

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) CASELLAS, SALVADOR E.	2. Court or Organization U.S.D.C. Puerto Rico	3. Date of Report 05/01/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. SENIOR DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address U.S. COURTHOUSE 300 RECINTO SUR - SUITE 342 OLD SAN JUAN, PUERTO RICO 00901		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2. DIRECTOR	ANGEL RAMOS FOUNDATION (NON-PROFIT INSTITUTION)
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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Name of Person Reporting

CASELLAS, SALVADOR E.

Date of Report

05/01/2014

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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Name of Person Reporting

CASELLAS, SALVADOR E.

Date of Report

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	UBS	MARGIN LOAN	P1
2.	Santander Securities	MARGIN LOAN	P1
3.			
4.			
5.			

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Name of Person Reporting

CASELLAS, SALVADOR E.

Date of Report

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Code	(2) Type of Income	(1) Code	(2) Code	(1) Type of Transaction	(2) Date	(3) Code	(4) Code	(5) Description of Transaction
1. Account at Banco Santander	A	Interest	J	T					
2. Account at Popular Securities, Inc.		None	J	T					
3. Account at Banco Popular	A	Interest	J	T					
4. Tax Free Fund-UBS	A	Interest	J	T					
5. Tax Exempt Fund at Santander Securities	A	Interest	J	T					
6. Cash Value Insurance Policy Equitable	C	Interest	N	T	Redemption (part)	10/05/13	M		Met Life
7. International Hospitality S. E.		None	J	W					
8. Annuity Universal Life Ins. Co.	B	Interest	N	U	Buy (add'l)	10/03/13	M		Universal Life Ins. Co.
9. COM Lehman	A	Interest	J	T					
10. P.R. Government & Agency Bonds	G	Interest	P1	T	Redeemed (part)	07/02/13	N		Santander Securities
11.					Buy	06/25/13	O		Santander Securities
12.					Buy	07/22/13	L		Santander Securities
13.					Buy	07/15/13	M		Santander Securities
14.					Buy	07/10/13	K		Santander Securities
15.					Buy	08/20/13	M		Santander Securities
16.					Buy	08/01/13	V		Santander Securities
17.					Buy	08/08/13	L		UBS

Income Code	A - \$1,000 or less	B - \$1,001 - \$1,500	C - \$1,501 - \$2,000	D - \$2,001 - \$2,500	E - \$2,501 - \$3,000	F - \$3,001 - \$3,500
Value Method Codes	N - \$250,001 - \$500,000	P1 - \$500,001 - \$1,000,000	P2 - \$1,000,001 - \$25,000,000	P3 - \$25,000,001 - \$50,000,000	P4 - More than \$50,000,000	T - Cash Market
Value Method Codes	Q - Appraisal	R - Cost (Real Estate Only)	S - Assessment	U - Book Value	V - Other	W - Estimated

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Name of Person Reporting

CASELLAS, SALVADORE

Date of Report

05/01/2014

VII. INVESTMENTS and TRUSTS — Income, value, transactions (Includes those of spouse and dependent children; see pg. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (Include trust assets except for assets exempt from prior disclosure)	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Code 1 (A-H)	(2) div., rent, or int.)	(3) Code 2 (J-P)	(4) Method Code 3 (1-3)	(5) Type 1 buy, sell, redemption)	(6) Date mm/dd/yy	(7) Code 2 (J-P)	(8) Code 1 (A-H)	(9) Buyer or seller (if private transaction)
18					Sold	07/05/13			Santander Securities
19					Sold	09/24/13	N		Santander Securities
20					Sold	10/03/13	K		Santander Securities
21					Sold	09/23/13	M		Santander Securities
22					Sold	09/19/13	N		Santander Securities
23					Sold	10/03/13	M		Santander Securities
24					Sold	10/02/13	K		Santander Securities
25					Sold	09/19/13	N		Santander Securities
26					Sold	09/23/13	K		Santander Securities
27					Sold	09/24/13			Santander Securities
28					Sold	10/04/13	N		UBS
29					Sold	10/08/13	N		UBS
30					Redemption (part)	05/01/13	K		Santander Securities
31					Sold	10/29/13			Santander Securities
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(See Columns C1 and D3)

N = \$250,001 - \$500,000

O = \$500,001 - \$750,000

P1 = \$750,001 - \$1,000,000

P2 = \$1,000,001 - \$25,000,000

P3 = \$25,000,001 - \$50,000,000

P4 = More than \$50,000,000

P5 = More than \$50,000,000

1. Name: Michael Casellas

2. Address:

3. Contact: (Area) Phone: (City)

4. E-mail:

5. Date of Report:

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Name of Entity Reporting

CASELLAS, SALVADOR E.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

CASELLAS, SALVADORE

Date of Report

02/11/2014

IX. CERTIFICATION.

I certify that all information disclosed herein is true and correct to the best of my knowledge and belief, and that all information not reported here is exempt from the applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ SALVADORE E. CASELLAS

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 504)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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