

FINANCIAL DISCLOSURE REPORT INITIAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) DANCKS, THERESE W.	2. Court or Organization NDNY DISTRICT COURT-SYRACUSE	3. Date of Report 05/09/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) MAGISTRATE JUDGE (FT)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☒ Initial ☐ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 04/30/2012
7. Chambers or Office Address 100 S. CLINTON STREET SYRACUSE, NY 13261		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the <i>NONE</i> box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	PARTNER	EUREKA!, LLC
2.	PARTNER	GALE & DANCKS, LLC
3.	SECRETARY	ST. ELIZABETH COLLEGE OF NURSING BOARD OF DIRECTORS
4.	TRUSTEE	GALE & DANCKS, LLC 401(K) PROFIT SHARING PLAN
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	2012	GALE & DANCKS, LLC; RETURN OF CAPITAL
2.	2012	EUREKA!, LLC; MEMBERSHIP INTEREST BUY OUT AGREEMENT
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2010	GALE & DANCKS, LLC; SELF-EMPLOYED	\$258,671.00
2. 2011	GALE & DANCKS, LLC; SELF-EMPLOYED	\$347,207.00
3. 2012	GALE & DANCKS, LLC; COUNSEL	\$13,500.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. EXEMPT				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	EXEMPT		
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	BMW FINANCIAL SERVICES	VEHICLE LEASE	K
2.	AMERICAN EXPRESS	CREDIT CARD	J
3.	CAPITAL ONE	CREDIT CARD	J
4.	AMERICAN EXPRESS	CREDIT CARD	J
5.	VISA CABELA'S CLUB	CREDIT CARD	J

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. EUREKA!, LLC FAYETTEVILLE, NY	D	Rent			Exempt				
2. EUREKA!, LLC; PERSONAL NOTE	C	Interest	L	T					
3. KRAUSHAAR;PERSONAL NOTE	D	Interest	K	T					
4. KEY BANK ACCOUNT	A	Interest	L	T					
5. ONEDA SAVINGS ACCOUNT 1	A	Interest	M	T					
6. ONEIDA SAVINGS ACCOUNT 2	A	Interest	K	T					
7. GALE & DANCKS, LLC 401(K) PROFIT SHARING PLAN;									
8. -VANGUARD U.S. GROWTH FUND INVESTOR SHARES		None							
9. -VANGUARD PRIME MONEY MARKET FUND	A	Dividend	M	T					
10. -VANGUARD INTERMEDIATE TERM INV. GRADE FUND INVESTOR SH.	C	Dividend							
11. -VANGUARD CONVERTIBLE SECURITIES FUND	C	Dividend	L	T					
12. -VANGUARD GROWTH & INCOME FUND INVESTOR SH.	A	Dividend	L	T					
13. -VANGUARD BALANCED INDEX FUND ADMIRAL SH.		None	M	T					
14. -VANGUARD VALUE INDEX FUND ADMIRAL SH.	B	Dividend	L	T					
15. -VANGUARD U.S. GROWTH FUND ADMIRAL SH.	A	Dividend	M	T					
16. -VANGUARD HY CORPORATE FUND ADMIRAL SH.	D	Dividend	L	T					
17. -VANGUARD INTERMEDIATE TERM TREASURY FUND ADMIRAL SH.	B	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.001 - \$500,000.000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -VANGUARD 500 INDEX FUND ADMIRAL SH.	C	Dividend	M	T					
19. -VANGUARD ENERGY FUND ADMIRAL SH.	B	Dividend	M	T					
20. -VANGUARD INTERMEDIATE TERM INV. GRADE FUND ADMIRAL SH.	A	Dividend	L	T					
21. -VANGUARD PACIFIC STOCK INDEX FUND ADMIRAL SH.	B	Dividend	L	T					
22. -VANGUARD ASSET ALLOCATION FUND ADMIRAL SH.	B	Dividend							
23. -VANGUARD EUROPEAN STOCK INDEX FUND ADMIRAL SH.	C	Dividend	L	T					
24. -VANGUARD TOTAL BOND MARKET INDEX FUND ADMIRAL SH.	C	Dividend	M	T					
25. -VANGUARD TOTAL STOCK MARKET INDEX FUND ADMIRAL SH.	B	Dividend	M	T					
26. -VANGUARD REIT INDEX FUND ADMIRAL SH.	C	Dividend	L	T					
27. -VANGUARD EMERGING MARKETS STOCK INDEX FUND ADMIRAL SH.	B	Dividend	L	T					
28. -VANGUARD MID-CAP INDEX FUND ADMIRAL SH.	B	Dividend	M	T					
29. U.S. SAVINGS BONDS	D	Interest	J	T					
30. FIDELITY IRA									
31. -FIDELITY CAPITAL & INCOME	C	Dividend	K	T					
32. -FIDELITY GROWTH STRATEGIES FUND		None	K	T					
33. -FIDELITY CASH RESERVES		None	J	T					
34. RBC INVESTMENTS									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -ROCHESTER FUNDS MUNICIPALS INC-CL C	A	Interest	J	T					
36. -PRIME MONEY MARKET FUND RBC INVESTOR CLASS		None	J	T					
37. -GENERAL ELECTRIC CO	A	Dividend	K	T					
38. -HEWLETT PACKARD CO	A	Dividend	J	T					
39. -ORACLE CORP	A	Dividend	J	T					
40. -QUALCOMM INC.		None	J	T					
41. -PETROLEO BRASILEIRO SA PETROBRAS SPONSORED ADR	A	Dividend	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature s/ Therese Wiley Dancks

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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