

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                          |                                                                  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting</b> (last name, first, middle initial)<br><br>Hancock, James H.                                                                                                                    | <b>2. Court or Organization</b><br><br>U. S. District Court, NDAL                                                                                                                                                                                                                        | <b>3. Date of Report</b><br><br>06/26/2013                       |
| <b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br><br>Senior U. S. District Judge                                                   | <b>5a. Report Type</b> (check appropriate type)<br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2012<br>to<br>12/31/2012 |
| <b>7. Chambers or Office Address</b><br><br>U. S. Courthouse, Ste. 681<br>1729 5th Avenue North<br>Birmingham, AL 35203                                                                                   |                                                                                                                                                                                                                                                                                          |                                                                  |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                                                                          |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

|    |  |  |
|----|--|--|
| 1. |  |  |
| 2. |  |  |
| 3. |  |  |
| 4. |  |  |
| 5. |  |  |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

|    |  |
|----|--|
| 1. |  |
| 2. |  |
| 3. |  |

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Name of Person Reporting

Hancock, James H.

Date of Report

06/26/2013

**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

**IV. REIMBURSEMENTS** *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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Name of Person Reporting

Hancock, James H.

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. Exxon Mobil - Stock                                                                                                      | D                                       | Dividend                                      | N                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 2. CMA Tax Ex. - Money Mkt.                                                                                                 | A                                       | Interest                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 3. BP Amoco - Stock                                                                                                         | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 4. ING Ser Fd Inc Growth Income Fd - Class A<br>- A Mut. Fund                                                               | B                                       | Dividend                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 5. Archer Daniels Mdl. - C Stock                                                                                            | A                                       | Dividend                                      |                                                 |                                           | Donated                                         |                         |                                 |                                | See Section VIII                                                  |
| 6. Morgan Stanley - Stock                                                                                                   | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 09/09/12                | J                               |                                |                                                                   |
| 7. Regions Bank - Ck Acct.                                                                                                  | A                                       | Interest                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 8. El Paso Corp. - Stock                                                                                                    | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 9. Service Corp. Int. - Stock                                                                                               | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 10/08/12                | J                               |                                |                                                                   |
| 10. Vanguard Wellington Fund - Mutual Fund                                                                                  | B                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 11. Regions Fin. Corp. - Stock                                                                                              | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         | J                               | A                              | See Section VIII                                                  |
| 12. Weyerhaeuser - Stock                                                                                                    | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 13. Bank of New York Mellon Corporation                                                                                     | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 10/08/12                | J                               | A                              |                                                                   |
| 14. Fidelity Trend - Mut. Fund                                                                                              | A                                       | Dividend                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 15. Allstate Corp. -Stock                                                                                                   | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 10/19/12                | J                               | A                              |                                                                   |
| 16. Waste Management - Stock                                                                                                | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 10/11/12                | J                               | A                              |                                                                   |
| 17. Coca Cola - Stock                                                                                                       | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                         |                                                        |                                                                  |                                                              |                                                       |
|--------------------------------------------------|-----------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------|--------------------------------------------------------------|-------------------------------------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                         | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H = \$1,000,001 - \$5,000,000           | D = \$5,001 - \$15,000<br>I = More than \$5,000,000          | E = \$15,001 - \$50,000<br>J = More than \$50,000,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$250,000,001 - \$500,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                                                       |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                         | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                  | T = Cash Market                                              |                                                       |

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Name of Person Reporting

Hancock, James H.

Date of Report

06/26/2013

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                          |   |          |   |   |      |          |   |   |  |
|------------------------------------------|---|----------|---|---|------|----------|---|---|--|
| 18. Ameris Bancorp                       | A | Dividend | J | T |      |          |   |   |  |
| 19. Sterne Agee - Money Mkt.             | A | Interest | L | T |      |          |   |   |  |
| 20. Compass Bank - Ck. Acct.             | A | Interest | L | T |      |          |   |   |  |
| 21. Duke Energy - Stock                  | A | Dividend |   |   | Sold | 10/11/12 | J | B |  |
| 22. Emerson Electric                     | A | Dividend | K | T |      |          |   |   |  |
| 23. State Street Corp. - Stock           | B | Dividend | L | T |      |          |   |   |  |
| 24. Comerica - Stock                     | A | Dividend | K | T |      |          |   |   |  |
| 25. Southern Company - Stock             | D | Dividend | M | T |      |          |   |   |  |
| 26. Vulcan Materials - Stock             | A | Dividend | L | T |      |          |   |   |  |
| 27. Banc Trust Financial Group - Stock   | A | Dividend |   |   | Sold | 10/08/12 | J | A |  |
| 28. First Horizon National               | A | Dividend | K | T |      |          |   |   |  |
| 29. Conagra Foods                        | A | Dividend | K | T |      |          |   |   |  |
| 30. NUCOR Corp. - Stock                  | A | Dividend | K | T |      |          |   |   |  |
| 31. Questar Corp. -Stock                 | A | Dividend | K | T |      |          |   |   |  |
| 32. QEP Resources Inc.                   | A | Dividend | K | T |      |          |   |   |  |
| 33. American Capital Income Builder CLC  | A | Interest | J | T |      |          |   |   |  |
| 34. Blackrock Global Allocation FD Inc C | A | Interest | J | T |      |          |   |   |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                     |   |          |   |   |     |          |   |  |  |
|-----------------------------------------------------|---|----------|---|---|-----|----------|---|--|--|
| 35. Eaton Vance Alabama Municiple Income FD CLA     | A | Interest | J | T |     |          |   |  |  |
| 36. First Eagle Global Classic                      | A | Interest | J | T |     |          |   |  |  |
| 37. Ivy Asset Strategy Fund CLC                     | A | Interest | J | T |     |          |   |  |  |
| 38. Wells Fargo Advantage Asset Allocation FD CLC   | A | Interest | J | T |     |          |   |  |  |
| 39. Dupont E.I. Denemours                           | A | Interest | K | T | Buy | 12/12/12 | K |  |  |
| 40. El Paso Energy CAP PFD Tri Conv. 4.75% 03/31/28 | A | Interest | K | T | Buy | 03/13/12 | K |  |  |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
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## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 5 Archer Daniels Mdl C Stock - On 12/11/12, all shares (1,150) of Archer Daniels Midland Company wer given as a charitable donation to the Community Foundation of Greater Birmingham as a charitable donation

The high price for the day was \$27.30. The low price for the day was \$26.91

and the average price was \$27.105. Community Foundation acknowledged in writing on December 14, 2012 the transfer of the shares.

Line 11 During the year purchased additional shares on 10/8/12 and sold some shares on 10/11/12.

The income during the reporting period and the gross value at the end of reporting period take these transactions into account

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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ James H. Hancock**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544