Davis New York Venture Fund	A	Dividend	J	T					
50. Thornburg Intl Value Fund	A	Dividend	J	T					
51. Templeton Foreign FD CL A	A	Dividend	J	T					

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52. -Public Power Generation Agency Nebraska X	C	Interest	L						

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

IRA #3 on lines 13, 14 & 15 was merged with IRA #1 on line 5 sometime before 2012, and I do not know when with any accuracy.

Lines 17 to 22 previously overlooked -- sold approximately 05/10/11.

Lines 23 to 28 previously overlooked -- bought approximately 05/10/11 or 05/24/11.

Line 29 previously overlooked -- bought approximately 11/21/11.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ William J. Riley**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544