

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Ruwe, Robert P.	2. Court or Organization U.S. Tax Court	3. Date of Report 05/10/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Judge, US Tax Court, Sr 11/02	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 400 Second St., N.W. Washington, D.C. 20217		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. U.S. Savings Bonds	A	Int./Div.	J	T					
2. United Bank Account	A	Interest	J	T					
3. Virginia College Savings Plan--Aged based	A	Int./Div.	J	T					
4. Ameritas: Whole life insurance	B	Div.	L	T					
5. Microsoft (common stock)	A	Dividend	J	T					
6. Pentagon Fed. Sav.	A	Interest	K	T					
7. TAP	A	Dividend	K	T					
8. GE	B	Dividend	L	T					
9. COSTCO	A	Dividend	K	T					
10. Wells Fargo Advisors--IRA 2	A	Dividend	K	T					
11. --Blackrock Global Alloc Global Alloc. Instit--Mutual Fund	A	Dividend	J	T					
12. --First Eagle FDS Inc Global Fd--Mutual Fund	A	Dividend	J	T					
13. --Ivy FDS Inc Asset Strategy Fd--Mutual Fund	A	Dividend			Sold	03/02/16	J	A	
14. --Kinetics Mut FDS Inc Paradigm Fund Instl--Mutual Fund		None	J	T					
15. --PIMCO All Asset Fnd Inst--Mutual Fund	A	Dividend	J	T					
16. --Wells Fargo Advantage FDS-Asset Allocat. Fd--Mutual Fund	A	Dividend	J	T					
17. --Forward FDS Tactical Growth FTGMX-- Mutual Fund		None	J	T					

1. Income Gain Codes:	A --\$1,000 or less (See Columns B1 and D4)	B --\$1,001 - \$2,500 G --\$100,001 - \$1,000,000	C --\$2,501 - \$5,000 H1 --\$1,000,001 - \$5,000,000	D --\$5,001 - \$15,000 H2 --More than \$5,000,000	E --\$15,001 - \$50,000
2. Value Codes	F --\$50,001 - \$100,000 J --\$15,000 or less (See Columns C1 and D3)	K --\$15,001 - \$50,000 O --\$500,001 - \$1,000,000	L --\$50,001 - \$100,000 P1 --\$1,000,001 - \$5,000,000	M --\$100,001 - \$250,000 P2 --\$5,000,001 - \$25,000,000	
3. Value Method Codes	N --\$250,001 - \$500,000 P3 --\$25,000,001 - \$50,000,000 (See Column C2)	Q --Appraisal U --Book Value	R --Cost (Real Estate Only) V --Other	S --Assessment W --Estimated	T --Cash Market

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18. --Goldman Sacks TR Dynamic Allocation GDIFX--Mutual Fund	A	Dividend	J	T					
19. --Mainstay FDS TR MFLDX--Mutual Fund	A	Dividend			Sold	03/02/16	J	A	
20. --Putnam Absolute Return PDMYX--Mutual Fund	A	Dividend	J	T					
21. --Oppenheimer Global FD OGLYX--Mutual Fund	A	Dividend	J	T					
22. --John Hancock Funds II Absolute Return(fka NATIXIS)--Mutual Fund	A	Dividend	J	T					
23. --NATIX FDS TRII ASFYX--Mutual Fund		None	J	T					
24. --Deutsche Global/Intl FD Inc Global Small Cap KGDIX--Mutual Fund		None	J	T					
25. --Fidelity Advisor Strategic Income SFRIX-- Mutual Fund		None	J	T					
26. --Thornburg Inv TR Global Opportunities FD CL--Mutual Fund	A	Dividend	J	T	Buy	03/02/16	J		
27. Ford Motor Co	A	Dividend	J	T					
28. Southwest Airlines	A	Dividend	K	T					
29. ESRX	A	Dividend	J	T					
30. FDX	A	Dividend	J	T					
31. D.D. (DuPont)	A	Dividend	J	T					
32. CVS	A	Dividend	J	T					
33. BABA (Alibaba)	A	Dividend	J	T					
34. Martin Marietta (x)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Capital One Account (see explanation)	A	Interest	K	T					
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1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Investments and Trusts, Line 35--Capital One Savings Acct. was inadvertently omitted from previous reports after 2013 when other Capital One accounts were closed.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Robert P. Ruwe**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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